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# Aeolus 2.0's Thermal Rotating Shallow Water Model: A New Paradigm for Simulating Extreme Heatwaves, Westerly Jet Intensification, and More

Masoud Rostami $^{1,2},$  Stefan Petri $^1,$  Bijan Fallah $^3,$  and Farahnaz Fazel-Rastgar $^4$ 

<sup>5</sup> <sup>1</sup>Potsdam Institute for Climate Impact Research (PIK), Member of the Leibniz Association, Potsdam,

6 Germany

<sup>7</sup> <sup>2</sup>Laboratoire de Météorologie Dynamique (LMD) / IPSL, ENS-PSL Université, Ecole Polytechnique—Institut

8 Polytechnique de Paris, Sorbonne Université, CNRS, Paris, France,

 $_9$   $^3 \mathrm{Deutsches}$ Klimarechenzentrum Gmb<br/>H (DKRZ), Bundesstraße 45a, D-20146 Hamburg, Germany

 $_{10}\quad ^{4}$  School of Chemistry and Physics, University of KwaZulu Natal, Durban 4000, SouthAfrica

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## <sup>20</sup> Aeolus 2.0's Thermal Rotating Shallow Water Model: A New Paradigm for

21 Simulating Extreme Heatwaves, Westerly Jet Intensification, and More

Masoud Rostami<sup>1,2  $\star$ </sup>, Stefan Petri<sup>1</sup>, Bijan Fallah<sup>3</sup>, and Farahnaz Fazel-Rastgar<sup>4</sup>

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<sup>25</sup> <sup>1</sup>Potsdam Institute for Climate Impact Research (PIK), Member of the Leibniz As <sup>26</sup> sociation, Potsdam, Germany

<sup>27</sup> <sup>2</sup>Laboratoire de Météorologie Dynamique, Sorbonne University (SU)/ Ecole Normale
 <sup>28</sup> Supérieure (ENS)/CNRS, 75005 Paris, France

<sup>29</sup> <sup>3</sup>Deutsches Klimarechenzentrum GmbH (DKRZ), Bundesstraße 45a, D-20146 Hamburg,

30 Germany

<sup>31</sup> <sup>4</sup>School of Chemistry and Physics, University of KwaZulu Natal, Durban 4000, SouthAfrica

### 32 ABSTRACT

In this study, we demonstrate the dynamical core and applicability of Aeolus 2.0, a 33 moist-convective Thermal Rotating Shallow Water (mcTRSW) model of intermediate complexity, along with its novel bulk aerodynamic and moist-convective schemes, in 35 capturing the effects of increased radiative forcing on zonal winds and heatwaves. Simu-36 lations reveal seasonal patterns in zonal wind, temperature, and energy anomalies under 37 increased radiative forcing during the summer solstice, winter solstice, and equinoxes. 38 Increased radiative forcing enhances mid-latitudinal temperatures during the summer solstice in the Northern Hemisphere and the winter solstice in the Southern Hemisphere, 40 leading to increased zonal wind velocity in the affected hemisphere, especially in the sub-41 tropics, while decreasing it in the opposite hemisphere. This thermal forcing also reduces the zonal wind velocity of polar cyclones in the hemisphere experiencing increased radia-43 tive forcing. During the autumn equinox, zonal wind velocity diminishes in the Southern 44 Hemisphere, while a similar reduction occurs in the Northern Hemisphere during the 45 spring equinox. Heightened meridional gradients significantly influence the poleward 46 displacement of atmospheric circulation, particularly during the summer (northward) 47 and winter (southward) solstices. Poleward eddy heat fluxes persist across hemispheres, 48 indicating a consistent response to external heating. Increased radiative forcing during 49 the summer and winter solstices amplifies prolonged heatwaves across land and ocean, 50 exceeding impacts observed during the spring and autumn equinoxes. 51

<sup>\*</sup> Corresponding author: Masoud Rostami, Potsdam Institute for Climate Impact Research (PIK), Member of the Leibniz Association, P.O. Box 6012 03, D-14412 Potsdam Germany. E-mail: rostami@pik-potsdam.de, rostami@lmd.ipsl.fr

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 model, Moist-convection, Aeolus 2.0, Climate Change, Extreme Heatwaves

#### 54 1 Introduction

The main goal of this study is to introduce the dynamical core of Aeolus 2.0 (Rostami 55 et al., 2024a), an open-source atmospheric model of intermediate complexity developed 56 by the Potsdam Institute for Climate Impact Research (PIK), and to demonstrate its 57 applicability in capturing key aspects of large-scale atmospheric dynamics. Specifically, 58 we highlight how Aeolus 2.0, which integrates the moist-convective Thermal Rotat-59 ing Shallow Water (mcTRSW) model along with its novel bulk aerodynamic scheme, 60 simulates atmospheric circulations driven by external forcing. As a case study, we inves-61 tigate the impact of latitudinal temperature gradients - arising from midlatitude heating 62 proportional to insolation - on seasonal variations in zonal wind patterns, particularly 63 within the westerly jet stream. 64

The mcTRSW model builds on the tradition of the shallow water equations (SWEs), 65 initially derived by Saint-Venant (Saint-Venant, 1871, 1888a,b). While SWEs were orig-66 inally developed for studying water flows, their underlying mathematical framework 67 has proven broadly applicable to geophysical fluid dynamics, including atmospheric and 68 oceanic circulations. RSW models have been extensively studied and are widely used 69 as a cost-effective and efficient tool for simulating a variety of natural flows. Their role 70 in studying equatorial waves is well-supported, as shown by the foundational work of 71 Matsuno (1966) and Gill (1980). The RSW model, which assumes fluid homogeneity, 72 incompressibility, and hydrostatic balance, founded upon vertically averaged primitive 73 equations utilizing pseudo-height as isobaric vertical coordinates (Zeitlin, 2018). The 74 simplest RSW models represent barotropic processes in a single layer of incompress-75 ible fluid with a free surface, while multi-layer models are needed to capture baroclinic 76 effects - those arising from the misalignment between density and pressure gradients 77 (Pedlosky, 1987). However, a significant limitation of RSW models is their inability to 78 accurately represent thermodynamic processes, which becomes particularly important 79 for atmospheric layers where phenomena like moist convection and radiative transfer are 80 prevalent. Notably, it tends to overlook the nuanced influence of horizontal gradients 81 of potential temperature and moist convection, particularly in specific atmospheric and 82 oceanic scenarios. Consequently, certain crucial atmospheric features, such as clouds, 83 condensed liquid water content, and precipitable water, are inadequately represented

within the classical framework of the model. Unlike the classical configuration of RSW 85 models, Thermal Rotating Shallow Water (TRSW) models introduce inhomogeneous 86 layers, allowing horizontal variations in material properties within the RSW framework 87 (Schopf and Cane, 1983; Ripa, 1993). This characteristic has prompted an alternative 88 nomenclature for TRSW equations as the inhomogeneous-layer model in the scientific lit-89 erature (Ripa, 1996). The conceptual framework of the TRSW model is firmly grounded 90 in a robust theoretical foundation and has garnered substantial attention over the past 91 few decades (Ripa, 1993, 1996; Warneford and Dellar, 2013; Holm et al., 2021; Rostami 92 et al., 2022). This approach has been applied to investigate diverse phenomena, such as 93 the dynamical effects of a well-mixed planetary boundary layer (Lavoie, 1972) and the 94 formulation of a reduced two-dimensional system governing deep atmospheric motions under simple classes of stratification (Salby, 1989). In some instances, the TRSW model has been elucidated as a representation of an upper active layer of fluid atop a lower 97 inert layer (Warneford and Dellar, 2013). With small adjustments to the model's param-98 eters, the TRSW model could also be beneficial to the planetary sciences community. 99

A notable advancement by Ripa (1993) reorganized a framework for a multi-layer ther-100 mal RSW model, coupled with a low-frequency approximation (Ripa, 1996). Ripa's 101 studies demonstrated the Hamiltonian structure of TRSW models (see also Section C 102 in the appendix). Hamiltonian dynamics provides a fundamental framework for clas-103 sical mechanics, describing the evolution of systems in both finite and infinite dimen-104 sions. For finite-dimensional systems, this evolution is governed by Hamilton's equa-105 tions:  $\dot{q} = \partial H / \partial p$ ,  $\dot{p} = -\partial H / \partial q$ , where q are the generalized coordinates, and p the 106 conjugate momenta. The Hamiltonian H(q, p) typically represents the total energy of 107 the system, and these equations arise from the principle of least action. In the context 108 of finite-dimensional systems, as described by Arnold (1978) and Landau and Lifshitz 109 (1976), conserved quantities such as energy and momentum are tied to symmetries via 110 Noether's theorem. In infinite-dimensional systems, such as those in fluid dynamics, the 111 state variables are fields (e.g., velocity and pressure), and the Hamiltonian becomes a 112 functional. The equations of motion are governed by generalized Poisson brackets, ex-113 tending the finite-dimensional structure to handle infinitely many degrees of freedom 114 (Arnold, 1978). 115

Recent contributions include Beron-Vera (2021), who derived another generalization of Ripa's single-layer model (Ripa, 1995) to an arbitrary number of layers with stratifi<sup>118</sup> cation and shear. Kurganov et al. (2021) conducted a comparative analysis between <sup>119</sup> the TRSW model and the isothermal RSW model, focusing on the dynamical processes <sup>120</sup> of the evolution of isolated vortices in the mid-latitude  $\beta$ -plane, using a novel well-<sup>121</sup> balanced central-upwind scheme. Additionally, Zerroukat and Allen (2015) presented a <sup>122</sup> TRSW model derived from a three-dimensional Boussinesq approximation of the hy-<sup>123</sup> drostatic Euler equations, employing the absolute temperature as the thermodynamic <sup>124</sup> variable.

Another feature of the TRSW model is that it is a layer model. Layer models and 125 level models are two approaches used in ocean and atmosphere dynamics to represent 126 the vertical structure of the atmosphere and oceans. In the RSW model, each layer 127 represents the vertical integration of multiple levels, with the assumption that dynamical 128 fields remain uniform in depth within each layer. As Ripa (1993) highlights, while level 129 models can easily incorporate thermodynamics, they tend to be less accurate than layer 130 models, particularly at high vertical modes. The difference lies in how these models 131 represent the density profile. In a level model, density is assumed to be continuously 132 stratified, and finite differencing is used at fixed depths. In contrast, a layer model 133 approximates the continuous density profile with a piece-wise constant profile, where the 134 depth of the interfaces can vary with position and time. Accuracy issues in level models 135 arise from the inherent limitations of finite differencing at small scales. In contrast, 136 layer models do not suffer from this problem because the piece-wise constant density 137 profile is physically valid, and the equations of motion precisely represent this particular 138 stratification (Ripa, 1993). The Laplace tidal equations (Proudman, 1942; Cartwright, 139 1978) serve as a prominent example that highlights the disparity between layer and 140 level models. These equations, which describe the behavior of tides in the ocean and 141 atmosphere, are a one-layer model with no counterpart in the level model family (Ripa, 142 1993). 143

It is worth mentioning that, to the best of our knowledge, despite the robust the oretical underpinnings highlighting the capabilities of the TRSW model, documented applications in contemporary scientific literature employing the TRSW modeling approach, specifically its integration as a dynamical core within atmospheric models and the practical implementation of multi-layer TRSW models on a spherical domain, have been sporadic and infrequent. This study aims to tackle and overcome this notable 150 limitation.

