

### ERC Scientific Seminar - How, when and why science fails to correct itself?

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## NanoBubbles: how, when and why science fails to **correct** itself?

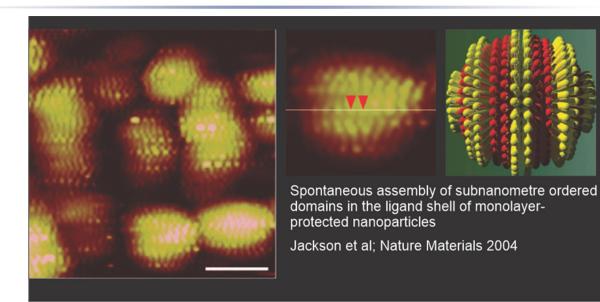
#### Frédérique Bordignon, Y. J. Erden, Raphaël Lévy

ERC Scientific Seminar July 8th, 2022



## The beginning of the story...

#### First attempt at "correcting science": the stripy nanoparticles controversy

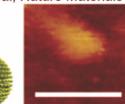


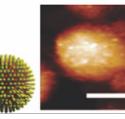
Stripy Nanoparticles Revisited Submitted 2009

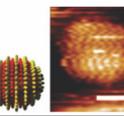
Surface-structure-regulated cell-membrane penetration by monolayer-protected nanoparticles

#### Verma et al; Nature Materials 2008











2008

#### Three years to publish a "revisited" article? let's blog



2012

#### STRIPY NANOPARTICLES REVISITED



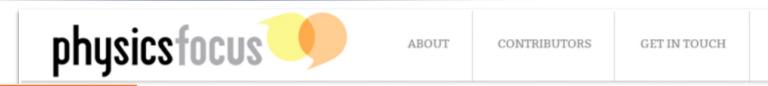
Challenging published results is an onerous but necessary task. Today, our article entitled **Stripy Nanoparticles Revisited** has been published in *Small*, three years after its initial submission to this journal (3/12/09) and about three and a half years after the first submission (to Nature Materials, 21/07/09).

As its title indicates, the article challenges the evidence for

the existence and properties of "stripy" nanoparticles. The stripy nanoparticle hypothesis was first

COMMENTS Raphaël Lévy on What	My Tweets COMMENTS Raphaël Lévy on What Proportion of Scientific.	S	earch
Raphaël Lévy on What	Raphaël Lévy on What	My	Tweets
	Proportion of Scientific.	C	OMMENTS

#### Whose job is it to correct science? Are blogs an appropriate place?



#### **SCIENCE & TECHNOLOGY**

#### BIG TUSSLE OVER TINY PARTICLES

In peer-reviewed papers and on blogs, researchers argue over the existence of **STRIPED COATINGS** on nanoparticles LAUREN K. WOLF, C&EN WASHINGTON

**AS TRUTH SEEKERS,** scientists often challenge one another's work and debate over the details. At the first-ever internagory detail the various aspects of the controversy. Stellacci, who refuses to engage in these discussions, says he feels as though

#### ARE FLAWS IN PEER REVIEW SOMEONE ELSE'S

**PROBLEM?** 

By Philip Moriarty O





« Psychiatrists From Another Dimension (Part 2)

Medical Journal Apologizes "For The Distress Caused" By A Paper »

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euroskeptic | January 27, 2014 4:47 pm

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NEWS&ANALYSIS

#### MATERIALS SCIENCE

#### Nano-Imaging Feud Sets Online Sites Sizzling

Scientific controversies often sort themselves out as new data roll in. But a decadeold dispute in nanoscience shows no sign of letting up. Researchers on both sides are claiming that recently published papers settle the debate in their favor, while one is charging his opponents with resorting to an spot signatures consistent with Stellacci's stripes seals the deal, says Biscarini, a chemist and expert in scanning microscopy. "In my mind the controversy is over."

Stellacci's critics—chiefly U.K.-based STM experts Raphaël Lévy of the University of Liverpool and Philip Moriarty of the what kids that commit suicide gc Instead of engaging in such ' and unprofessional" conduct, h skeptics should go through th channels of peer review and pu data in journals so the scientific p work through the issues.

article in *Science* has been getting a lot of attention this week: **mo-Imaging Feud Sets Online Sites Sizzling** 

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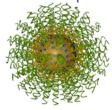
r<sup>e</sup> 'stripey nanoparticles' debate, which I covered a few weeks n 2004, Francesco Stellacci and his colleagues published a paper

A body of work published (mostly) by Chad Mirkin, Northwestern University, in 50+ scientific articles in top journals from **2006**.

