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1 **A new multifractal-based Grain Size Distribution model**

2

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33 **Abstract**

34 Previous works related to the application of the multifractal theory for analyzing the grain size
35 distribution (GSD), showed the potential of this approach to deal with this complex issue.
36 However, absence of the practical application of this kind of statistical analysis raised some
37 doubts among the soil scientists. Compared to the experimental dry sieving method, which is
38 based on mass representations of different grain sizes, the approach presented in this work
39 relies on the analysis of grain densities (density indicators) scanned by means of X-ray CT
40 (Computed Tomography). By reducing the resolution of the scanned soil image(s), the
41 cumulative representation of solid particles equal to or larger than the actual discretization
42 element can be determined, and described analytically by means of the universal multifractals
43 (UM).

44 For validation of the new UM approach, the X-ray CT results of three different soils were
45 used: the volcanic substrate covering Green Wave (a green roof of Champs-sur-Marne in
46 France), and two horizons of the soil collected from the low land mountain area of Sierra de
47 Guadarrama in Spain. Comparison between the proposed UM model and the experimental
48 data of these three materials confirms that the GSD can be reasonably well predicted from the
49 scanned images of soils covering wide range of grain sizes. The UM model, unlike the fractal-
50 based models, accounts for fractal dimension that depends on grain size, and hence, based on
51 the preliminary results presented in this work, it could be rather useful in case of multi-modal
52 soils whose GSD curves are described with multiple fractal dimensions.

53

54 **Key words:** grain size distribution; multifractals; X-ray computed tomography; granular
55 media

56 **1. Introduction**

57 The grain size distribution (GSD) is one of the fundamental properties of granular soils that,
58 besides the influence on mechanical characteristics, also affects the packing arrangement of
59 grains (Nolan & Kavanagh, 1993; He et al., 1999 among the others), and hence the
60 distribution of pores that further impacts the hydraulic properties of the porous medium (Segal
61 et al., 2009). Most often the GSD curve is experimentally determined based on the mass
62 fractions of different grain sizes extracted either by using sieves of different void sizes, for
63 grains larger than 80 microns (dry sieving method - AFNOR, 1996), or by means of
64 sedimentation test (AFNOR, 1992; Beuselinck et al., 1998) for finer particles. The alternative
65 approach proposed to measure GSD is a laser diffraction method (Miller & Schaetzl, 2012).

66 Detailed overview of different approaches used for describing the complexity of GSD curves
67 can be found in Ghanbarian & Hunt (2017). One of them is the self-similarity principle which
68 is included in fractal-based models and which assumes occurrence of the same pattern of the
69 soil structure at all scales. According to Ghanbarian-Alavijeh et al. (2011), the three-phase
70 PSF (pore-solid-fractal) approach (Perrier et al., 1999; Bird et al., 2000) is the most consistent
71 and with the strongest physical-basis among the fractal-based approaches. Besides pores and
72 grains, it assumes one additional “fictive” type of soil elements – fractals - that are
73 successively broken at smaller scales in a self-similar way, leading finally to the structure
74 consisting of fractal-distributed pore and grain sizes. Thus, the GSD can be represented by
75 means of a power (fractal) law, where the fractal coefficient is included in the exponent.
76 However, unlike assumed in the PSF model, grain densities are non-homogeneous, which also
77 contributes to the complexity of distribution of different mass fractions that often cannot be
78 described with a single fractal dimension (Bittelli et al., 1999).

79 Multifractal formalism, that takes into account different fractal coefficients for different
80 threshold values, was also used for analyzing the complexity of GSD. Grout et al. (1998) and
81 Posadas et al. (2001) used Renyi dimensions, one of the multifractal parameters, to
82 characterize the heterogeneous distribution of different mass fractions. Besides this type of
83 multifractal analysis, the singularity spectra analysis is also applied for analyzing the dry soil
84 volume-size distribution obtained by using a laser distraction method (Martín & Montero,
85 2002). Recently, Torre et al. (2016) used a X-ray CT, a non-destructive technique for
86 obtaining a three-dimensional grey-scale image of a porous material (Hseih, 2003; Banhart,
87 2008) in order to compare the three-dimensional structural complexity of spatial arrangement
88 of grains and pores, with that of differently oriented two-dimensional planes. The multifractal
89 analysis has also proved to be convenient in this case. Even though the multifractal theory
90 brings great potential for understanding the complexity of GSD (Ghanbarian & Hunt, 2017),
91 up to date this kind of analysis has not found practical application.

92 This work is focused on development of a new physically-based GSD model founded on the
93 Universal Multifractal (UM) framework (Schertzer & Lovejoy, 1987; Schertzer & Lovejoy,
94 1997). Based on a grey-scale soil image scanned by means of X-ray CT, it is possible to
95 recognize solid particles of different sizes by progressively decreasing the resolution of the
96 image while keeping the fixed value of the threshold. Change of the representation of solid
97 particles with the resolution of the image can be directly linked with the grain size
98 distribution, and described analytically in a mathematically-elegant way by means of the UM
99 framework. Compared to work of Lai & Chen (2018), where a sophisticated machine learning
100 tool was used for particle recognition, this approach is much simpler and more convenient for
101 practical application.