Aeolus 2.0 employs the Dedalus framework as a core component of its numerical 151 methodology (Burns et al., 2020). That framework integrates the use of spin-weighted 152 spherical harmonics, as detailed in the works of Vasil et al. (2019) and Lecoanet et al. 153 (2019). A pivotal advantage of employing spin-weighted spherical harmonics in conjunc-154 tion with spinor basis vectors lies in the ability to execute differentiation operations on 155 the spherical domain in a manner analogous to Fourier series operations. This char-156 acteristic ensures that diagonal wavenumber multiplication remains regular across the 157 entire sphere, thereby obviating the need for traditional singular gradients at the poles. 158 This advantageous feature enhances the numerical stability and accuracy of calcula-159 tions conducted within the TRSW model on a spherical domain. Aeolus 2.0 employs 160 this enhanced mcTRSW framework to bridge the gap between simplified shallow water 161 approaches and the complexity of three-dimensional general circulation models. This 162 balance allows for computational efficiency while capturing essential physical processes 163

The modulation of the latitudinal temperature gradient and its subsequent impact on 164 the amplitude of background zonal wind velocities and precipitation patterns represent 165 fundamental components of atmospheric circulation, carrying significant implications 166 for global meteorological and climatological systems (Petoukhov et al., 2013; Francis 167 and Vavrus, 2015). As the westerly mean flows decrease due to a diminished merid-168 ional temperature gradient, jet streams exhibit increased wavier midlatitude jets (Moon 169 et al., 2022), leading to the formation of quasi-stationary blocking high patterns. These 170 atmospheric configurations contribute to the occurrence of severe flooding and drought 171 events (Lupo, 2021). Despite research on the effects of latitudinal temperature gradients 172 on zonal wind velocities (e.g. Francis and Skific, 2015; Mann et al., 2018; Moon et al., 173 2022), our understanding of how various forms of asymmetric thermal forcing in the 174 northern and southern hemispheres across different seasons impact wind circulation re-175 mains limited. Indeed, the detailed dynamical mechanisms underlying these phenomena 176 remain a topic of active debate. 177

#### <sup>178</sup> 2 Model description and setup of numerical experiments

179 2.1 The Multi-Layer Thermal Rotating Shallow Water (TRSW) Model

The model's dynamical core relies on a multi-layer pseudo-spectral TRSW model, 180 complemented by an innovative approach to moist convection. Notably, this version of 181 the TRSW model incorporates an improved moist-convective scheme, marking a refine-182 ment from the scheme introduced by Rostami et al. (2022). The conceptual framework 183 of the model has already proven effective in simulating diverse atmospheric phenomena. 184 For example, Rostami et al. (2022) proposed a theory elucidating the genesis and dy-185 namics of the Madden Julian Oscillation. Additionally, it has been employed to simulate 186 extreme localized heatwaves in midlatitudes (Rostami et al., 2024b). 187

Before describing the moist-convective scheme integrated into the model in this study, it is imperative to revisit the system of evolution equations governing a two-layer Thermal Rotating Shallow Water (TRSW) model within a "dry" framework. For the selfcontainment of this paper, we present the equations for the TRSW model. For a detailed derivation, the reader is referred to Rostami et al. (2022) and the appendices A and B of this paper.

$$(\partial_t + \boldsymbol{v}_1 \cdot \boldsymbol{\nabla})\boldsymbol{v}_1 + f\hat{\boldsymbol{z}} \times \boldsymbol{v}_1 = -\langle \boldsymbol{\nabla} p_1 \rangle,$$
 (2.1a)

$$(\partial_t + \boldsymbol{v}_2 \cdot \boldsymbol{\nabla})\boldsymbol{v}_2 + f\hat{\boldsymbol{z}} \times \boldsymbol{v}_2 = -\langle \boldsymbol{\nabla} p_2 \rangle,$$
 (2.1b)

$$\partial_t h_1 + \boldsymbol{\nabla} \cdot (h_1 \boldsymbol{v}_1) = 0, \qquad (2.1c)$$

$$\partial_t h_2 + \boldsymbol{\nabla} \cdot (h_2 \boldsymbol{v}_2) = 0, \qquad (2.1d)$$

$$\partial_t b_1 + \boldsymbol{v}_1 \cdot \boldsymbol{\nabla} b_1 = 0, \qquad (2.1e)$$

$$\partial_t b_2 + \boldsymbol{v}_2 \cdot \boldsymbol{\nabla} b_2 = 0. \tag{2.1f}$$

<sup>194</sup> The layer-averaged buoyancy variable,  $b_i$ , is defined as  $g\bar{\theta}_i/\theta_s$ , where  $\theta_i$  is the vertically-<sup>195</sup> averaged horizontal potential temperature in layer i, g is the acceleration due to gravity, <sup>196</sup> and  $\theta_s$  is the potential temperature at the lowermost layer, typically at the surface. The <sup>197</sup> indices i = 1, 2 correspond to the lower and upper layers, respectively.  $v_i = (u_i, v_i)$  de-<sup>198</sup> notes the horizontal velocity, and  $\langle \nabla p_i \rangle = \nabla \tilde{p}_i - \tilde{h}_i \nabla b_i$  denotes the vertically-averaged

horizontal gradient of the pressure field. The parameter f presents the Coriolis parame-199 ter, while  $\hat{z}$  shows a unit vector in the vertical direction. Expressions for  $\tilde{p}_1, \tilde{p}_2, \tilde{h}_1$ , and 200  $\tilde{h}_2$  are given by  $\tilde{p}_1 = (h_b + h_1 + h_2)b_1$ ,  $\tilde{p}_2 = h_1b_1 + (h_b + h_2)b_2$ ,  $\tilde{h}_1 = h_b + (1/2)h_1 + h_2$ , and 201  $\tilde{h}_2 = h_b + (1/2)h_2$ . The variables  $h_1, h_2$ , and  $h_b$  represent the thicknesses of the lower 202 layer, upper layer, and bottom topography, respectively.  $\tilde{h_1}$  and  $\tilde{h_2}$  physically express 203 the center of mass of the corresponding layer. The quantities  $\langle \nabla p_1 \rangle$  and  $\langle \nabla p_2 \rangle$  can be 204 expressed as  $(1/2)h_1 \nabla b_1 + b_1 \nabla (h_b + h_1 + h_2)$  and  $\nabla (h_1 b_1) + (1/2)h_2 \nabla b_2 + b_2 \nabla (h_b + h_2)$ , 205 respectively. It is important to note that the vector fields of  $\langle \nabla p_i \rangle$  do not correspond to 206 the gradient of a scalar. The derivation of the multi-layer TRSW model and its corre-207 sponding thermo-quasi-geostrophic (TQG) balance state can be found in Appendix A 208 and **B**. 209

The two-layer mcTRSW model undergoes nondimensionalization using the barotropic equatorial Rossby deformation radius, denoted by,  $L_d = (\sqrt{gH}/\beta)^{1/2}$ , where H = $H_1 + H_2$  is the total non-perturbed layer depth,  $\beta$  denotes the gradient of the Coriolis force in the meridional direction. The scaling parameters for zonal and meridional velocities, temporal variables, and Earth radius (*a*) are defined as follows:

$$L \sim L_d, \quad (u, v) \sim \beta L_d^2, \quad t \sim \frac{1}{\beta L_d}, \quad a \sim L_d.$$
 (2.2)

These evolution equations, governing the "dry" two-layer TRSW model, are valid within a defined horizontal domain  $\mathscr{D}$ . The first boundary condition is characterized by the absence of normal flux, expressed as:

$$\hat{n} \cdot h_i \boldsymbol{v}_i = 0, \quad \mathbf{x} \in \partial \mathscr{D},$$

$$(2.3)$$

where  $\hat{n}$  represents the outward unit vector and **x** represents a spatial coordinate or location within the defined horizontal domain  $\mathscr{D}$ .

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An examination of the one-dimensional manifestation of the two-layer TRSW system, denoted as (2.1), reveals its conditional hyperbolic nature. Within the nonhyperbolic regime, the numerical solution of this system, as indicated by Cao et al. (2023), may exhibit instabilities. In the realm of practical applicability where  $b_1 < b_2$ , the hyperbolicity of the system (2.1) becomes contingent on the satisfaction of the inequality involving the square of the velocity difference, denoted as  $(v_1 - v_2)^2$ :

$$(v_1 - v_2)^2 \le \left(1 - \frac{b_1}{b_2}\right)(h_1b_1 + h_2b_2),\tag{2.4}$$

This condition serves as a critical determinant of hyperbolic behavior within the system. Specifically, when the magnitude of the velocity difference, represented as  $|v_1 - v_2|$ , is substantial, the system loses its hyperbolicity. This observation substantiates the previously mentioned association between the loss of hyperbolicity and the emergence of Kelvin-Helmholtz type instabilities.

In the realm of geophysical fluid dynamics, many models exhibit a Hamiltonian struc-233 ture, a fundamental characteristic that imparts essential qualitative properties (Salmon, 234 1988). This structure establishes a direct link between symmetries and conservation laws 235 through Noether's theorem, providing a deeper comprehension of the underlying dynam-236 ics (Shepherd, 1990). Additionally, it offers a framework for deriving nonlinear stability 237 criteria using the energy-Casimir method (Holm et al., 1985; Morrison, 1998). Ripa 238 (1993) demonstrated that a certain system of primitive equations models with inho-239 mogeneous layers is Hamiltonian and introduced its Poisson bracket. The Hamiltonian 240 structure of the reduced two-layer TRSW model, based on Ripa (1993), is revisited in 241 Appendix C. A suitable Hamiltonian, which acts as a measure of the total energy in 242 the system described by Equation (2.1), including both kinetic energy and gravitational 243 potential energy, is defined as: 244

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$$\mathscr{H} = \int_{\mathscr{D}} d^2 x \left[ h_1 \left( \frac{1}{2} \boldsymbol{v}_1^2 + \tilde{h_1} b_1 \right) + h_2 \left( \frac{1}{2} \boldsymbol{v}_2^2 + \tilde{h_2} b_2 \right) \right].$$
(2.5)

#### 246 2.2 The improved moist-convective scheme of the TRSW Model

The moist-convective scheme used in the TRSW model, known as mcTRSW, builds upon the theoretical foundation of the moist-convective Rotating Shallow Water (mcRSW) model. The mcRSW model accounts for phase transitions of water vapor and latent heat release. Over time, the mcRSW model has undergone several improvements, including the integration of features such as precipitable water, vaporization, and precipitation (Bouchut et al., 2009; Lambaerts et al., 2011a,b, 2012; Rostami and Zeitlin, 2018). This scheme has been extensively applied to study the impacts of moist convection on the

dynamics of Earth's atmosphere (Lambaerts et al., 2012; Lahaye and Zeitlin, 2016; Ros-254 tami et al., 2017; Rostami and Zeitlin, 2019a, b, 2020, 2021, 2022; Zhao et al., 2021) as 255 well as planetary atmospheres (Rostami et al., 2017, 2018). Based on previous work, 256 the approach integrates the Lagrangian conservation of linearized equivalent potential 257 temperature, incorporating convective fluxes and external thermal forcing. A hypothesis 258 posits the change in horizontally averaged potential temperature and layer thickness, 259 relating it to convective heating/cooling and external thermal forcing. Parameteriza-260 tion of condensed liquid water content and the inclusion of relaxation processes ensure 261 closure within the model. In a two-layer representation, equations for layer thickness, 262 buoyancy, humidity, and their Lagrangian derivatives are derived. External forcings 263 such as insolation and thermal cooling are incorporated, along with a relaxation pa-264 rameterization mechanism for condensation. Precipitable water and its interaction with 265 condensation and vaporization are also included in the model. A major distinction in the 266 moist-convective parameterization within the mcTRSW model in this study, compared 267 to Kurganov et al. (2020) and Rostami et al. (2022), is the inclusion of downdrafts and 268 the cooling effect due to sea surface evaporation. 269

<sup>270</sup> Building upon the work of Rostami et al. (2022), our approach begins by integrating <sup>271</sup> the Lagrangian conservation of the linearized equivalent potential temperature (moist <sup>272</sup> enthalpy) in the primitive equation of a pair of pseudo-height material surfaces,  $z_{i-1}$ <sup>273</sup> and  $z_i$ .