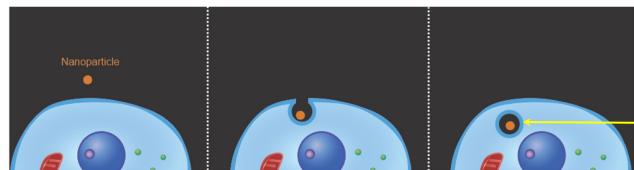
Expression "Spherical nucleic acids" coined in **2012**; gold nanoparticles with DNA strands attached to the gold core

The basis of several companies, scientific prizes, millions of dollars of research grants, cell biology research reagents advertised and sold worldwide for several years as well as clinical trials.

What is the problem?



#### Established knowledge: nanoparticles enter cells but end up in vesicles inside the cell



Endosome

As Dales has pointed out (3), virus entry by phagocytosis, or during pinocytosis, might simply be a special example of the general method whereby cells in a wide variety of normal situations take up particulate objects. But under normal conditions phagocytosis is usually directed to the concentration of assimilated material in membrane-bounded vacuoles or inclusions connected with digestive disposal processes (7, 8), and for infection by ingested virus

In 1963, the mechanism of entry of nanoparticles into cells is already well **1963** established knowledge.



#### SPHERICAL NUCLEIC ACIDS

#### Oligonucleotide-Modified Gold Nanoparticles for Intracellular Gene Regulation

Nathaniel L. Rosi,\* David A. Giljohann,\* C. Shad Thaxton, Abigail K. R. Lytton-Jean, Min Su Han, Chad A. Mirkin†

We describe the use of gold nanoparticle-oligonucleotide complexes as intracellular gene regulation agents for the control of protein expression in cells. These oligonucleotide-modified nanoparticles have affinity constants for complementary nucleic acids that are higher than their unmodified oligonucleotide counterparts, are less susceptible to degradation by nuclease activity, exhibit greater than 99% cellular uptake, can introduce oligonucleotides at a higher effective concentration than conventional transfection agents, and are nontoxic to the cells under the conditions studied. By chemically tailoring the density of DNA bound to the surface of gold nanoparticles, we demonstrated a tunable gene knockdown.

Tucleic acid-based methods for controlling esized that this particular type of Au NP

the design of two sets of antisense Au NPs, with the ASODN conjugated to the Au NP surface with either one or four thiol groups (Fig. 1). The tetra-thiol particle (particle A) supports 45 to 50 strands, whereas the mono-thiol particle (particle B) has 110 to 120 strands. Whereas particle A exhibits a binding constant to its complementary sequence that is approximately equal to that of an unmodified ASODN, particle B has an affinity  $\sim$ 35 times as high as that of the unmodified ASODN (fig. S1) (23). This result is consistent with a cooperative binding theory, which predicts that higher oligonucleotide packing densities result in a corresponding increase in association constant (15). Taken together, particles A and B offer the opportunity to study the potential of ASNPs in regulating gene expression and, more specifically, the effect of particle binding constants and oligonucleotide loading on the performance of such particles in the context of EGFP expression.

Nanoparticles (SNAs) to interfere with gene regulations inside cells.

But how do they escape endosomes?

www.sciencemag.org SCIENCE VOL 312 19 MAY 2006

#### Promises. Lots of promises. Big ones.

"...opens the door for new possibilities in the study of gene function and nanotherapies."

"...can be used as both transfection agents and cellular "nano-flares" for detecting mRNA in living cells." "...new avenues for tackling glioblastoma, Alzheimer's, and Parkinson's. ... broadspectrum antibiotics ... traumatic brain injury. ... could positively impact **tens of millions** of people"

"Live cell RNA detection is now possible using inert nanoparticle technology to specifically detect native mRNA" Nanoparticles (SNAs) to interfere with gene regulations inside cells.

Nanoparticles (SNAs) to detect mRNAs inside cells.

But how do they escape endosomes?

2006-2009

2013-2015



2014

2015

Commercialization of SNAs (SmartFlares) to detect mRNAs inside cells.

#### TheScientist TOP 10 Innovations 2013

Publication (by us) of *The spherical nucleic acids mRNA detection paradox* ; Confirmation from an ex-application development specialist that the SmartFlares do not work

2016

2017

2018

Publication (by Czarnek and Bereta) of *SmartFlares fail to reflect their target transcripts levels* = independent confirmation from another group