102 The UM framework in combination with X-ray CT imaging was firstly validated for some
103 artificial volcanic substrate (Stanić et al., 2019; Stanić et al., 2020) used for covering green

104 roof named Green Wave (Versini et al., 2018; Versini et al., 2020). Results of the model,
105 whose parameters are directly determined from scanned images, were first compared with the
106 experimental data obtained by means of the standard dry sieving method (AFNOR, 1996) and
107 sedimentation test (AFNOR, 1992). Furthermore, the UM model was tested on scanned
108 images of two horizons of an intact soil sample collected from the low land mountain area of
109 Sierra de Guadarrama (Schmid et al. 2016) called La Herreria. In this case, results of the
110 model were compared with measured percentages of sand, silt and clay particles, since
111 detailed GSD curves are lacking. Finally, for published experimental GSD data of the GW
112 substrate and Walla Walla soil (Bittelli et al., 1999), the UM model was compared with the
113 fractal-based PSF model.

114 **2. Methodology**

115 The GSD model proposed in this work is based on the recognition of solid particles of
116 different sizes from the scanned soil image, by changing the resolution of the image. This can
117 be described analytically through the application of the Universal Multifractals (UM)
118 framework (Schertzer & Lovejoy, 1987; Schertzer & Lovejoy, 1997) which is briefly
119 described below. Note that two-dimensional scanned soil images (Euclidian dimension $E = 2$),
120 extracted from the three-dimensional one ($E = 3$), were analyzed in this work in order to
121 simplify the methodology presented. However, this simplified approach ($E = 2$) is credible
122 only under certain conditions that are described later in the text, while otherwise the same
123 methodology should be applied for $E = 3$.

124 **2.1. Universal Multifractal (UM) theoretical framework**

125 In Figure 1 is presented a renormalized two-dimensional soil density indicator field $\rho^{ind}(\lambda)$ at
126 various resolutions λ , which is, due to the better visualization, presented in a three-

127 dimensional form. Here, $\lambda = \frac{L}{l(\lambda)}$ is equal to the ratio between the size of the image L [L] and
 128 the size of a single pixel $l(\lambda)$ [L], representing the number of pixels along an edge of the
 129 image. Values of $\rho^{ind}(\lambda) = \rho(\lambda) / \rho_{bulk}$ are presented as histogram at each λ , where ρ_{bulk} is the
 130 constant bulk density of the dry material [M/L³] ($\rho^{ind} = 1$ is a renormalized ρ_{bulk}). Clearly,
 131 $\rho^{ind}(\lambda)$ values mitigate as λ decreases by merging pixels in groups by λ_l^2 , where λ_l is an
 132 integer value equal 2 (check dashed gridlines in Figure 1E). By averaging $\rho^{ind}(\lambda)$ values of
 133 each group, attenuated $\rho^{ind}(\lambda)$ field is obtained with λ_l times smaller λ and λ_l times larger
 134 pixel size $l(\lambda)$.

135 By means of UM (Schertzer & Lovejoy, 1987; Schertzer & Lovejoy, 1997) it is possible to
 136 compute, at different λ , the probability that $\rho^{ind}(\lambda)$ exceeds λ^γ , a renormalized threshold value
 137 that changes with λ (a transparent color platform in Figure 1). Threshold value is expressed
 138 through the fixed dimensionless singularity γ [-], and therefore it decreases together with λ
 139 (see Figure 1a to Figure 1f) until it reaches unit value at $\lambda = 1$. For a certain value of γ (=
 140 0.211 in Figure 1), the previously mentioned probability of exceeding can be computed as:

$$141 \quad P(\rho^{ind}(\lambda) \geq \lambda^\gamma) = \frac{N(\rho^{ind}(\lambda) \geq \lambda^\gamma)}{\lambda^E} \approx \lambda^{-c(\gamma)} \quad (1)$$

$$142 \quad c(\gamma) = C_1 \left(\frac{\gamma}{C_1 \alpha'} + \frac{1}{\alpha} \right)^{\alpha'} ; \quad \alpha' = \left(1 - \frac{1}{\alpha} \right)^{-1}, \quad \alpha \neq 1 \quad (2)$$

143 where $N(\rho^{ind}(\lambda) \geq \lambda^\gamma)$ is the number of $\rho^{ind}(\lambda)$ values that are equal to or higher than λ^γ ,
 144 while $c(\gamma)$ is the co-dimension function that, besides γ , depends on two parameters, C_1 and α .

145 Note that in Equation (1) an approximate equal sign is used because term $\frac{N(\rho^{ind}(\lambda) \geq \lambda^\gamma)}{\lambda^E}$ is
 146 computed by counting $N(\rho^{ind}(\lambda) \geq \lambda^\gamma)$ at different λ (discrete form), while $\lambda^{-c(\gamma)}$ is related
 147 to the UM analytical form.

148 Parameters C_I and α fully characterize ρ^{ind} field, where C_I describes the sparseness of the
 149 mean value of the field while α describes the change of sparseness for values around the
 150 mean. As explained in Schertzer & Lovejoy (1987), C_I takes values between 0 (mean value is
 151 ubiquitous - homogeneous field) and E (mean value is too sparse to be observed), while α
 152 takes values between 0 (no occurrence of extremes – fractal field) and 2 (maximal occurrence
 153 of extremes – log-normal field). Equation (1) is presented in Figure 2a for $C_I = 2.23 \times 10^{-2}$ and
 154 $\alpha = 1.67$, values that characterize $\rho^{ind}(\lambda)$ field in Figure 1, and different γ values (including $\gamma =$
 155 0.211) corresponding to various dashed lines.