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$$\lim_{\epsilon \to 0} \int_{z_{i-1}+\epsilon}^{z_i-\epsilon} \left[ \frac{d}{dt} \left( \theta_i + \frac{\mathcal{L}}{C_p} q_i \right) \right] dz = \lim_{\epsilon \to 0} \int_{z_{i-1}+\epsilon}^{z_i-\epsilon} \mathbb{F}_i dz, \qquad (2.6)$$

where  $d/dt(...) = \partial_t(...) + \boldsymbol{\mathcal{V}} \cdot \nabla(...)$  represents the 3D Lagrangian derivative,  $\boldsymbol{\mathcal{V}} = (u, v, w)$  represents the 3D velocity field,  $\theta_i$  denotes potential temperature,  $q_i$  denotes specific humidity,  $\mathcal{L}$  is the specific heat of vaporization,  $C_p$  denotes the specific heat at constant pressure, and  $\mathbb{F}_i$  is external thermal forcing. Considering the columnar bulk of humidity as  $\lim_{\epsilon \to 0} \int_{z_{i-1}+\epsilon}^{z_i-\epsilon} (\mathcal{L}/C_p) q_i dz = \bar{q}_i$ , we obtain:

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$$\Delta_i(\bar{\theta}_i h_i) + \Delta_i \bar{q}_i - \left(\frac{\bar{q}_i}{h_i} + \bar{\theta}_i\right) \Delta h_i = \bar{\mathbb{F}}_i, \qquad (2.7)$$

where  $h_i = z_i - z_{i-1}$ ,  $\Delta_i(\ldots) = \partial/\partial t(\ldots) + \nabla \cdot \boldsymbol{v}_i(\ldots)$ ,  $\lim_{\epsilon \to 0} \int_{z_{i-1}+\epsilon}^{z_i-\epsilon} \mathbb{F} = \overline{\mathbb{F}}_i$ ,  $\boldsymbol{v}_i$  is the vertically averaged horizontal velocity in layer  $i, \ \overline{\theta}_i h_i = \lim_{\epsilon \to 0} \int_{z_{i-1}+\epsilon}^{z_i-\epsilon} \theta_i dz$  denotes the vertically averaged horizontal potential temperature. Indeed, we attribute the values of dependent variables  $\theta(z_i), \theta(z_{i-1}), q(z_i), q(z_{i-1})$  at the vertical boundaries to their aver-

age values inside the layer. Thus, we obtain  $\lim_{\epsilon \to 0} [\theta(z_i - \epsilon) = \theta(z_{i-1} + \epsilon)] = \overline{\theta}_i$  and 285 similarly  $\lim_{\epsilon \to 0} [(\mathcal{L}/C_p)q(z_i - \epsilon) = (\mathcal{L}/C_p)q(z_{i-1} + \epsilon)] = \bar{q}_i/h_i$ . Boundary values may 286 vary depending on specific configurations, as there is inherent ambiguity in choosing 287 values at the interface between layers. To maintain consistency with the one-layer mc-288 TRSW model, we set  $\theta(z_1) = \overline{\theta_1}$ . Alternatively, choosing the mean difference of averaged 289 values at the interface (cf. Rostami and Zeitlin, 2018) leads to having the Stokes drag 290 distributed on the right-hand side of the momentum equations for both layers. In this 291 model, we explore the impact of dominant non-adiabatic processes and external forcing 292 from insolation, utilizing minimal parameters for convective processes. Consequently, we 293 incorporate latent heat release due to condensation, including precipitable water, and 294 account for related downdrafts and cooling in deriving a simplified two-layer system 295 with a rigid lid. 296

<sup>297</sup> We postulate a hypothesis asserting that the product of horizontally averaged potential <sup>298</sup> temperature and layer thickness,  $\bar{\theta}_i h_i$ , undergoes an increase associated with latent heat <sup>299</sup> release due to condensation and any external forcing, as well as a decrease linked to <sup>300</sup> cooling processes. This hypothesis is expressed mathematically as follows:

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$$\Delta_i(\bar{\theta}_i h_i) = \gamma(\mathcal{C}_i - \mathcal{D}_i) + \gamma^{\mathbb{F}} \bar{\mathbb{F}}_i, \quad 0 < \gamma, \gamma^{\mathbb{F}} \le 1,$$
(2.8)

where  $C_i$  denotes the condensed liquid water content (CLWC) at each layer. We pa-302 rameterize CLWC using the Betts-Miller method (Betts and Miller, 1986). The term 303  $\gamma(\mathcal{C}_i - \mathcal{D}_i)$  represents the impact of the balance between convective heating and cool-304 ing processes, while  $\gamma^{\mathbb{F}}\bar{\mathbb{F}}_i$  incorporates the influence of horizontally averaged external 305 thermal forcing  $(\overline{\mathbb{F}}_i)$  on the rate of change of  $\overline{\theta}_i h_i$ . Both  $\gamma$  and  $\gamma^{\mathbb{F}}$  are positive. The 306 parameters  $\gamma$  and  $\gamma^{\mathbb{F}}$  regulate the strength of these convective and external thermal 307 forcing processes. The incorporation of  $\mathcal{C}_i$  or  $\mathcal{D}_i$  as a multi-functional variable plays a 308 multifaceted role on the right-hand side of each equation corresponding to each layer, 309 offering a physical interpretation for the hypothesis. They can be interpreted as either 310 adiabatic convective processes, such as upward or downward convective fluxes across 311 material surfaces, or *diabatic nonconvective* processes, such as cooling or warming, in 312 the mcTRSW model. This allows the model to account for both mechanical and ther-313 mal effects of moist convection in most convection-coupled flows. Additional insights 314 into the specific roles and interpretations of these variables will be further elaborated 315

<sup>316</sup> upon in subsequent discussions.

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In this two-layer model representation, condensation exclusively takes place in the lower 317 layer  $(\mathcal{C}_1 = \mathcal{C}, \mathcal{C}_2 = 0)$ . Consequently, in the lower layer,  $\Delta_1(\bar{\theta}_1 h_1) = \gamma(\mathcal{C} - \mathcal{D}) + \gamma^{\mathbb{F}} \mathbb{F}_1$ , 318 and  $\Delta_1 \bar{q_1} = -\mathcal{C} + \mathcal{D}$ . On the right-hand side (RHS) of  $\Delta_1 \bar{q_1}$ ,  $\mathcal{C}$  functions as a sink for the 319 columnar bulk of humidity in the lower layer, while  $\mathcal{D}$  serves as a source. By assuming 320 that  $\Delta_1(\bar{\theta}_1h_1) + \Delta_2(\bar{\theta}_2h_2) = 0$ ,  $\Delta_1\bar{q}_1 + \Delta_2\bar{q}_2 = 0$ , substituting the corresponding values of 321  $\Delta_i(\bar{\theta}_i h_i), i = 1, 2, \text{ and } \Delta_i \bar{q}_i, i = 1, 2, \text{ in equation } 2.7, \text{ and re-scaling } \bar{q}_i = (\mathcal{L}g/C_p\theta_s) \int q \, dz$ 322 and  $\overline{\mathbb{F}}_i = (g/\theta_s)\mathbb{F}_i$ ,  $\overline{b}_i = g\overline{\theta}_i/\theta_s$ , and dropping from now on the bars result in the follow-323 ing equations for a two-layer system: 324

$$\Delta_1 h_1 = \frac{1}{\epsilon_1 + b_1} \left[ (1 - \gamma) (-\mathcal{C} + \mathcal{D}) - (1 - \gamma^{\mathbb{F}}) \mathbb{F}_1 \right], \qquad (2.9a)$$

$$\frac{d_1b_1}{dt} = \frac{1}{h_1} \left[ \left( 1 - \frac{\epsilon_1(1-\gamma)}{\epsilon_1 + b_1} \right) \left( +\mathcal{C} - \mathcal{D} \right) + \left( \frac{\epsilon_1(\gamma^{\mathbb{F}} - 1)}{\epsilon_1 + b_1} + 1 \right) \mathbb{F}_1 \right], \quad (2.9b)$$

$$\Delta h_2 = \frac{1}{b_2 + \epsilon_2} \left[ (1 - \gamma) (+\mathcal{C} - \mathcal{D}) - (1 - \gamma^{\mathbb{F}}) \mathbb{F}_2 \right], \qquad (2.9c)$$

$$\frac{d_2 b_2}{dt} = \frac{1}{h_2} \left[ \left( 1 - \frac{\epsilon_2 (1 - \gamma)}{\epsilon_2 + b_2} \right) \left( -\mathcal{C} + \mathcal{D} \right) + \left( \frac{\epsilon_2 (\gamma^{\mathbb{F}} - 1)}{\epsilon_2 + b_2} + 1 \right) \mathbb{F}_2 \right], \quad (2.9d)$$

where  $\epsilon_i = q_i/h_i$ , i = 1, 2. In order to achieve closure within the system, condensation must be linked to the presence of moisture. This linkage is facilitated through a relaxation parameterization mechanism, wherein the moisture content relaxes with a characteristic time scale denoted by  $\tau_c$  toward the saturation value  $Q^s$ , should the threshold be surpassed. Mathematically, this process is expressed as:

$$\mathcal{C} = \frac{q_1 - Q_s}{\tau_c} \mathbb{H}(q_1 - Q^s).$$
(2.10)