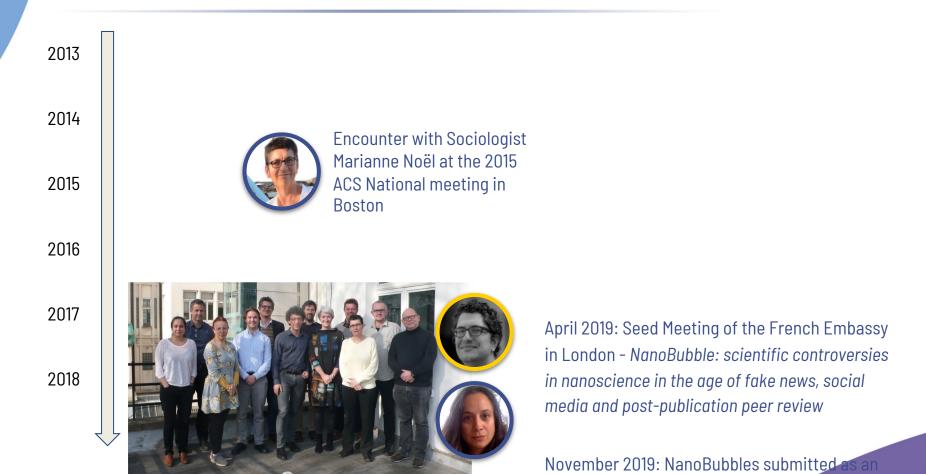
that the SmartFlares do not work

Catalogue Number	Availability
SF-913	Discontinued

Commercialization stops.

Chad Mirkin calls me a scientific terrorist and a scientific zealot for asking a question about this at the ACS National meeting in Boston

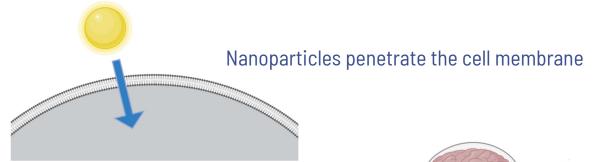
#### The questions raised by those controversies are (mostly) not about science



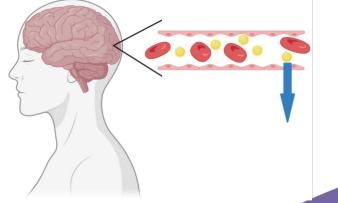
#### **3 bubbles**

The protein corona that changes everything





Nanoparticles cross the Blood-Brain Barrier, or do they?



## The STS framework

Science and Technology Studies

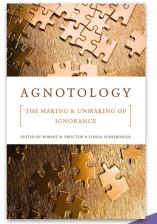
#### STS framework

- A new field (1970s) of Science and Technology Studies
  - interdisciplinary field
  - combining history, sociology, philosophy, anthropology, policy studies...

#### - Controversy studies

- historical and sociological studies of disagreements
- where the actors deconstruct each other's claims
- Agnotology

why and how some scientists and firms promote ignorance



Proctor & Schiebinger. Agnotology: The Making and Unmaking of Ignorance. Stanford University Press, 2008

#### STS framework

#### Tacit knowledge

- laboratories & conferences
- ethnographic studies

#### Explicit knowledge

- journals & textbooks
- historical, literary & quantitative/digital methods

Csiszar, A. The Scientific Journal: Authorship and the Politics of Knowledge in the Nineteenth Century. University of Chicago Press, 2018





### Laboratory ethnography during a pandemic: On temporality, instability and co-production

#### RECENT POSTS

Critical Studies of a Tech Stack: A Technological Network Perspective January 5, 2022

A laboratory ethnography is a method of studying scientific workplaces to understand how scientific knowledge is constructed within a complexinterwavingof social, material, and discursive relations. The method developed within laboratory studies (Knorr Cetina, 1995) emerged in Science and Technology Studies in the 1970s and 1980s. The observations and discourse analysis of scientific practices were conducted based on case studies of neuroendocrinology (Bruno Latour), molecular biology (Karin Knorr Cetina), and high energy physics laboratories (Sharon Trawek). The fieldwork of laboratory practice means that researchers are physically immersed in day-to-day activities to observe social interactions, the structural forms of work, and the movements of knowledge and skills. The immersion allows them to identify habits and batterns in routine practices. The co-location approach - being with Digital Humanities Laboratories Recording January 2, 2022

Digital Humanities Laboratories Communities of/in practice

CFP: Critical Infrastructure Studies & Digital Humanities October 27, 2021

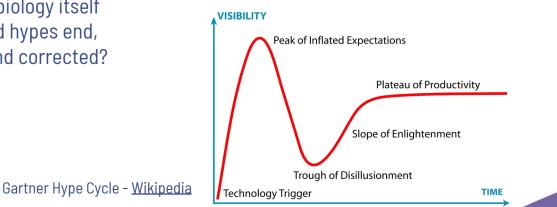
Source: **DH Infra project** blog. February 8th, 2021 <u>https://dhinfra.org/163/laboratory-ethnography-during-a-pandemic-</u> <u>on-temporality-instability-and-co-production</u>

#### STS framework

- Sociology of error
  - to explain the persistence of error and failures of self-correction
  - science as a social and human activity
  - redirecting the focus from individual misconduct or sloppiness to collective processes

#### Sociology of promises or expectations

- when expectations become performative
- claims about nano's potential are increasingly challenged from within nanobiology itself
- how promises and hypes end, or are adjusted and corrected?