156 2.2. Adaptation of the UM framework – New GSD model

157 In this work, the presented up-scaling procedure is used for recognizing solid particles of
 158 different sizes from the obtained $\rho^{ind}(\lambda)$ field. Compared to the previous explanation, where a
 159 resolution dependent threshold λ^γ was accounted for, here is used a fixed threshold value
 160 $\rho_{s,min}^{ind}$ (solid platform in Figure 1) related to the renormalized minimal grain density ($\rho_{s,min}^{ind} >$
 161 1). Therefore, $\rho^{ind} \geq \rho_{s,min}^{ind}$ values indicate the total area of the image covered with solid
 162 particles (grains). As shown in Figure 1, this area reduces when up-scaling, mostly by getting
 163 rid of isolated $\rho^{ind}(\lambda) \geq \rho_{s,min}^{ind}$ values that are surrounded by those lower than $\rho_{s,min}^{ind}$. On the
 164 contrary, larger continuous zones covered by $\rho^{ind}(\lambda) \geq \rho_{s,min}^{ind}$ values resist longer to the up-
 165 scaling process, indicating the presence of a large grain on that location (central zone in
 166 Figure 1). Therefore, the total area covered with $\rho^{ind}(\lambda) \geq \rho_{s,min}^{ind}$ values at certain λ indicates
 167 a cumulative representation of solid particles of diameter equal to or larger than the size of a
 168 single pixel $l(\lambda) = L / \lambda$:

$$169 \quad P(\rho^{ind}(\lambda) \geq \rho_{s,min}^{ind}) = \frac{N(\rho^{ind}(\lambda) \geq \rho_{s,min}^{ind})}{\lambda^E} \quad (3)$$

170 In order to transform Equation (3) into the distribution function $P(d \geq l(\lambda))$, it is necessary to
 171 renormalize it with respect to the initial representation of solid particles met at $\lambda_n \leq \lambda_{up}$.
 172 Therefore, $P(d < l(\lambda)) = 1 - P(d \geq l(\lambda))$ can be expressed as:

$$173 \quad P(d < l(\lambda)) = 1 - \frac{P(\rho^{ind}(\lambda) \geq \rho_{s,min}^{ind})}{P(\rho^{ind}(\lambda_n) \geq \rho_{s,min}^{ind})} = 1 - \frac{N(\rho^{ind}(\lambda) \geq \rho_{s,min}^{ind})}{N(\rho^{ind}(\lambda_n) \geq \rho_{s,min}^{ind})} \left(\frac{\lambda_n}{\lambda}\right)^E \quad (4)$$

174 The analogy between Equation (4) and the dry sieving method is explained in the Appendix.
 175 Equation (3), and hence Equation (4), can be described analytically through the UM
 176 framework if expressing $\rho_{s,min}^{ind}$, which is independent on λ , through λ :

$$177 \quad \rho_{s,min}^{ind} = \frac{\rho_{s,min}}{\rho_{bulk}} = \lambda^{\gamma(\lambda)} \quad (5)$$

178 where $\rho_{s,min}$ is the minimal grain density [M/L³], and $\gamma(\lambda)$ differs from a fixed γ used in
 179 Equations (1) and (2), since it changes with λ in order to maintain fixed value of $\rho_{s,min}^{ind}$:

$$180 \quad \gamma(\lambda) = \frac{\ln(\rho_{s,min}^{ind})}{\ln(\lambda)} \quad (6)$$

181 Finally, by introducing Equation (6), instead of γ , into Equation (2), it is possible to express
 182 Equation (3) analytically:

$$183 \quad P(\rho^{ind}(\lambda) \geq \rho_{s,min}^{ind}) \approx \lambda^{-c(\gamma(\lambda))} = \lambda^{-C_1 \left(\frac{\ln(\rho_{s,min}^{ind})}{\ln(\lambda)} + \frac{1}{\alpha} \right)^{\alpha'}} \quad (7)$$

184 Equation (7) is presented in Figure 2b with solid line which is also fully characterized by
 185 means of parameters C_1 and α . Value of $\gamma(\lambda) = C_1$ corresponds to the upper resolution limit
 186 $\lambda_{up} = (\rho_{s,min}^{ind})^{1/C_1}$ (see Equation 6) for which, due to the fact that $c(\gamma(\lambda) = C_1) = C_1$ (see
 187 Equation 2), $P(\rho^{ind}(\lambda) \geq \rho_{s,min}^{ind})$ reaches its maximal value equal to $(\rho_{s,min}^{ind})^{-1}$. On the

188 contrary, the lower resolution limit $\lambda_{low} = (\rho_{s,min}^{ind})^{1/\gamma_s}$ can be also computed from Equation
 189 (6) for $\gamma(\lambda) = \gamma_s$, which is known as the most probable singularity:

$$190 \quad \gamma_s = C_1 \alpha' \left(\left(\frac{E}{C_1} \right)^{1/\alpha'} - \frac{1}{\alpha} \right) \quad (8)$$