Here, C represents the rate of condensation,  $q_1$  denotes the actual moisture content, and  $Q_s$  signifies the saturation moisture value. The Heaviside step function  $\mathbb{H}(q_1 - Q^s)$ ensures that condensation occurs only when the actual moisture content surpasses the saturation threshold. Assuming  $\epsilon_i \ll b_i$  for i = 1, 2, the reduction of the atmospheric <sup>340</sup> primitive equations in the two-layer mcTRSW model can be expressed as:

$$(\partial_t + \boldsymbol{v}_1 \cdot \boldsymbol{\nabla}) \, \boldsymbol{v}_1 + f \, \hat{\boldsymbol{z}} \times \boldsymbol{v}_1 = -\langle \boldsymbol{\nabla} p_1 \rangle, \qquad (2.11a)$$

$$\left(\partial_t + \boldsymbol{v}_2 \cdot \boldsymbol{\nabla}\right) \boldsymbol{v}_2 + f \hat{\boldsymbol{z}} \times \boldsymbol{v}_2 = -\langle \boldsymbol{\nabla} p_2 \rangle - \frac{1-\gamma}{b_2 h_2} (\boldsymbol{v}_2 - \boldsymbol{v}_1) \left(\mathcal{C} - \mathcal{D}\right), \quad (2.11b)$$

$$\partial_t h_1 + \boldsymbol{\nabla} \cdot (h_1 \boldsymbol{v}_1) \qquad = \frac{1}{b_1} \left[ (1 - \gamma) (-\mathcal{C} + \mathcal{D}) - (1 - \gamma^{\mathbb{F}}) \mathbb{F}_1 \right], \qquad (2.11c)$$

$$\partial_t h_2 + \boldsymbol{\nabla} \cdot (h_2 \boldsymbol{v}_2) = \frac{1}{b_2} \left[ (1 - \gamma) (+ \mathcal{C} - \mathcal{D}) - (1 - \gamma^{\mathbb{F}}) \mathbb{F}_2 \right], \qquad (2.11d)$$

$$\partial_t b_1 + \boldsymbol{v}_1 \cdot \boldsymbol{\nabla} b_1 \qquad \qquad = \frac{1}{h_1} \left[ (+\mathcal{C} - \mu \mathcal{E}) + \mathbb{F}_1 \right], \qquad (2.11e)$$

$$\partial_t b_2 + \boldsymbol{v}_2 \cdot \boldsymbol{\nabla} b_2 \qquad \qquad = \frac{1}{h_2} \left[ (-\mathcal{C} + \mathcal{D}) + \mathbb{F}_2 \right], \qquad (2.11f)$$

$$\partial_t q_1 + \boldsymbol{\nabla} \cdot (q_1 \boldsymbol{v}_1) = -\mathcal{C} + \mathcal{E},$$
 (2.11g)

$$\partial_t q_2 + \boldsymbol{\nabla} \cdot (q_2 \boldsymbol{v}_1) = + \mathcal{C} - \mathcal{D}.$$
 (2.11h)

Herein, the Lagrangian derivative, denoted by  $\partial/\partial t + \boldsymbol{v}_i \cdot \boldsymbol{\nabla}$ , encapsulates the temporal 341 evolution of quantities along the trajectory of fluid parcels. Notably, the presence of 342 convective mass flux engenders a Stokes drag, as delineated in Equation 2.11b, arising 343 from the vertical averaging of the horizontal momentum equations in the context of 344 convective vertical velocity across the material interface. The structural fidelity to the 345 non-thermal mcRSW archetype is preserved, albeit with nuanced options for its inter-346 layer distribution, elaborated upon in Rostami and Zeitlin (2018). The terms  $\pm (\mathcal{C} - \mathcal{D})$ 347 featured on the right-hand side of equations 2.11 embody both adiabatic convective 348 (Equations 2.11c and 2.11d) and the terms  $(-\mathcal{C} + \mathcal{D})$ ,  $(+\mathcal{C} - \mathcal{E})$  diabatic nonconvective 349 (Equations 2.11e and 2.11f) influences exerted on each layer. In the adiabatic convective 350 process, vertical air movements (convection) facilitate convective fluxes across material 351 interfaces. During this process, upward motion ( $\mathcal{C}$ ), akin to an updraft, or downward mo-352 tion  $(\mathcal{D})$ , akin to a downdraft, undergoes minimal heat exchange with the surrounding 353 environment, as evidenced by the terms on the right-hand side of equations governing 354  $h_1$  and  $h_2$ . The coefficients  $\gamma$  modulate the magnitude of these convective fluxes. When 355  $\gamma$  is set to 1, external forcing and latent heat release from condensation augment the 356 average potential temperature of the fluid layer, rather than expelling convective plumes 357 through the upper boundary. This yields a fully diabatic nonconvective model, akin to 358 conventional formulations (Warneford and Dellar, 2013). While the coefficients  $\gamma$  and 359

 $\gamma^{\mathbb{F}}$  may differ across layers, for the sake of parsimony, we maintain their uniformity.

Our model incorporates two external forcings affecting  $\mathbb{F}_i$ . First, insolation and its as-361 sociated radiative transfer are accounted for using the rapid radiative transfer model 362 (RRTM) (Mlawer et al., 1997; Iacono et al., 2000). Second, a thermal Newtonian cool-363 ing term,  $-(h_i b_i - H_i B_i)/\tau_r$ , is included, where  $\tau_r$  denotes the relaxation time, and  $H_i$ 364 and  $B_i$  signify the thickness and buoyancy at the rest state, respectively. To mitigate 365 small-scale convective instabilities and alleviate numerical oscillations and instabilities 366 within the momentum, mass, and buoyancy equations, a Laplacian damping mecha-367 nism has been introduced into the model. This approach draws inspiration from the 368 concept of artificial viscosity, as outlined by Von Neumann and Richtmyer (1950) and 369 further developed by Mattsson and Rider (2015). In scenarios where condensation is 370 confined solely to the lower layer,  $q_2$  in Equation 2.11h behaves as a passive tracer. 371 However, should the model initiate the entrainment of condensed water from the lower 372 layer to the upper layer followed by vaporization, vaporized water may act as a source 373 of humidity on the right-hand side of Equation 2.11h, as elucidated by Rostami and 374 Zeitlin (2018). In the absence of  $\mathcal{D}$ , continuous depletion of thickness  $h_1$  due to water 375 vapor condensation occurs, accompanied by a persistent loss of energy through con-376 vective updrafts that transport mass and momentum out of the lower layer (Rostami 377 and Zeitlin, 2017; Zhao et al., 2021). Consequently, the model's practical utility for 378 extended simulations becomes compromised. In the atmosphere model Aeolus 2.0, uti-379 lizing the mcTRSW scheme,  $\mathcal{D}$  can be parameterized to uniformly descend from the 380 upper layer to the lower layer where condensation does not occur. Alternatively, a non-381 uniform parameterization can be adopted, where descent occurs in proximity to the 382 convective region, aligning more closely with realistic scenarios. In either case, there 383 exists equilibrium in vertical mass exchange, such as between convective updrafts as-384 sociated with condensation and downdrafts in non-condensing regions over the entire 385 area  $\mathscr{D}: \int_{\mathscr{D}} \sigma \mathcal{C} d^2 x = \int_{\mathscr{D}} (1-\sigma) \mathcal{D} d^2 x$ , where  $\sigma$  denotes the area coverage of condensation. 386 Conversely, the diabatic nonconvective process depicted on the right-hand side of equa-387 tions governing the Lagrangian derivative of buoyancy in the lower and upper layers 388 (Equations 2.11e & 2.11f) illustrates scenarios where heat exchange with the surround-389 ings occurs, yet vertical air movement between layers remains a secondary influence. 390 The symbol  $\mathcal{E}$ , appearing on the right-hand side of Equations 2.11g and 2.11e, de-391 notes the exogenous moisture contribution to the lower atmospheric layer. This influx 392

of moisture is parameterized as Rostami et al. (2024a), where a novel formulation tai-393 lored to the bulk aerodynamic scheme accounts for variations in buoyancy and zonal 394 wind within the lower stratum. Within our modeling framework, we establish an equiv-395 alence between the amplitude of  $\mathcal{E}$ , which acts as a cooling effect in the lower layer in 396 Equation 2.11e, and the corresponding warming term  $\mathcal{C}$ , due to latent heat release. This 397 equivalence is mathematically expressed as:  $\mu \approx \int_{\mathscr{D}} \mathcal{C} d^2 x / \int_{\mathscr{D}} \mathcal{E} d^2 x$ . Parametrization of 398 the total humidity source due to sea surface evaporation,  $\mathcal{E}$ , in this 2mcTRSW model 399 combines temperature-driven vaporization,  $\hat{\mathcal{E}}_{vap}(T_1)$ , where  $T_1$  is the temperature in the 400 lower layer, wind-induced vaporization,  $\hat{\mathcal{E}}_{vap}(|u_1^n|)$ , where  $u_1^n$  is the normalized zonal wind 401 velocity, and free convection (Fr. Conv.):

$$\mathcal{E}_{\text{vap}}(T_1) = \mathbb{H}(Q^s - q_1) \exp\left[\frac{-\Delta H_{\text{vap}}}{R_v} \left(\frac{1}{T_1^{\alpha}} - \frac{1}{T_0^{\alpha}}\right)\right], \qquad (2.12a)$$

$$\mathcal{E}_{vap}(|u_1^n|) = \mathbb{H}(Q^s - q_1) \exp\left(\frac{|u_1^n|^{\alpha_{1v}}}{\alpha_{2v}}\right), \qquad (2.12b)$$

Fr. Conv. = 
$$\mathbb{H}(Q^s - q_1) \mathcal{A}_F(Q^s - q_1)$$
, if  $(|u_1^n| \ll 1 \text{ and } b_1 \ll b_1^{\max})$ , (2.12c)

$$\mathcal{E}(T_1, |\boldsymbol{u}_1^n|, q_1) = \mathbb{H}(Q^s - q_1) \left( \mathcal{A}_T \, \hat{\mathcal{E}}_{vap}(T_1) + \mathcal{A}_u \, \hat{\mathcal{E}}_{vap}(|\boldsymbol{u}_1^n|) + \text{Fr. Conv.} \right), \quad (2.12d)$$

where  $\mathcal{A}_T$  and  $\mathcal{A}_u$  are adjustable coefficients, and  $\Delta H_{\rm vap}$  denotes the enthalpy change 408 during vaporization, which may be temperature-dependent.  $T_0$  is the reference temper-400 ature. The Heaviside step function  $\mathbb{H}(Q^s - q_1)$  guarantees that vaporization takes place 410 only when the actual moisture content is below the saturation limit. The Free Convection 411 term becomes predominant when the other two forces are negligible or absent. The nor-412 malized wind-induced vaporization term is given by:  $\hat{\mathcal{E}}_{vap}(|\boldsymbol{u}_1^n|) = \mathcal{E}_{vap}(|\boldsymbol{u}_1^n|) / Max[\mathcal{E}_{vap}(|\boldsymbol{u}_1^n|)],$ 413 which represents the normalized value of water vapor pressure due to wind-induced 414 work of zonal velocity in the lower layer, scaled relative to its maximum value. Simi-415 larly,  $\hat{P}_{vap}(T_1)$  is normalized as:  $\hat{\mathcal{E}}_{vap}(T_1) = \mathcal{E}_{vap}(T_1) / Max(\hat{\mathcal{E}}_{vap}(T_1))$ . The scaling factor 416  $\alpha$  is calibrated by aligning model outputs with observational data. In our numerical 417 experiments, the optimal empirical fits were achieved with  $\alpha < 1$ , typically ranging be-418 tween 0.6 and 0.7. The behavior of  $\hat{\mathcal{E}}_{vap}(|u_1^n|)$  aligns closely with findings from laboratory 419 studies (Wirangga et al., 2023) and field observations (Penman, 1948), highlighting an 420 increased vaporization rate under stronger wind conditions. This effect is captured using 421 empirically derived parameters, with  $\alpha_{1v} = 1.2$  and  $\alpha_{2v} = 0.7$ , which have been adopted 422 in the current model configuration. 423