## Digital methods

#### Scientometrics and text analysis

- large corpora
- automatic screening of scientific publications
  - to detect unreliable or odd results

tortured phrases (colossal information/big data, counterfeit consciousness/artificial intelligence) automatically generated papers incorrect use of nucleotide sequence reagents

- to track claims and counter-claims
- to study citations

critical citations to detect disagreement how claims circulate



#### Problematic Paper Screener

https://www.irit.fr/~Guillaume.Cabanac/problematic-paper-screener/

### **Ethical reflections**

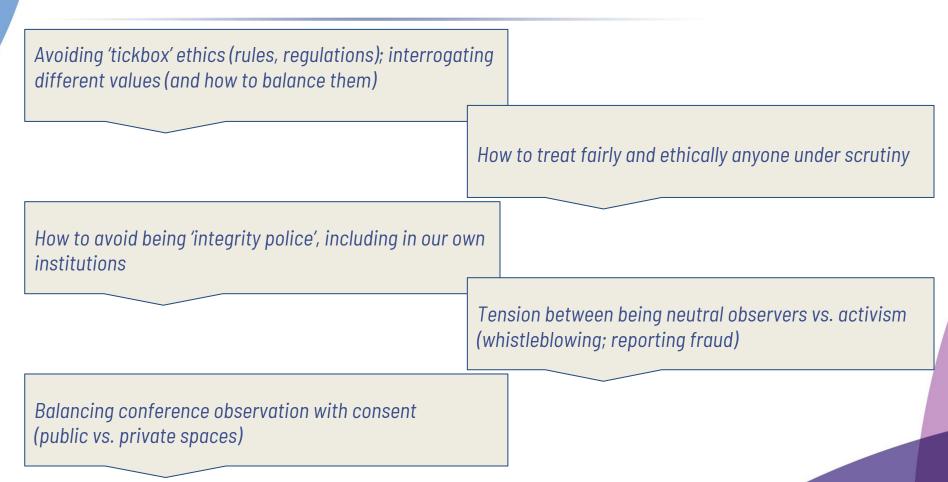
#### Ethical aims require ethical reflection

Aim: ensure good, rigorous science through effective methods of correction

Requires: rigorous and transparent ethical approaches and procedures

Image: Qwedgeonline 'Tight Rope' URL

#### Some of our ethical concerns



#### Embedded practice; integrated oversight

Aim: promotion of ethical discussion, including on legal instruments / hoops

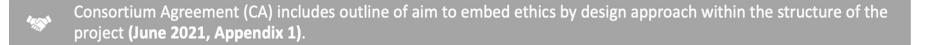
Practice: embedded ethics rapporteur to foster regular, sustained discussion / reflection

Benefits: oversight of project (decision making and struggles), easy access to key information, fostering good will towards ethics through open discussion, avoiding conflation with compliance / minimal oversight (lip service to ethics)

Struggles: continuous reflection needed, e.g. to sustain critical distance, to deliver unwelcome advice / guidance, to agree what is sufficient for monitoring and managing ethics, to sustain collective support and oversight in the light of other project aims

#### First ethics periodic report (D2.6)

Special dispensation to embed internal Ethics Rapporteur (rather than external Ethics Advisor) (March 2021, Appendix 2)



Discussion and listing of ethical concerns, preliminary resolutions, agreements, plans for next steps (February 2021, Chapter 1)

First agreement on social media data, adoption of guidance framework (September 2021, Chapter 2)

أرزي Joint Consortium and Ethics Advisory Board (EAB) meetings (June 2021, November 2021, March 2022, Chapter 3)



NanoBubbles Memorandum of Understanding (MoU), agreed and approved by members and EAB (**November 2021**, **Chapter 4**)

#### Recent and upcoming activities (D2.7)

#### Ethics meeting with new NanoBubbles members (February 2022):

- On witch-hunting and protecting participants
- On what is public or private
- On the difference between fraud and hype (and related)
- On how we distinguish data from different conference spaces, including public presentations and panel discussions

Workshop on diversity and inclusivity, Dr Chris Campbell, Psychology (June 2022)

- On implicit bias
- On inequality
- Impacts on science and participants
- What has been done (what works, what doesn't)
- What we can do (measurable goals; cultivate cultural curiosity)