191 Note that Equation (8) is derived from Equation (2) given that $c(\gamma_s) = E$.

192 Finally, having on mind that $l(\lambda) = d_g$, and thus $\lambda = L / d_g$, the analytical GSD function can be
 193 derived by introducing Equation (7) into (4):

$$194 \quad P(d < d_g) = 1 - \frac{\left(\frac{L}{d_g} \right)^{-c_1 \left(\frac{\ln(\rho_{s,min}^{ind})}{C_1 \alpha'} + \frac{1}{\alpha} \right) \alpha'}}{\left(\frac{L}{d_{g,min}} \right)^{-c_1 \left(\frac{\ln(\rho_{s,min}^{ind})}{C_1 \alpha'} + \frac{1}{\alpha} \right) \alpha'}} \quad (9)$$

195 where $d_{g,min} = l(\lambda_n) = L / \lambda_n$ is the minimal grain diameter [L] equal to the size of a pixel at λ_n .

196 From Equation (9) the probability density function can be derived as the first derivative of

197 $P(d \geq d_g) = 1 - P(d < d_g)$ with respect to $\ln(L/d_g)$, providing the following expression:

$$198 \quad p(d = d_g) = -c \left(\frac{L}{d_g} \right) \left(\frac{L}{d_{g,min}} \right)^{c \left(\frac{L}{d_{g,min}} \right)} \left[1 - \frac{\frac{\ln(\rho_{s,min}^{ind})}{C_1}}{\frac{1}{\alpha} \ln \left(\frac{L}{d_g} \right) + \frac{\ln(\rho_{s,min}^{ind})}{C_1 \alpha'}} \right] e^{-c \left(\frac{L}{d_g} \right) \ln \left(\frac{L}{d_g} \right)} \quad (10)$$

199 where $c \left(\frac{L}{d_g} \right) = C_1 \left(\frac{\ln(\rho_{s,min}^{ind})}{\ln(L/d_g) C_1 \alpha'} + \frac{1}{\alpha} \right) \alpha'$ is the co-dimension, while $E - c \left(\frac{L}{d_g} \right)$ describes the

200 change of fractal dimension with d_g . The approach proposed here would face certain issues

201 mostly related to the way pixels are grouped. Therefore, it is possible to have λ_l^2 neighbor

202 pixels that belong to a grain of larger size, but since they are distributed in different groups

203 there is a “good” chance that this larger grain will not be recognized after the aggregation. On

204 the contrary, those pixels can signify separated grains, but if they are aggregated as a part of
 205 the same group of λ_l^2 pixels, they will be recognized as a part of the larger grain.
 206 Nevertheless, these special cases do not influence the proposed algorithm significantly if
 207 applied on the sufficiently large λ .

208 **2.2.1. Determination of the model parameters**

209 Parameters of the proposed model (Equation 9) are: $d_{g,min}$, $\rho_{s,min}^{ind}$, α and C_l . The first two are
 210 physical parameters whose values are either estimated based on the type of material ($d_{g,min}$), or
 211 calculated based on the experimentally determined values of ρ_{bulk} and $\rho_{s,min}$ (see Equation
 212 5), while the last two (α and C_l) are statistical parameters determined by analyzing the scaling
 213 behavior of ρ^{ind} field. This can be done by means of Trace Moment (TM) technique (Schertzer
 214 & Lovejoy, 1987) which assumes that the scaling of the average statistical moments of order
 215 p $\langle(\rho^{ind}(\lambda))^p\rangle$ can be described through the moment scaling function $K(p)$:

$$216 \quad \langle(\rho^{ind}(\lambda))^p\rangle \approx \lambda^{K(p)} \quad (11)$$

$$217 \quad K(p) = \frac{c_1}{\alpha-1}(p^\alpha - p); \quad \alpha \neq 1 \quad (12)$$

218 where $K(p)$ is described through parameters C_l and α (for more details see Schertzer &
 219 Lovejoy, 1987). Note that $c(\gamma)$ and $K(p)$ functions are linked by Legendre transform (Frisch &
 220 Parisi, 1985), meaning that for each γ there is a corresponding p (i.e. for $\gamma = C_l$ and $\gamma = \gamma_s$ the
 221 corresponding values are $p = 1$ and $p = p_s$, respectively).

222 To determine values of α and C_l for a certain $\rho^{ind}(\lambda)$ field, the field is firstly up-scaled as
 223 previously described, and all $\rho^{ind}(\lambda)$ values are raised on a power p at each λ . The average
 224 value of such a modified field $\langle(\rho^{ind}(\lambda))^p\rangle$ is computed at each λ , and the procedure is
 225 repeated for variety of $p \geq 0$ values. After plotting $\log(\langle(\rho^{ind}(\lambda))^p\rangle)$ against $\log(\lambda)$,

226 different linear regressions depending on p value are formed. Their slopes are related to $K(p)$
227 values that form the moment scaling function. Based on Equation (12), the first derivative of
228 the obtained $K(p)$ function at $p = 1$ is equal to $C_1 = \frac{dK(p)}{dp} \Big|_{p=1}$ (calculated numerically), while
229 the ratio between the second and the first derivative at $p = 1$ is $\alpha = \frac{1}{C_1} \frac{d^2K(p)}{dp^2} \Big|_{p=1}$.