Condensed water persists in the atmosphere in the form of clouds, and precipitation occurs only when water droplets reach a critical size. Precipitable water, represented by Equation 2.13, has been incorporated into the model as another advected quantity denoted by W:

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$$\partial_t W_i + \boldsymbol{\nabla} \cdot (W_i \boldsymbol{v}_i) = + \mathcal{C}_i - V_i - \mathcal{P}.$$
(2.13)

This quantity includes a source term attributed to condensation and a sink term attributed to vaporization (V) for each layer. The precipitation sink, denoted by  $\mathcal{P}$ , incorporated into Equation 2.13. Such a sink can be introduced through a relaxation process with a relaxation time  $\tau_p$ , contingent upon a critical bulk amount of precipitable water in the atmospheric column:

$$\mathcal{P} = \frac{W_1 - W_{cr}}{\tau_p} \mathbb{H}(W_1 - W_{cr}).$$
(2.14)

Here,  $\mathcal{P}$  represents the rate of precipitation sinking,  $W_1$  denotes the actual precipitable 435 water content, and  $W_{cr}$  signifies the critical bulk amount of precipitable water. The 436 Heaviside step function  $\mathbb{H}(W_1 - W_{cr})$  ensures that precipitation sinking occurs only 437 when the actual precipitable water content exceeds the critical threshold. Precipitable 438 water in the dynamical core of the 2mcTRSW model exits the system and does not 439 directly affect the basic variables  $u_i, v_i, h_i$ , or  $b_i$ . However, in the coupled version of the 440 model, it can be reintroduced as a freshwater source or as a forcing term in the ocean 441 component. 442

The schematic representation of a spin-weighted spherical harmonic transform for a set of shallow water equations and the corresponding nondimensionalization process have been elucidated in prior works (Rostami et al., 2022, 2024b). The specific parameter values utilized for the Aeolus 2.0 model in our simulations are publicly available in the ZENODO repository (https://doi.org/10.5281/zenodo.13899262).

<sup>448</sup> Built on the Dedalus framework, Aeolus 2.0 is implemented in Python. The simulations <sup>449</sup> in this study were conducted with a grid resolution of 768×384 cells and a numerical <sup>450</sup> time step of approximately 5 minutes. At this resolution, the model achieves a simulation <sup>451</sup> speed of approximately 22 model years per day, utilizing 128 CPU cores with MPI <sup>452</sup> parallelism on a high-performance cluster. The cluster is equipped with AMD Epyc <sup>453</sup> 9554 "Genoa" processors (128 cores per compute node, up to 3.75 GHz clock frequency) <sup>454</sup> and 6 GB of DDR5 memory per core. 461

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To explore the vorticity and potential vorticity dynamics in the mcTRSW model, we begin by considering small perturbations in layer thickness, represented as  $h_i = H(1 + \lambda \eta_i)$ , where  $\lambda$  serves as a small parameter controlling the perturbation amplitude, and  $\eta_i$  denotes the layer-specific thickness anomaly. Starting from equations 2.11c and 2.11d, we derive:

$$\lambda \left[\partial_t \eta_i + H \boldsymbol{\nabla} \cdot (\eta_i \boldsymbol{v}_i)\right] + H \boldsymbol{\nabla} \cdot \boldsymbol{v}_i = \frac{1}{b_i} \alpha_i, \quad i = 1, 2, \qquad (2.15)$$

where  $\alpha_1 = (1 - \gamma)(-\mathcal{C} + \mathcal{D}) - (1 - \gamma^{\mathbb{F}})\mathbb{F}_1$  and  $\alpha_2 = (1 - \gamma)(\mathcal{C} - \mathcal{D}) - (1 - \gamma^{\mathbb{F}})\mathbb{F}_2$ represent source terms accounting for convective and diabatic effects in each layer. In the asymptotic limit as  $\lambda \to 0$ , equation 2.15 simplifies to

$$\boldsymbol{\nabla} \cdot \boldsymbol{v}_i = \frac{1}{Hb_i} \alpha_i, \quad i = 1, 2.$$
(2.16)

It is important to note that small variations in h within the TRSW model do not necessarily imply a weak pressure gradient regime. By taking the cross-differentiation of the u and v components of the momentum equations 2.11a and 2.11b and neglecting Stokes drag for simplicity, without additional assumptions or external forcing  $\mathbb{F}_i$ , we derive the evolution equation for absolute vorticity,  $\zeta_i + f$ , where  $\zeta_i = \partial_x v_i - \partial_y u_i$ :

$$(\partial_t + \boldsymbol{v}_i \cdot \boldsymbol{\nabla}) \left(\zeta_i + f\right) = \mathcal{J}\left(\tilde{h}_i, b_i\right) - \frac{1}{Hb_i} \left(\zeta_i + f\right) \alpha_i.$$
(2.17)

472 Applying characteristic scalings for the variables:

$$_{473} (u_i, v_i) \sim U = \sqrt{HB}, \quad (x, y) \sim L, \quad t \sim \frac{L}{U}, \quad b \sim B, (2.18)$$

we obtain the nondimensional divergence equations, using rescaled variables  $v_i^*$  and  $b_i^*$ as derived from equation 2.11c:

 $\boldsymbol{\nabla} \cdot \boldsymbol{v}_i^* = \frac{1}{b_i^*} \tilde{\alpha}_i, \qquad (2.19)$ 

where  $\tilde{\alpha}_i = (L/HBU) \alpha_i$  are the nondimensional source terms. In parallel to equation 2.17, the evolution of nondimensional absolute vorticity is given by:

$$\left(\partial_{t^*} + \boldsymbol{v}_i^* \cdot \boldsymbol{\nabla}^*\right) \left(\zeta_i^* + f^*\right) = \mathcal{J}\left(\tilde{h}_i^*, b_i^*\right) - \frac{1}{b_i^*} \left(\zeta_i^* + f^*\right) \tilde{\alpha}_i, \qquad (2.20)$$

where \* indicates nondimensional variables. From equation 2.17, the potential vorticity for each layer,  $\mathbb{Q}_i$ , can be expressed in both dimensional and nondimensional forms within the mcTRSW model as follows:

$$(\partial_t + \boldsymbol{v}_i \cdot \boldsymbol{\nabla}) \mathbb{Q}_i = \frac{1}{h_i} \mathcal{J}(\tilde{h_i}, b_i) - \frac{1}{Hb_i} \mathbb{Q}_i \alpha_i, \quad i = 1, 2,$$
(2.21)

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$$(\partial_{t^*} + \boldsymbol{v}_i^* \cdot \boldsymbol{\nabla}^*) \mathbb{Q}_i^* = \frac{1}{h^*} \mathcal{J}(\tilde{h}_i^*, b_i^*) - \frac{1}{b_i^*} \mathbb{Q}_i^* \tilde{\alpha}_i, \quad i = 1, 2.$$

$$(2.22)$$

These vorticity and potential vorticity equations suggest that regions with positive values of C in the lower layer contribute to intensified vorticity and potential vorticity, emphasizing the role of convective processes as a source in the vorticity dynamics, while downdrafts (D) act as a sink within the mcTRSW model.

#### 490 **3** Results

In order to conduct a comprehensive evaluation of the impact of equatorial heating on seasonal variations, we have selected four temporal intervals that encapsulate pivotal astronomical events, delineating notable phases in the Earth's orbit around the Sun: the summer solstice, winter solstice, and two equinoxes corresponding to the months of March, June, September, and December. The model initialization comprises daily averaged velocity and potential temperature variables extracted from the inaugural day of each aforementioned month (refer to Figures 1 and 2).

In our numerical experiments, the increased external heating force is scaled proportionally to the net radiative flux, which is defined as the difference between incoming shortwave radiation and outgoing longwave radiation. This results in an overall buoyancy anomaly that increases by up to approximately  $\approx 5\%$  (as shown in the upper panel of Figure 3). It is important to note that this imposed forcing enhances the potential temperature gradient towards the polar regions; however, it differs from the process of



Figure 1. The zonally-averaged potential temperature distributions at different pressure levels are displayed for the initial days of March, June, September, and December in the year 1980. Thin dashed curves denote the ERA5 dataset. Meanwhile, thick black solid and dashed lines represent vertically integrated values for the lower and upper atmospheric layers, respectively, serving as input for the model. The upper layer encompasses levels at 450 mbar and 250 mbar, while the lower layer encompasses levels at 650 mbar, 800 mbar, 900 mbar, and 1000 mbar.

<sup>504</sup> polar amplification. Polar amplification refers to a more pronounced warming at higher
 <sup>505</sup> latitudes compared to lower latitudes, leading to a reduction in the meridional temper <sup>506</sup> ature gradient.

<sup>507</sup> What is important about this type of forcing is that it directly modulates the net <sup>508</sup> radiative flux rather than indirectly affecting temperature gradients through other cli-<sup>509</sup> mate feedback mechanisms. This approach allows for a more controlled and precise <sup>510</sup> investigation of how changes in radiative flux can influence buoyancy and, consequently,



Figure 2. Zonal velocity averages at various pressure levels are showcased for the initial days of March, June, September, and December in the year 1980. The ERA5 data is depicted by thin dashed curves. Additionally, thick black solid and dashed lines illustrate the vertically integrated values for the lower and upper layers, respectively, serving as inputs to the model. The upper layer comprises levels at 450 mbar and 250 mbar, while the lower layer encompasses levels at 650 mbar, 800 mbar, 900 mbar, and 1000 mbar.

atmospheric circulation patterns. By isolating this variable, we can better understand
 the specific contributions of radiative flux-driven heating to overall zonal wind dynamics.

As the peak external heating anomalies transition from the mid-latitudes of the North-



Figure 3. The left panel depicts the monthly averaged percentage increase in radiative forcing, which has impacted the buoyancy anomaly in the lower troposphere across four distinct seasonal periods: the spring equinox, summer solstice, autumn equinox, and winter solstice, relative to the initial conditions outlined in Figure 1. The x-axis represents the normalized buoyancy anomalies as percentages of the maximum unforced values, showcasing the variation in external heating forcing across different latitudes. The right panel portrays the resultant impact of these forcings on the zonal wind anomaly. The x-axis denotes the zonal wind anomaly normalized as a percentage of the maximum unforced zonal velocity.