#### 2-day meeting / workshop (January – February 2023)

- Ethics training (theories and approaches), plus discussion
- Critical thinking (training)
- Shared vocabulary (discussion)
- Creating ethics guidelines/frameworks for ourselves, e.g. how to deal with reporting errors in specific cases (workshop)

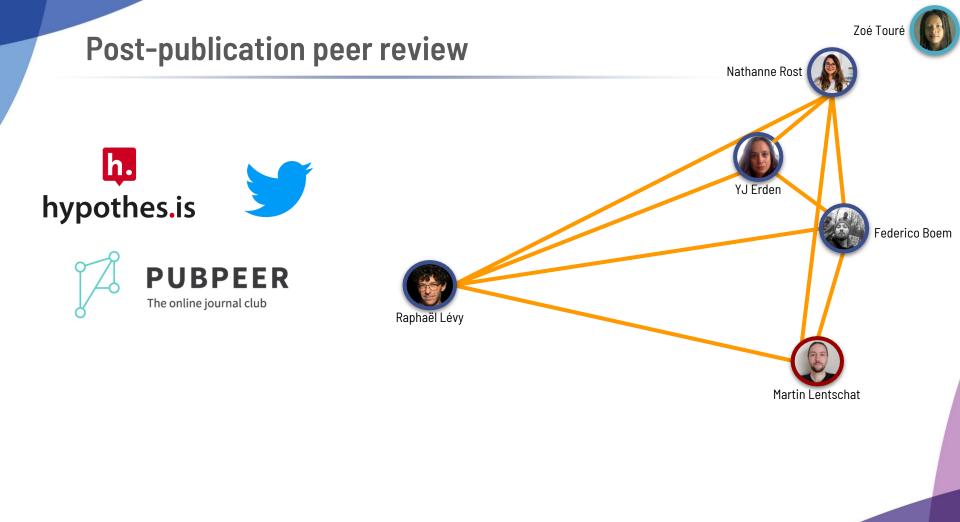
## Synergy in action!