230 **2.2.2. Influence of the model parameters**

231 To better understand the influence of the four parameters on the model behavior, Equation (9)
232 has been tested on different values of each parameter, as illustrated in Figure 3. For all cases
233 presented in Figure 3, value of $L = 100$ mm is kept constant while changing values of the four
234 model parameters.

235 The impact of C_1 on the GSD is illustrated in Figure 3a by increasing (dash-dotted line) /
236 decreasing (dashed line) its initial value (solid line) by 50 % while preserving values of the
237 three remaining parameters. Similarly, in Figure 3b value of α is changed by 50 % in both
238 ways. Figure 3a shows that parameter C_1 mostly affects the break onto the finer particles and
239 the shape of that part of the curve in a way that smaller C_1 secures higher contribution of fine
240 grains (dashed line), while the case is opposite for higher C_1 (dash-dotted line). On the
241 contrary, the change of parameter α (Figure 3b) is less affecting the representation of small
242 grains, but it is mainly responsible for the slope of the central part of the GSD curve, where
243 smaller α provides steeper curve. Thus, in case of granular soils higher α and smaller C_1
244 values describe well-graded, while smaller α and higher C_1 describe more uniformly graded
245 materials. Indeed, well-graded materials usually have lower total porosity due to the better
246 spatial packing of grains, meaning the lower representation of zeros in ρ^{ind} field that causes
247 stronger variability of the field (higher α) and lower intermittency of its mean value (lower
248 C_1).

249 The impacts of $\rho_{s,min}^{ind}$ and $d_{g,min}$ on the GSD curve are also tested by varying one of the
250 parameters while maintaining the rest. As illustrated in Figure 3c, the higher $\rho_{s,min}^{ind}$ (more
251 strict threshold value), the higher values of $P(d < d_g)$ (Equation 9), and vice versa. Unlike the
252 three other parameters, $d_{g,min}$ dictates the total range of scales ($L / d_{g,min}$) by affecting mostly
253 the distribution of small grains (tail of the GSD) - see Figure 3d.

254 **3. Soil sampling and Image acquisition**

255 In this section are given information about soil sampling and image acquisition for three
256 different materials: Green Wave substrate and Horizons A and A20 of La Herreria soil.

257 **3.1. Green Wave substrate**

258 Green Wave substrate is an artificial coarse material (VulkaTec Riebensahm GmbH 2016)
259 with 4 % of organic matter, used for covering green roofs. Due to its volcanic nature (values
260 of grain and dry bulk densities are 2.35 Mg/m³ and 1.42 Mg/m³, respectively), this material
261 does not create a significant load on the roof construction which is the reason it has been used
262 in case of Green Wave (Versini et al., 2018; Versini et al., 2020), a wavy shape green roof
263 located next to Ecole des Ponts ParisTech in Champs-sur-Marne, France. The substrate
264 contains 50 % of grains larger than 1.6 mm, with 10 % of particles between 10 and 20 mm in
265 the coarse range, and 13 % of fine particles smaller than 80 μ m. Distribution of grains larger
266 than 80 μ m was determined by means of the dry sieving method (AFNOR, 1996), while the
267 sedimentation test (AFNOR, 1992) was used for finer particles. The curvature and uniformity
268 coefficients are $C_c = (D_{30})^2 / (D_{60} \times D_{10}) = 1.95$ and $C_u = D_{60} / D_{10} = 55$, respectively, and hence
269 this substrate is regarded as well graded according to the ASTM D2487-06 (2006) standard.
270 The sample of the GW substrate (10 cm diameter and 15 cm height) was prepared by mixing
271 and pouring up the material into the plexiglass cylinder (compacted to in situ value of $\rho_{bulk} =$

272 1.42 Mg/m³), simulating the way substrate is placed on the roof to avoid segregation of fine
273 particles at the bottom. Tomographic scans were conducted with a RX Solutions Ultratom
274 microtomograph, including a Hamamatsu L10801 X-ray source and a Paxscan Varian 2520V
275 flat panel detector (1920 x 1560 pix², pixel size 127 μm). X-ray source tension and current
276 were respectively 200kV and 280μA. The detector was set at 4 fps, each projection resulting
277 of an average over 25 projections, giving a total number of 4320 averaged projections. The
278 sample being a long cylinder, stack mode was used and set to three turns. The reconstructed
279 3D image is finally represented by 1785x1785x3072 voxels with the edge length of 53.7 μm.

280 **3.2. La Herreria soil (Horizons A and A20)**

281 Two intact samples (60 mm diameter and 100 mm height) of La Herreria soil were collected
282 in the low land mountain area of Sierra de Guadarrama in Spain (Schmid et al. 2016)., which
283 is a highly degraded type of site because of the livestock keeping. One soil sample was
284 extracted from the top 18 cm layer (Horizon A), being the result of biological alteration with
285 roots resulting in fertile soil. This layer is moderately acid, with 2.5% of organic matter, 0.8%
286 of Fe₂O₃, sandy texture (65% sand, 25% silt, 10% clay) and bulk density of 1.6 Mg/m³. The
287 second soil sample was extracted from 18-40 cm depth (Horizon A20), also presenting an acid
288 character (pH = 6) with 0.5% of organic matter, 0.7% of Fe₂O₃, 55%, 30% and 15% of sand,
289 silt and clay particles, respectively, and bulk density of 1.7 Mg/m³.