Figure 4. The left panel depicts the variation in the meridional velocity  $v_1$  before and after the application of external heating forcing, while the right panel shows the variation in the lower layer's eddy heat flux (EHF) under the same conditions. Both panels present data averaged over all longitudes and illustrate changes for four distinct seasonal periods: the spring equinox, summer solstice, autumn equinox, and winter solstice. The y-axis represents the normalized anomaly as a percentage of the maximum unforced values, and the x-axis denotes the latitude in degrees. The results indicate how external heating forcing affects the meridional velocity and eddy heat flux across different latitudes during various seasons.

ern Hemisphere (NH) to those of the Southern Hemisphere (SH), corresponding to the periods of the Summer solstice, autumn equinox, spring equinox, and winter solstice, there is a concurrent migration of zonal wind anomalies from the NH to the SH. Notably, across all the aforementioned forcing scenarios, a consistent observation emerges: the maximum zonal wind velocity manifests in the subtropical regions, specifically within latitudes ranging from -20 to 20 degrees (Figure 3).



Figure 5. The figure illustrates a spatial distribution comparison of total buoyancy anomalies across four distinct seasonal periods: the Spring Equinox, Summer Solstice, Autumn Equinox, and Winter Solstice. This analysis captures buoyancy perturbations attributed to external heating influences. Thick magenta contour lines highlight regions with buoyancy anomalies greater than 75% of the maximum value observed under unforced conditions for each respective month. The x-axis represents the normalized buoyancy anomaly, quantified as the disparity between buoyancy under warm and ambient conditions, normalized by the maximal buoyancy value observed under ambient conditions for each respective month.

An overarching trend is evident: the influence of external heating during the Summer and Winter solstices on zonal wind anomalies surpasses that observed during the spring and autumn equinoxes. External heating in the Northern Hemisphere (NH) exerts a positive influence on zonal wind velocity from the equator to the mid-latitudes, whereas it yields a negative effect in the Southern Hemisphere (SH). Likewise, during the winter solstice, this effect is reversed, with the NH and SH experiencing opposing shifts in zonal wind velocity.

Figure 4 demonstrates that radiative forcing during the summer and winter solstices induces northward and southward shifts in atmospheric circulation, respectively. In contrast, during the autumn and spring equinoxes, a weak equatorward displacement of large-scale circulations is observed. Concerning the eddy heat flux, all scenarios of ra-



Figure 6. The figure presents a spatial distribution comparison of total energy anomalies in the lower layer across four distinct seasonal periods: the Spring Equinox, Summer Solstice, Autumn Equinox, and Winter Solstice. This analysis captures energy perturbations attributed to external heating influences. Thick magenta contour lines highlight regions with energy anomalies greater than 75% of the maximum value observed under unforced conditions for each respective month. The x-axis represents the normalized energy anomaly, quantified as the disparity between energy under warm and ambient conditions, normalized by the maximal energy value observed under unforced conditions for each respective month.

diative forcing exhibit a poleward eddy heat flux in both the NH and SH. The spatial 531 distributions of buoyancy and energy perturbations in the lower troposphere, calculated 532 using Equation C.3 and influenced by external radiative forcings, are shown in Figures 533 5 and 6. These perturbations exhibit a latitudinal shift from higher to lower latitudes as 534 the seasonal cycle progresses from the Summer Solstice, through the Autumn Equinox 535 and Spring Equinox, to the Winter Solstice. Additionally, the contrast in thermal radia-536 tive forcing between land and sea in the Northern Hemisphere induces an asymmetric 537 response in the potential temperature anomalies, particularly between the summer and 538 winter solstices. 539

Radiative forcing during the Summer and Winter Solstices contributes to the occurrence of prolonged heatwaves, both over land and oceanic regions. Regions exhibiting <sup>542</sup> significant temperature and energy anomalies, delineated by thick magenta contour
<sup>543</sup> lines, such as Australia during the Winter Solstice or Central Asia during the Summer
<sup>544</sup> Solstice, represent critical zones highly susceptible to extreme heatwaves. This asser<sup>545</sup> tion is substantiated by several observational studies (Fallah and Rostami, 2024; Fallah
<sup>546</sup> et al., 2024). These poleward eddy heat fluxes predominate within the latitudinal bands
<sup>547</sup> of 40° to 80° in the Northern Hemisphere and -40° to -80° in the Southern Hemisphere.

#### 548 4 Conclusion

We introduced the dynamical core of Aeolus 2.0, an atmospheric model of intermedi-549 ate complexity, and employed it to explore the impacts of increased radiative forcing on 550 atmospheric circulation, zonal wind dynamics, and extreme heatwaves. The proposed 551 moist-convective Thermal Rotating Shallow Water (mcTRSW) model aims to address 552 the limitations of traditional shallow water models. The moist-convective scheme rep-553 resents thermodynamic processes by including latent heat release, condensation, down-554 drafts, cooling effects, and sea surface evaporation. The model also incorporates inso-555 lation, radiative fluxes, and precipitable water, enabling the simulation of moisture-556 related dynamics, extreme rainfall, and heatwaves. By adopting a multi-layer approach, 557 the model can simulate barotropic and baroclinic processes. The use of spin-weighted 558 spherical harmonics enhances numerical stability and ensures precision by eliminating 559 singularities at the poles, making Aeolus 2.0 suitable for global-scale simulations. The 560 model is designed to bridge the gap between idealized shallow water models and complex 561 three-dimensional general circulation models (GCMs). 562

Utilizing Aeolus 2.0, we demonstrate how increased radiative forcing affects zonal wind 563 velocities in subtropical and polar regions. This study clarifies the relationship between 564 external heating, zonal wind dynamics, and the spatial distribution of potential temper-565 ature patterns in the Earth's atmosphere during equinoxes and solstices. Key findings 566 suggest that augmented radiative forcing induces seasonally dependent meridional tem-567 perature gradients, yielding asymmetric effects across hemispheres and subsequently 568 impacting zonal wind velocity. Particularly, during Summer and Winter solstices, this 569 phenomenon results in: 570

• An increase in zonal wind velocity in the subtropics of the affected hemisphere.

- A decrease in zonal wind velocity in the opposite hemisphere.
- A reduction in zonal wind velocity of the polar cyclone within the same hemisphere.

Our study reveals robust seasonal shifts in atmospheric circulation induced by in-574 creased radiative forcing. During summer and winter solstices, pronounced northward 575 and southward shifts occur, respectively, while weaker equatorward displacements are 576 observed during autumn and spring equinoxes. Additionally, consistent poleward eddy 577 heat fluxes across hemispheres indicate a strong response to external heating. Radiative 578 forcing during the Summer and Winter Solstices significantly contributes to the occur-579 rence of prolonged heatwaves over both land and oceanic regions, surpassing the impact 580 observed during the Spring and Autumn Equinoxes. 581

These findings underscore the significance of external heating as a major driver of atmospheric circulation patterns. Understanding these dynamics is vital for comprehending and potentially mitigating the impacts of contemporary climate change. Moving forward, continued research efforts, such as investigating Arctic/Antarctic amplifications, are essential to further elucidate the interactions between external forcings, atmospheric dynamics, and precipitation patterns.

#### 588 5 Open Research Section

Aeolus 2.0 has been published as an open-source software package (Rostami et al., 2024a) and is available on Zenodo https://doi.org/10.5281/zenodo.13899262. The most recent iteration of the model, installation procedures, and initialization tailored to specific scientific endeavors can be found in the same location.

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#### <sup>594</sup> Conflict of Interest

<sup>595</sup> The authors report no conflict of interest.

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# <sup>606</sup> A Derivation of the Multi-Layer Thermal Rotating Shallow Water (TRSW) <sup>607</sup> Model

In the pursuit of a comprehensive exposition of the dynamical core, we herein revisit the derivation of the model as proposed by Rostami et al. (2022). Within the framework of the "dry" multi-layer TRSW model, incorporating density variations entails considering the variation of potential temperature. This endeavor commences with the vertical integration of atmospheric primitive equations, utilizing pseudo-height isobaric vertical coordinates Hoskins and Bretherton (1972) in Cartesian coordinates (x, y, z):

$$\frac{\partial \boldsymbol{v}_h}{\partial t} + \boldsymbol{\mathcal{V}} \cdot \boldsymbol{\nabla} \boldsymbol{v}_h + f \hat{\boldsymbol{z}} \times \boldsymbol{v}_h = -\boldsymbol{\nabla}_h \Phi, \qquad (A.1a)$$

$$\frac{\partial \Phi}{\partial z} = g \frac{\theta}{\theta_s},\tag{A.1b}$$

$$\boldsymbol{\nabla}_{h} \cdot \boldsymbol{v}_{h} + \frac{\partial w}{\partial z} = 0, \qquad (A.1c)$$

$$\frac{\partial \theta}{\partial t} + \boldsymbol{v}_h \cdot \boldsymbol{\nabla}_h \theta + w \frac{\partial \theta}{\partial z} = 0.$$
 (A.1d)

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These equations delineate the horizontal momentum (A.1a), the hydrostatic relation 619 (A.1b), the incompressibility (A.1c), and the conservation of potential temperature 620 (A.1d), respectively, from top to bottom. Here,  $\mathcal{V} = (u, v, w)$  represents the 3D velocity 621 field,  $\boldsymbol{v}_h = (u, v)$  denotes its horizontal component,  $\Phi$  represents the geopotential,  $\boldsymbol{\nabla}$ 622 and  $\nabla_h$  are the three- and two-dimensional gradient operators, respectively. Addition-623 ally,  $\theta$  is the potential temperature of the fluid with a reference potential temperature 624  $\theta_s$  at sea level, and g and  $\hat{z}$  correspond to gravity acceleration and the unit vector in 625 the vertical direction, respectively. 626

<sup>627</sup> Considering an *m*-layer configuration, we employ the standard procedure of vertically <sup>628</sup> averaging (A.1a) between the pseudo-height surfaces  $z_i(x, y, t)$  and  $z_{i+1}(x, y, t)$ . This in-<sup>629</sup> volves making the mean-field (columnar motion) approximation, enabling the separation <sup>630</sup> of averages of products into products of averages. In this context, the layer enumeration <sup>631</sup> proceeds from bottom to top, such that  $z_0 < z_1 < ... < z_m$ , where  $h_i = z_i - z_{i-1}$  de-<sup>632</sup> notes the layer thickness. Here, *m* represents the number of layers, and  $z_0$  signifies the <sup>633</sup> lowermost material surface. Consequently, the derived "master" equation is expressed 634 as follows:

$$(z_{i} - z_{i-1}) \left( \frac{\partial \boldsymbol{v}_{i}}{\partial t} + (\boldsymbol{v}_{i} \cdot \boldsymbol{\nabla}) \boldsymbol{v}_{i} + f \hat{\boldsymbol{z}} \times \boldsymbol{v}_{i} \right) = -\boldsymbol{\nabla} \left( \frac{1}{2} \frac{g \bar{\theta}_{i}}{\theta_{s}} (z_{i} - z_{i-1})^{2} + \Phi(z_{i-1}) h_{i} \right) + \Phi(z_{i}) \boldsymbol{\nabla} z_{i} - \Phi(z_{i-1}) \boldsymbol{\nabla} z_{i-1}.$$
(A.2)