Node color = **PI official attachment** 

Edge = shared research topic

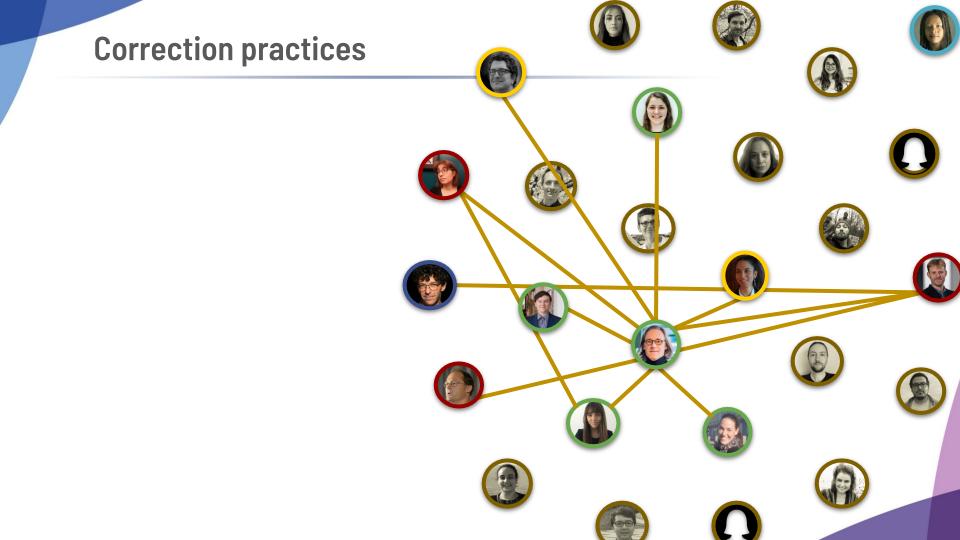


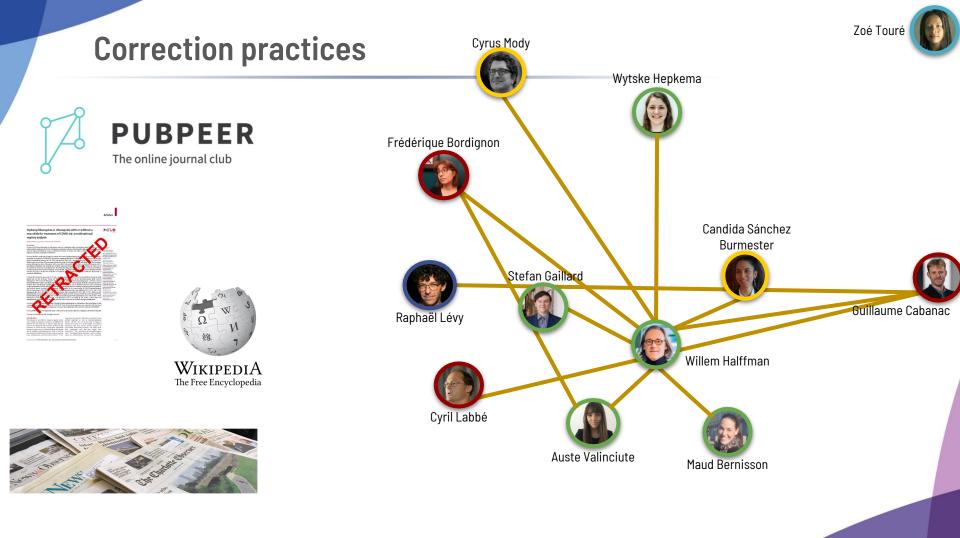




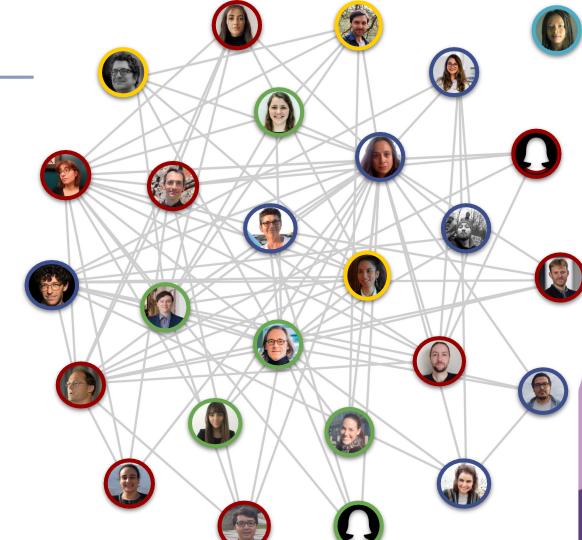
### **Correction practices**

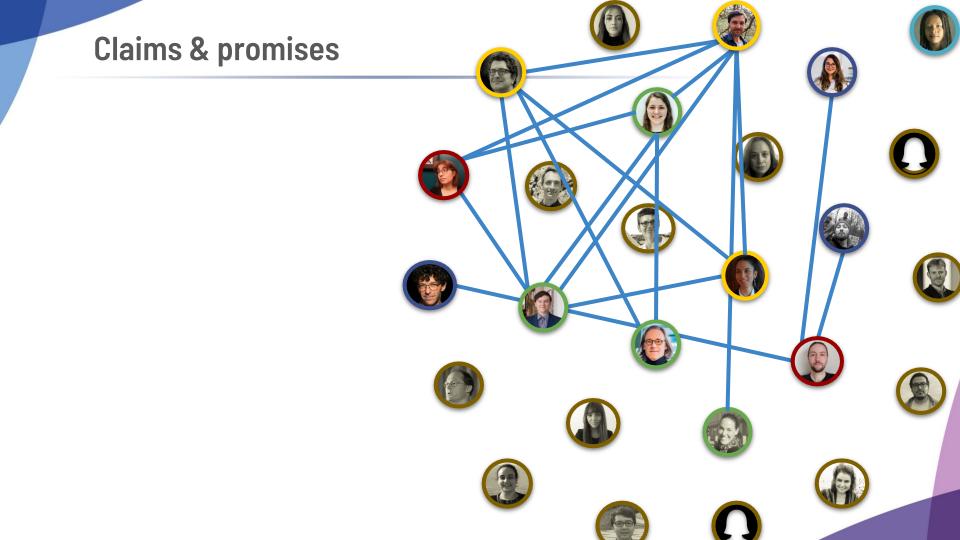


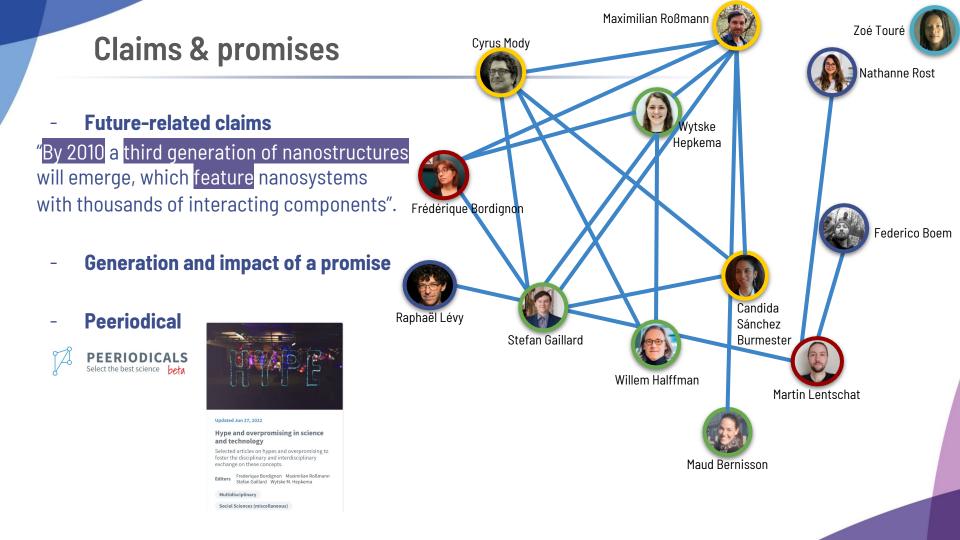




### Claims & promises

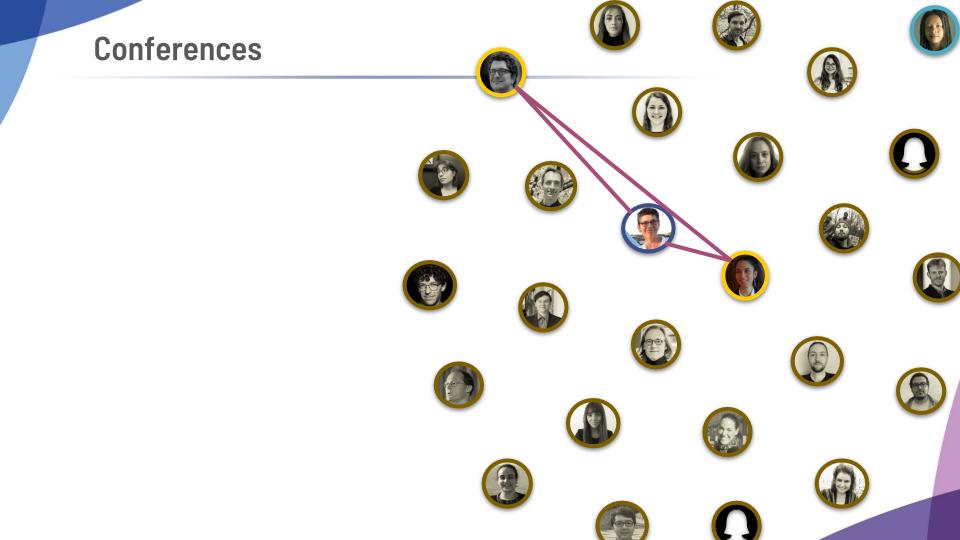


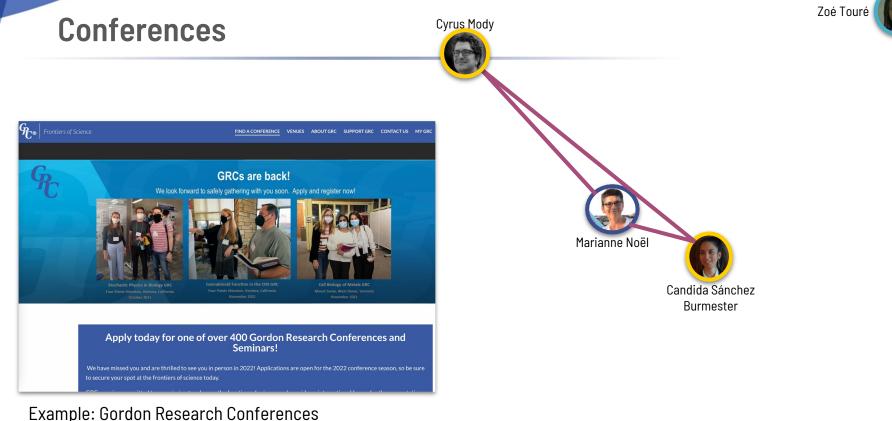




### Conferences



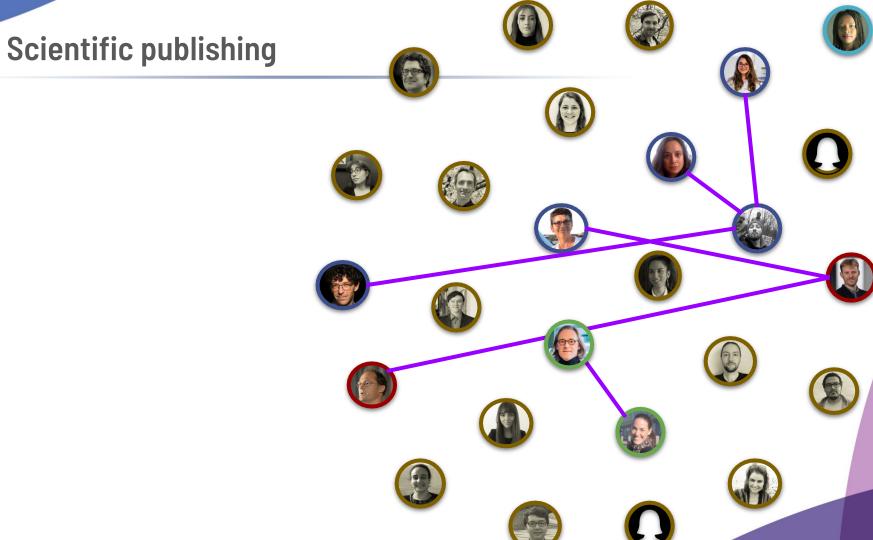




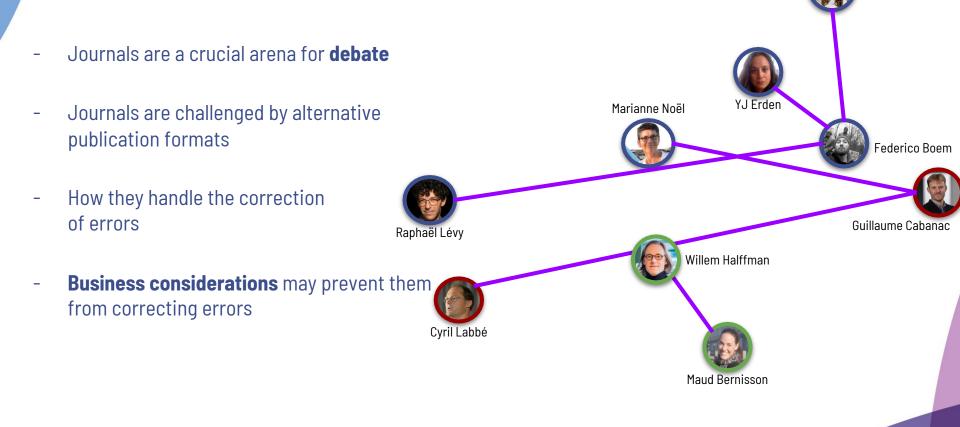
Example: Gordon Research Conferences

### Scientific publishing





### Scientific publishing



Zoé Touré

Nathanne Rost

# No over-stretched claims!

#### Many other projects:

- negative results
- replications

• • •

- critical citations
- retraction notices
- shared vocabulary





European Research Council erc Established by the European Commission



This presentation is part of the project NanoBubbles: how, when and why does science fail to correct itself? that has received funding from the European Research Council (ERC) under the European Union's Horizon 2020 research and innovation programme. Grant agreement number ID: 951393