290 X-ray CT scanning was performed using a Phoenix v | tome | x m 240 kV system (GE Sensing
291 & Inspection Technologies GmbH, Wunstorf, Germany) at the Hounsfield Facility, University
292 of Nottingham, UK. The scanner consisted of a 240kV microfocus X-ray tube fitted with a
293 tungsten reflection target and a DXR 250 digital detector array with 200 μm pixel size (GE
294 Sensing & Inspection Technologies GmbH, Wunstorf, Germany). A maximum X-ray energy
295 of 140kV and 200 μA was used to scan the soil core. A total of 2400 projection images were

296 acquired over a 360° rotation. Each projection was the average of six images acquired with a
297 detector exposure time of 200 ms and the resulting isotropic voxel edge length was 32 μm.
298 The 3D image of the soil samples used in this work is represented by 676×676×300 voxels.

299 **4. Results and Discussion**

300 The approach presented in this work is firstly validated on soil images of the GW substrate,
301 and the experimental GSD data of the same material. Then, it is applied on two horizons of La
302 Herreria soil, but in this case only measured percentages of sand, silt and clay particles were
303 compared with model results because detailed GSD data are lacking. Finally, the comparison
304 with the fractal-based PSF model (Perrier et al., 1999; Bird et al., 2000) is presented.

305 **4.1. Obtaining ρ^{ind} field by means of X-ray CT**

306 The ρ^{ind} field is transformed from a grey-scale image carrying the information about different
307 intensities of grey color - bright shades of grey represent high, and dark shades low density
308 zones. Since grey-level intensities (GL) can be linked with density ρ by means of the linear
309 regression (Taina et al., 2008), a linear correlation between ρ^{ind} [-] and original grey-level
310 (GL) is obtained by:

- 311 - subtracting the GL_0 threshold value from the original GL values.
- 312 - setting to zero all GL values lower than GL_0 .
- 313 - renormalizing the modified GL field.

$$314 \quad \rho^{ind} = \begin{cases} GL > GL_0, & \frac{GL - GL_0}{\langle GL - GL_0 \rangle} \\ GL \leq GL_0, & 0 \end{cases} \quad (13)$$

315 where notation $\langle \rangle$ indicates the mean value. GL_0 is adjusted based on the locations of pores
316 that can be reliably identified on the image. By using *Fiji* (<https://fiji.sc/>), an open source

317 Java-based image processing package, it was possible to estimate the value of GL_0 for all three
318 materials.

319 In *Figure 4* are presented eight horizontal ρ^{ind} fields of the GW substrate extracted from the
320 full-three dimensional scanned image. The resolution of the presented planes is 1024x1024
321 pixels ($\lambda_n = 2^{10}$), and they are equally distant in vertical direction (1.6 cm between two
322 consecutive images). In *Figure 5A* are presented four horizontal ρ^{ind} fields of La Herreria soil
323 – Horizon A, while in *Figure 5B* are presented horizontal fields of Horizon A20 (all images
324 are 512x512 pixels - $\lambda_n = 2^9$).

325 **4.2. UM model vs. Experimental data**

326 The two-dimensional fields can be analyzed instead of the full-three dimensional one only if
327 the statistical isotropy within the soil specimen is secured, which is the case here (explained
328 further in the text). Note that $\rho_{s,min}^{ind}$ and $d_{g,min}$ are physical properties of the particular material
329 and they are considered as unique for the whole sample (and every two-dimensional slice
330 within it), while α and C_l are statistical parameters determined for every horizontal plane
331 individually.

332 **4.2.1. UM model parameters**

333 In case of the GW substrate, value of $\rho_{s,min}^{ind}$ is computed as $\rho_{s,min}^{ind} = \frac{\rho_{s,min}}{\rho_{bulk}} = \frac{2.2}{1.42} = 1.55$,
334 where $\rho_{s,min}$ and ρ_{bulk} are experimentally determined, while in case of La Herreria soils $\rho_{s,min}^{ind}$
335 values are adjusted to fit measured percentages of sand, silt and clay particles (explained later
336 in the text) because the corresponding $\rho_{s,min}$ values are missing (only ρ_{bulk} values are given).
337 Clearly, due to its physical basis, value of $\rho_{s,min}^{ind}$ should not change significantly regardless of

338 the soil type (adjusted values are $\rho_{s,min}^{ind} = 1.73$ and 1.54 for Horizon A and A20,
339 respectively).

340 Value of $d_{g,min}$ depends on the range of scales that is analyzed. If focusing on the range of
341 scales covered by the scanned image, $d_{g,min}$ corresponds to the pixel size at λ_n ($= 1024$ and 512
342 for GW substrate and La Herreria soils, respectively), and thus it is equal to $d_{g,min} = L / \lambda_n \approx 60$
343 / $1024 \approx 50 \mu\text{m}$ in case of the GW substrate, and $d_{g,min} = 16 / 512 \approx 32 \mu\text{m}$ for La Herreria
344 soils. For the full-range of scales, $d_{g,min}$ represents the minimal grain size that needs to be
345 approximately estimated if not measured. For the GW substrate, $d_{g,min} = 1 \mu\text{m}$ is adopted
346 based on the GW experimental data, while lower values of $d_{g,min} = 0.1 \mu\text{m}$ and $0.05 \mu\text{m}$ are
347 adopted for Horizons A and A20 of La Herreria soil, respectively, having on mind significant
348 percentages of clay particles in both cases (10% and 15%, respectively).