After the averaging process, the subscript "h" is omitted. Velocity  $\boldsymbol{v}$  and  $\nabla$  are understood as two-dimensional vectors henceforth. The Lagrangian derivative  $d_i/dt =$  $\partial/\partial t + \boldsymbol{v}_i \cdot \nabla$  will be employed. In the atmospheric case, the layer-averaged buoyancy variable is defined as  $b_i = g\bar{\theta}_i/\theta_s$ , where  $\bar{\theta}_i$  represents the layer-averaged potential temperature. From the hydrostatic equation (A.1b), we derive:

$$\Phi(z_i) = \Phi(z_{i-1}) + h_i b_i = \Phi(z_0) + \sum_{j=1}^i \frac{g\bar{\theta}_j}{\theta_s} h_j = \Phi(z_0) + \sum_{j=1}^i h_j b_j.$$
(A.3)

Here, j represents the index in the sigma notation. For each layer of the TRSW model, the corresponding vertically integrated equations can be expressed as:

$$\frac{d_i \boldsymbol{v}_i}{dt} + f \hat{\boldsymbol{z}} \times \boldsymbol{v}_i = b_i \boldsymbol{\nabla} z_i + \frac{1}{2} h_i \boldsymbol{\nabla} b_i - \boldsymbol{\nabla} \left( \Phi(z_0) + \sum_{j=1}^i h_j b_j \right), \quad (A.4a)$$

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$$\frac{\partial h_i}{\partial t} + \boldsymbol{\nabla}(h_i \boldsymbol{v}_i) = 0, \qquad (A.4b)$$

$$\frac{d_i b_i}{dt} = 0. \tag{A.4c}$$

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In the atmosphere, potential temperature is expected to increase with (pseudo -) 649 height, signifying that the layer with the highest b is positioned at the top. This is 650 in contrast to the oceanic model where the layer ordering is inverted. The pseudo-651 height, a function of pressure, serves as a modified pressure coordinate, allowing for 652 variation at the ground (the lowermost material surface,  $z_0$ ) as a free surface. A "flat 653 top" boundary condition with constant pressure, and hence constant z, is typically 654 imposed at the uppermost material surface, roughly interpreted as the tropopause. To 655 derive a two-layer TRSW reduction of the atmospheric primitive equations, we introduce 656 the layer thicknesses:  $h_1 = z_1 - z_0$  and  $h_2 = z_2 - z_1$ . The boundary conditions are  $\Phi \mid_{z_0} =$ 657 constant and  $\nabla z_2 = 0$ . Substituting the pseudo-height surfaces in equation (A.4a) with 658 thicknesses and considering the boundary conditions, the momentum equations can be 659

simplified to the following final form:

$$\frac{d_1 \boldsymbol{v}_1}{dt} + f \hat{\boldsymbol{z}} \times \boldsymbol{v}_1 = -\frac{1}{2} h_1 \boldsymbol{\nabla} b_1 - b_1 \boldsymbol{\nabla} (h_1 + h_2), \qquad (A.5a)$$

$$\frac{d_2 \boldsymbol{v}_2}{dt} + f \hat{\boldsymbol{z}} \times \boldsymbol{v}_2 = \frac{1}{2} h_2 \boldsymbol{\nabla} b_2 - \boldsymbol{\nabla} \left( h_1 b_1 + h_2 b_2 \right). \tag{A.5b}$$

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# <sup>665</sup> B Thermo-Quasi-Geostrophic Balance Relations for the Barotropic and Baro <sup>666</sup> clinic State

To attain the thermo-quasi-geostrophic (TQG) limit of the "dry" TRSW model in the barotropic case without topography, we employ the following scaling:

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$$(u,v) \sim U, \quad (x,y) \sim L, \quad h \sim H_0(1+\lambda\eta), \quad b \sim B(1+2\lambda b'), \quad f \sim f_0(1+\lambda y),$$
 (B.1)

where  $\lambda$  is a small nondimensional parameter, and  $\eta$  is a deviation from thickness at rest  $H_0$ . The nondimensional momentum equation, after ignoring higher-order terms in the right-hand side, is:

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$$Ro\frac{d\boldsymbol{v}}{dt} + (1+\lambda y)\hat{\boldsymbol{z}} \times \boldsymbol{v} = -\frac{Bu\lambda}{Ro}\boldsymbol{\nabla}(\eta+b'), \qquad (B.2)$$

where  $Bu = BH/f_0^2 L^2$  is the Burger number. In line with the standard quasi-geostrophic regime, we assume that deviations of thickness and buoyancy from their mean values are small, e.g., of the same order as the Rossby number  $Ro = U/f_0L = \lambda$ . If we assume  $Bu \approx 1$ , then equation B.2 simplifies to:

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$$\hat{\boldsymbol{z}} \times \boldsymbol{v} = -\boldsymbol{\nabla}(\eta + b') = \boldsymbol{\nabla}\psi,$$
 (B.3)

679 where  $\psi = \eta + b'$  is the geostrophic streamfunction.

<sup>680</sup> By a similar scaling, we can derive the corresponding nondimensional momentum <sup>681</sup> equations of a two-layer TRSW model that can be deduced from (A.5). We consider a <sup>682</sup> constant geopotential at the lower boundary. Using the following scaling, we represent thicknesses and buoyancy fields as:

$$(u_{1,2}, v_{1,2}) \sim U, \quad (x, y) \sim L, \quad h_{1,2} \sim H_0(\delta_{1,2} + \lambda \eta_{1,2}), \quad b_{1,2} \sim B_0(B_{1,2} + \lambda b'_{1,2}), \quad f \sim f_0,$$
  
(B.4)

where  $\delta_{1,2} = H_{1,2}/H_0$  is the nondimensional thickness,  $\eta_{1,2}$  is the deviation of thicknesses from  $\delta_{1,2}$ ,  $b'_{1,2}$  is buoyancy perturbations, and indices 1, 2 represent the lower and upper layers, respectively. This scaling yields:

$$Ro\frac{d\boldsymbol{v_1}}{dt} + \sin(\phi)\hat{\boldsymbol{z}} \times \boldsymbol{v_1} = -\frac{Bu\lambda}{Ro} \left(\frac{\delta_1}{2}\boldsymbol{\nabla}b_1' + B_1\boldsymbol{\nabla}(\eta_1 + \eta_2)\right), \tag{B.5a}$$

$$Ro\frac{d\boldsymbol{v_2}}{dt} + \sin(\phi)\hat{\boldsymbol{z}} \times \boldsymbol{v_2} = -\frac{Bu\lambda}{Ro}\left(\delta_1\boldsymbol{\nabla}b_1' + \frac{\delta_2}{2}\boldsymbol{\nabla}b_2' + B_1\boldsymbol{\nabla}\eta_1 + B_2\boldsymbol{\nabla}\eta_2\right), \quad (B.5b)$$

where  $\phi$  is the latitude. Thus, the thermo-geostrophic balance relations between velocity and gradients of pressure and buoyancy perturbations are:

$$\sin(\phi)\hat{\boldsymbol{z}} \times \boldsymbol{v_1} = \frac{\delta_1}{2}\boldsymbol{\nabla}b_1' + B_1\boldsymbol{\nabla}(\eta_1 + \eta_2), \tag{B.6a}$$

$$\sin(\phi)\hat{\boldsymbol{z}} \times \boldsymbol{v_2} = \delta_1 \boldsymbol{\nabla} b_1' + \frac{\delta_2}{2} \boldsymbol{\nabla} b_2' + B_1 \boldsymbol{\nabla} \eta_1 + B_2 \boldsymbol{\nabla} \eta_2. \tag{B.6b}$$

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#### 697 C Hamiltonian Structure of the TRSW Model

<sup>698</sup> Building upon Ripa's work on inhomogeneous layered models (Ripa, 1993), we formu-<sup>699</sup> late the Hamiltonian structure for a two-layer TRSW model in a "dry" environment, <sup>700</sup> considering the influence of bottom topography. Unlike classical Poisson bracket, the <sup>701</sup> generalized Poisson brackets may be degenerate. If a system is Hamiltonian, then the <sup>702</sup> evolution equations governing the field variables  $\varphi^a$  can be expressed utilizing the gen-<sup>703</sup> eralized Hamiltonian structures as follows:

$$\partial_t \varphi^a = \left\{ \varphi^a, \mathscr{H} \right\} = \mathbb{J}^{ab} \frac{\delta \mathscr{H}}{\delta \varphi^b}. \tag{C.1}$$

<sup>705</sup> Here,  $\mathscr{H}$  represents the Hamiltonian, and  $\mathbb{J}$  denotes the Poisson tensor, which must <sup>706</sup> possess skew-adjoint properties and satisfy the Jacobi identity. The Poisson bracket  $_{707}$  between two functionals is given in terms of  $\mathbb{J}$  through the volume integral:

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$$\{\mathscr{F},\mathscr{G}\} = \int_{\mathscr{D}} d^2x \frac{\delta\mathscr{F}}{\delta\varphi^a} \mathbb{J}^{ab} \frac{\delta\mathscr{G}}{\delta\varphi^b}.$$
 (C.2)

In the above equation, with summation over repeated indices,  $\mathscr{F}$  and  $\mathscr{G}$  represent functionals,  $\delta \mathscr{F} / \delta \varphi^a$  and  $\delta \mathscr{G} / \delta \varphi^b$  denote the functional derivatives of  $\mathscr{F}$  and  $\mathscr{G}$  with respect to any particular representation of the state variables  $\varphi^a$ . This representation is defined by  $\mathscr{F}(\varphi^a + \varepsilon \mu^a) = \mathscr{F}(\varphi^a) + \varepsilon \int d^2 x \, (\delta \mathscr{F} / \delta \varphi^a) \mu^a + \mathcal{O}(\varepsilon^2)$ , where  $\mu^a(\mathbf{x})$  is arbitrary and  $\varepsilon \rightarrow$ 0. The integral (C.1) is performed over the domain  $\mathscr{D}$ . According to the Jacobi identity, for any three functionals,  $\mathscr{F}, \mathscr{G}$ , and  $\mathscr{K}, \{\{\mathscr{F}, \mathscr{G}\}, \mathscr{K}\} + \{\{\mathscr{G}, \mathscr{K}\}, \mathscr{F}\} + \{\{\mathscr{K}, \mathscr{F}\}, \mathscr{G}\} =$ 0.