Thanks!

https://nanobubbles.hypotheses.org

#### @\_Nano\_Bubbles



NANORUBBI ES BLOO ABOUT THIS WEBSITI This academic blog is related to the NanoBubbles project, led by 4 researchers from the Universities of Paris Sorbonne Nord. Maastricht Greenhie-Alnes and Barlhourd in collaboration with researchers from the CNRS the University of Twente, IRIT and Ecole des

The project focuses on how, when and why science fails to correct itself. To understand how the correction of science works or fails, the NanoBubbles project ombines approaches from the natural sciences, engineering (natural language processing) and humanities and social sciences (linguistics, sociology, philosoph and history of science) The purpose of this academic blog is to communicate on the work carried out within the scope of the project, with the aim of sharing it with a wide audience in line with the principles of open science.

META

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ERC PhD in ML/NLP - information extraction, critical citations, claims and counter-claims in scholarly communication and social networks



IOR OFFERE / NEWS / Postdoctoral researcher to work on the role of journals in error correction/propagation (CNRS, France)



How fraudulent research leads to

patient deaths: an overview of the

trachea transplant scandal : a

NanoBubbles co-led event

Hype and overpromising in science and technology : a peeriodical meeting in Autrans nitiated by ManoDubbles to



Second NanoBubbles in-person

- Talks ( III Team members (I

RECENT ARTICLES

claims in scholarly

networks

O ERC PhD in MUNLP

information extraction critical

citations, claims and counter

ommunication and social

How fraudulent research

leads to patient deaths: an

overview of the trachea

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O Postdoctoral researcher to

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 Hype and overpromising science and technology: a

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(CNRS, France)

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transplant scandal : a