349 Finally, the UM parameters are determined by performing TM analysis on every $\rho^{ind}(\lambda)$ field
350 presented in Figure 4 and Figure 5. In Figure 6 is presented $\log(\langle(\rho^{ind}(\lambda))^p\rangle)$ versus $\log(\lambda)$
351 for four different p values (0.2, 1.5, 2 and 3) and eight horizontal $\rho^{ind}(\lambda)$ fields of the GW
352 substrate presented in Figure 4. In all cases, scaling of $\log(\langle(\rho^{ind}(\lambda))^p\rangle)$ (different symbols)
353 can be reasonably well interpreted with linear regressions. This is also the case with La
354 Herreria soils whose moment scaling behavior is illustrated in Figure 7A for Horizon A, and
355 in Figure 7B for Horizon A20. The same kind of analysis is applied on the eight vertically
356 oriented fields ($\lambda_n = 2^{10}$) of the GW substrate (equal horizontal distance between the two
357 consecutive images). The quality of scaling is slightly better for vertical planes, but they are
358 not presented in the Figure. Vertical planes for La Herreria soils were not analyzed since the
359 maximal resolution of the vertically oriented image is 256×256 pixels ($\lambda_n = 2^8$), which is
360 regarded as insufficient.

361 Based on the slopes of the obtained linear regressions, in Figure 8 are presented $K(p)$
362 functions for all analyzed horizontal planes (different solid lines) of three different soils,
363 together with $K(p)$ functions related to the vertical planes of the GW substrate (dashed lines in
364 Figure 8A). Since vertical and horizontal $K(p)$ functions are overlapping in case of the GW
365 substrate, indicating similar values of α and C_I (see **Table 1**), it is reasonable to assume the
366 statistical isotropy within the GW specimen. Even though vertical images are not analyzed,
367 the same assumption is adopted for two remaining soils, having on mind the obtained
368 horizontal $K(p)$ functions are rather similar (values of α and C_I are presented in **Table 2**).

369 Low values of C_I (order of magnitude 10^{-2}) obtained for all three soils indicate the narrow
370 range of ρ^{ind} values, meaning that ρ cannot be significantly larger than ρ_{bulk} (should be the case
371 regardless of the soil type). Also, higher α values (closer to 2) point out more significant
372 fluctuations of ρ around ρ_{bulk} , indicating the presence of different grain sizes with different
373 densities.

374 4.2.2. Comparison with Experimental data

375 After determining values of the four model parameters, Equation (9) is firstly tested on the
376 ρ^{ind} field of the Hor. plane 4 of the GW substrate (see Figure 4). Since focusing on the range
377 of scales covered by the image, $d_{g,min} = 50 \mu\text{m}$ is used. Figure 9a-top illustrates comparison
378 between Equation (9) (UM model - solid line), Equation (4) (connected dots) that uses the
379 counted number of $\rho^{ind}(\lambda) \geq \rho_{s,min}^{ind}$ values at different λ , and the truncated experimental
380 GSD data of the GW substrate (triangles). In Figure 9a-bottom are compared probability
381 density functions coming from the UM model (Equation 10 – solid lines) and measurements
382 (triangles). Good agreement between different analytical curves and truncated experimental
383 data that consider only $d_g \geq 50 \mu\text{m}$ is obtained.

384 In Figure 9b-top is presented comparison between the same truncated experimental data
385 (triangles) and Equation (9) applied on every ρ^{ind} field of Figure 4 (different solid lines), while
386 in Figure 9b-bottom are illustrated the corresponding probability density functions (Equation
387 10).

388 Finally, in Figure 9c-top and Figure 9c-bottom the full range experimental data (squares) are
389 compared with Equations (9) and (10), respectively, by using the same parameter values as in
390 Figure 9b, with only difference that $d_{g,min} = 1 \mu\text{m}$ is adopted. The agreement between the
391 Equation (9) / Equation (10) and both truncated and full-range experimental data is considered
392 as satisfactory. The obtained family of curves creates reasonably narrow confidential zone
393 around experimental points, verifying that way the analytical model proposed.

394 In Figure 10 is presented the same kind of analysis as in Figure 9, but for Horizons A and A20
395 of La Herreria soil. In Figure 10a and b are illustrated results obtained from ρ^{ind} fields of
396 Figure 5a (Horizon A) and Figure 5b (Horizon A20), respectively, where $d_{g,min} = 32 \mu\text{m}$ is
397 adopted, while in Figure 10c are compared full-range results for Horizon A (solid lines) and
398 Horizon A20 (dashed lines) using $d_{g,min} = 0.1 \mu\text{m}$ and $d_{g,min} = 0.05 \mu\text{m}$, respectively. As
399 mentioned earlier in the text, values of $\rho_{s,min}^{ind}$ (1.73 and 1.54 for Horizons A and A20,
400 respectively) are adjusted so that the average percentages of sand, silt and clay particles,
401 computed based on the model results from Figure 10c, fit well with measured values. For
402 Horizon A, the average computed values of sand, silt and clay particles are 64%, 27% and 8%
403 (about 1% of particles $> 2 \text{ mm}$), respectively, while for Horizon A20 those values are 54%,
404 32% and 14%, respectively. The results obtained with a model are rather close to
405 measurements, confirming the UM model is valid.