A suitable Hamiltonian, serving as a comprehensive measure of the total energy in the system described by Equation (2.1), encompassing both kinetic energy and gravitational potential energy, can be defined as:

$$\mathscr{H} = \int_{\mathscr{D}} d^2 x \left[ h_1 \left( \frac{1}{2} \boldsymbol{v}_1^2 + \tilde{h_1} b_1 \right) + h_2 \left( \frac{1}{2} \boldsymbol{v}_2^2 + \tilde{h_2} b_2 \right) \right].$$
(C.3)

 $_{720}~$  The functional derivatives of the Hamiltonian  $\mathscr H$  are:

$$\frac{\delta \mathscr{H}}{\delta \boldsymbol{v}_k} = h_k \boldsymbol{v}_k, \quad \frac{\delta \mathscr{H}}{\delta h_k} = \xi_k, \quad \frac{\delta \mathscr{H}}{\delta b_k} = h_k \tilde{h}_k.$$
(C.4)

Here,  $\xi_i = \tilde{p}_i + (1/2) \boldsymbol{v}_i^2$  represents the Bernoulli head in each layer. It is important to 722 note that, in general,  $\partial \tilde{h}_k / \partial h_k$  does not vanish when calculating  $\delta \mathscr{H} / \delta h_k$ . The total me-723 chanical energy of the system, as defined in Equation (C.3), remains conserved, ensuring 724 the boundedness of the system's free evolution. The term  $d^2x (1/2)h_i \boldsymbol{v}_i^2$  represents the 725 kinetic energy associated with an elementary fluid column in the *i*th layer, calculated 726 under the Boussinesq approximation. Furthermore, the height of the elementary column 727 above the reference level z = 0 in the *i*th layer is denoted as  $\tilde{h_i}$ , and its absolute potential 728 energy is given by  $d^2x h_i \tilde{h}_i b_i$ . This conservation applies to the sum of kinetic energy and 729 potential energy of the active layers, relative to them being filled with stable stratified 730 layers with potential temperatures  $\theta_2 > \theta_1$ . The definiteness of  $\mathscr{H}$  indicates that the 731 solutions of the fully nonlinear equations cannot undergo an "explosion" from a state 732 of rest, ensuring that the free evolution of the system remains bounded. By considering 733

<sup>734</sup> the layer-wise potential vorticity as:

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$$\mathbb{Q}_i = \frac{f + \partial_x v_i - \partial_y u_i}{h_i}, \quad i = 1, 2,$$
(C.5)

we can rewrite the equations describing the evolution of velocity, (2.1b) and (2.1c), as:

$$\partial_t u_i - \mathbb{Q}_i h_i v_i + \partial_x \xi_i = \tilde{h}_i \partial_x b_i, \quad i = 1, 2, \tag{C.6a}$$

$$\partial_t v_i + \mathbb{Q}_i h_i u_i + \partial_y \xi_i = \tilde{h}_i \partial_y b_i, \quad i = 1, 2.$$
(C.6b)

From equations (2.1) and (C.5), we can infer that the potential vorticity follows the following equation and is not conserved:

$$\partial_t \mathbb{Q}_i + \boldsymbol{v}_i \cdot \boldsymbol{\nabla} \mathbb{Q}_i = h_i^{-1} \mathcal{J}(\tilde{h}_i, b_i), \quad i = 1, 2,$$
(C.7)

where the operator  $\mathcal{J}(f,g) = \partial_x f \partial_y g - \partial_y f \partial_x g$  is the Jacobian of the two functions. When 743 the condition  $\nabla b_i = 0$  holds, equation (C.7) represents the conservation of potential 744 vorticity  $(\partial_t \mathbb{Q}_i + \boldsymbol{v}_i \cdot \boldsymbol{\nabla} \mathbb{Q}_i = 0)$ , indicating that the potential vorticity is conserved 745 as the fluid elements move in the classical RSW equations. If density gradients are 746 present within the layer, potential vorticity  $\mathbb{Q}_i$  does not remain conserved. If  $\nabla \tilde{h}_i$  is 747 collinear with  $\nabla b_i$ , it indicates a symmetry in the system that leads to the conservation of 748 certain quantities, often referred to as Casimirs or  $\mathbb{Q}$ , throughout the system's evolution. 749 Casimirs are functionals of the phase space variables that remain constant along the 750 system's trajectories. This conservation ensures the stability and boundedness of the 751 system. Equations (2.1) may be written in non-canonical Hamiltonian form as: 752

$$\partial_t(\varphi) = \mathbb{J} \begin{pmatrix} \mathbb{M}_1 \\ \mathbb{M}_2 \end{pmatrix},$$
 (C.8)

where  $(\varphi) = (b_1, h_1, u_1, v_1, b_2, h_2, u_2, v_2)^T$  represent the phase space, comprising the variables  $b_i, h_i, u_i, v_i$  with i = 1, 2, in the domain  $\mathscr{D}$ . Notably, the system exhibits no normal flux across the domain. The matrix  $\mathbb{O}$  within the Poisson tensor is a null matrix of size  $4 \times 4$ . The Poisson tensor itself takes a block diagonal form, and the matrices  $\mathbb{M}_i$  are

defined as follows: 758

$$\mathbb{J}_{759} \qquad \mathbb{J} = \begin{pmatrix} \mathbb{J}_{1} \ \mathbb{O} \\ \mathbb{O} \ \mathbb{J}_{2} \end{pmatrix}, \quad \mathbb{J}_{i} = \begin{pmatrix} 0 & 0 & -h_{i}^{-1}\partial_{x}b_{i} - h_{i}^{-1}\partial_{y}b_{i} \\ 0 & 0 & -\partial_{x} & -\partial_{y} \\ h_{i}^{-1}\partial_{x}b_{i} - \partial_{x} & 0 & \mathbb{Q}_{i} \\ h_{i}^{-1}\partial_{y}b_{i} - \partial_{y} & -\mathbb{Q}_{i} & 0 \end{pmatrix}, \quad i = 1, 2, \qquad (C.9a)$$

$$\mathbb{M}_{i} = \begin{pmatrix} h_{i}\tilde{h}_{i} \\ \xi_{i} \\ h_{i}u_{i} \\ h_{i}v_{i} \end{pmatrix}, \quad i = 1, 2. \qquad (C.9b)$$

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Although the matrix  $\mathbb{J}$  in Equation (C.9a) is not inherently antisymmetric, its skew-762 adjoint property implies that for any pair of admissible functionals of the state,  $\mathscr{F}(\varphi)$ 763 and  $\mathscr{G}(\varphi)$ , the relation  $\{\mathscr{F},\mathscr{G}\} = -\{\mathscr{G},\mathscr{F}\}$  holds, as shown in equation (C.10). In the 764 case where gradients of potential temperature are absent, the rank of the Poisson tensor 765 (C.9a) is reduced. To clarify, the Poisson bracket (C.2) can be written in the following 766 form: 767

$$\left\{\mathscr{F},\mathscr{G}\right\} = \int_{\mathscr{D}} d^2 x \left[h^{-1} \nabla b \left(\frac{\delta \mathscr{F}}{\delta \boldsymbol{v}} \frac{\delta \mathscr{G}}{\delta \boldsymbol{b}} - \frac{\delta \mathscr{G}}{\delta \boldsymbol{v}} \frac{\delta \mathscr{F}}{\delta \boldsymbol{b}}\right) + \nabla \cdot \left(\frac{\delta \mathscr{F}}{\delta \boldsymbol{v}}\right) \frac{\delta \mathscr{G}}{\delta h} - \nabla \cdot \left(\frac{\delta \mathscr{G}}{\delta \boldsymbol{v}}\right) \frac{\delta \mathscr{F}}{\delta h} + \mathbb{Q} \left(\frac{\delta \mathscr{F}}{\delta \boldsymbol{u}} \frac{\delta \mathscr{G}}{\delta \boldsymbol{v}}\right) \frac{\delta \mathscr{F}}{\delta \boldsymbol{v}} + \mathbb{Q} \left(\frac{\delta \mathscr{F}}{\delta \boldsymbol{v}} \frac{\delta \mathscr{F}}{\delta \boldsymbol{v}}\right) \frac{\delta \mathscr{F}}{\delta \boldsymbol{v}} + \mathbb{Q} \left(\frac{\delta \mathscr{F}}{\delta \boldsymbol{v}} \frac{\delta \mathscr{F}}{\delta \boldsymbol{v}}\right) 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The inclusion of the gradient operator in (C.9a) implies that for the Poisson bracket to 769 exhibit antisymmetry, the admissible functionals must satisfy the condition: 770

$$\boldsymbol{n} \cdot \frac{\delta \mathscr{F}}{\delta \boldsymbol{v}_i} = 0, \quad \mathbf{x} \in \partial \mathscr{D}, \quad i = 1, 2.$$
 (C.11)

This condition specifies that the normal component of the functional derivative of  $\mathscr{F}$ 772 with respect to  $\boldsymbol{v}_i$  must be zero at the boundary  $\partial \mathscr{D}$  for both the i = 1 and i = 2 layers. 773

The Poisson bracket between an arbitrary functional of state, denoted as  $\mathscr{F}$ , and the 774 Hamiltonian, denoted as  $\mathscr{H}$ , can be straightforwardly determined using the following 775

<sup>776</sup> expression:

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$$\left\{\mathscr{F},\mathscr{H}\right\} = \int_{\mathscr{D}} d^2 x \left[\frac{\delta\mathscr{F}}{\delta \boldsymbol{v}} \cdot \partial_t \boldsymbol{v} + \frac{\delta\mathscr{F}}{\delta h} \partial_t h + \frac{\delta\mathscr{F}}{\delta b} \partial_t b\right].$$
(C.12)

This expression captures the contribution of the rate of change of  $\mathscr{F}$  that arises from its dependence on the state variables  $\varphi^a(\mathbf{x}, t)$ , rather than an explicit dependence on time t. It accounts for the effects of the time evolution of the variables  $\boldsymbol{v}$ , h, and b on  $\mathscr{F}$ .

In the system, in addition to the total mechanical energy, an infinite number of 781 conserved Casimirs exist. These Casimirs, represented as  $\mathscr{C}(\varphi)$ , are associated with 782 arbitrary functions of potential temperature rather than potential vorticity. As func-783 tionals of the system's state, the gradients of these Casimirs satisfy the condition 784  $\mathbb{J}^{ab}\left(\delta \mathscr{C}/\delta \varphi^{b}\right) = 0$ , indicating that their functional gradients with respect to the variables 785  $\varphi^{b}$  lie within the null space of the Poisson tensor  $\mathbb{J}$ , which is known to be singular (Morri-786 son, 1998; Shepherd, 1990). This property ensures the invariance of the Casimirs during 787 the system's evolution. An equivalent condition stated in terms of the Poisson bracket is 788  $\{\mathscr{C},\mathscr{F}\}=0$  for all functionals  $\mathscr{F}$ . This implies that the Poisson bracket between any pair 789 of Casimirs and functionals vanishes, further reinforcing the conservation of Casimirs 790 as the system evolves. The Casimir functionals for equations (C.9a) and (C.10) can be 791 written as follows: 792

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$$\mathscr{C}(\varphi) = \int_{\mathscr{D}} d^2 x \, h_i \left[ \mathbb{Q}_i F(b_i) + G(b_i) \right], \quad i = 1, 2, \tag{C.13}$$

<sup>794</sup> where  $F_i$  and  $G_i$  are arbitrary functions. These Casimir functionals capture the con-<sup>795</sup> servation principles associated with potential temperature functions in the system. The <sup>796</sup> necessary boundary conditions for the Casimirs are  $\hat{n} \cdot \boldsymbol{v}_i = 0$  and  $\nabla b_i \times \hat{n} = 0$  on the <sup>797</sup> boundary  $\partial \mathcal{D}$ . These conditions ensure that the Casimirs remain constant and unaf-<sup>798</sup> fected by flow or temperature variations at the boundaries of the system.

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