406 The proposed UM approach was successfully evaluated on soils that cover wide range of
407 grain sizes with significant percentages of both coarse and fine particles. To see limitations of

408 the model, it was additionally tested on a material with a rather uniform GSD curve, the
409 Hostun sand HN31 extracted in the Drôme region in France (Bruchone et al, 2013). This sand
410 is made of about 98% of quartz (grain densities are uniform), and it covers rather narrow
411 spectrum of grain sizes (0.2 ÷ 0.8 mm). Preliminary results showed the proposed approach is
412 not applicable on such material, having on mind the scaling of statistical moments in log-log
413 scale significantly deviates from linear regression, and hence parameters α and C_I cannot be
414 determined.

415 4.3. UM model vs. PSF model

416 The PSF approach, a three-phase fractal-based GSD model firstly introduced by Perrier et al.
417 (1999), is also used for interpreting the experimental GSD curve of the GW substrate.
418 According to this model, the GSD can be described using the following expression (Bird et al.
419 2000):

$$420 \quad P(d < d_g) = \left(\frac{d_g}{d_{g,max}} \right)^{3-D_{f,PSF}} \quad (14)$$

421 where $D_{f,PSF}$ is the fractal coefficient [-] whose optimal value can be determined from the
422 slope of the best fitting linear regression in logarithmic scale that goes through the
423 experimental GSD data and reaches 100 % at $d_{g,max}$. By adopting $d_{g,max} = 18$ mm in case of the
424 GW substrate, the optimal value of $D_{f,PSF} = 2.57$ is obtained (dashed line in Figure 8a).

425 To better illustrate the difference between the PSF (Equation 14) and the UM model
426 (Equation 9), probability functions are presented in a log-log scale (Figure 11a). For the same
427 values of L , $d_{g,min}$ and $\rho_{s,min}^{ind}$ as in Figure 9c, the best agreement between Equation (9) and
428 measurements is obtained for $\alpha = 1.60$ and $C_I = 2.25 \times 10^{-2}$. These values are rather close to
429 those describing the horizontal plane 4 of the GW substrate (see Table 1), confirming the
430 physical basis of the proposed approach.

431 To test whether the UM model can be used for soils with multi-modal GSD curves that are
432 described with multiple fractal dimensions, Equation (9) is compared with experimental data
433 of Walla Walla (WW) soil, described in Bittelli et al. (1999) by means of three different
434 fractal dimensions related to distributions of sandy, silty and clayey particles. According to
435 the U.S. soil taxonomy, this soil is Typic Haploxeroll with 8.3% of sand, 78.4% of silt and
436 13.3% of clay. Due to the lack of scanned soil images and other relevant information, the UM
437 model parameters could not be determined as explained earlier in the text, but only roughly
438 estimated / adjusted. Figure 11b shows that for $\rho_{s,min}^{ind} = 1.72$, $\alpha = 1.05$, $C_l = 4.5 \times 10^{-2}$, and $L /$
439 $d_{g,min} = 1 \text{ mm} / 1 \times 10^{-4} \text{ mm}$ (from the graph), Equation (9) provides rather good agreement
440 with WW experimental points. Since the relevant information are missing, these results
441 should be taken with a grain of salt and understood only as a test if the model is capable of
442 interpreting multi-modal GSD curves.

443

444 Compared to Equation (14) which considers the fixed fractal dimension $D_{f,PSF}$, Equation (9)
445 takes into account the fractal dimension that changes with d_g (the co-dimension function).
446 Therefore, for the UM approach it is quite important to know the total range of scales
447 investigated ($L / d_{g,min}$). Even though the PSF model (Equation 14) is more convenient for
448 practical application, since it uses only two parameters that can be determined quite easily, the
449 UM model proposed in this work (Equations 9 and 10) shows better agreement with
450 experimental GSDs for soils with wide spectrum of grain sizes whose distribution cannot be
451 accurately described with a simple fractal (power) law.

452 **5. Conclusion**

453 This work shows that the up-scaling approach presented can be used for predicting the GSD
454 of a certain material based on its scanned micro-structure which represents a density indicator

455 field. By reducing the resolution of the scanned image, density indicator values above the
456 fixed threshold are treated at each resolution as a cumulative representation of solid particles
457 of diameter equal to or larger than the corresponding pixel size. The quantity of values above
458 the threshold at different resolutions can be analytically described by means of the Universal
459 Multifractals (UM), leading to the new multifractal-based GSD model. The model uses four
460 parameters, where two of them are physical (the minimal grain diameter and the ratio between
461 the minimal grain density and dry bulk density), while the other two are statistical UM
462 parameters that characterize the spatial heterogeneity of the soil density field.

463 An innovative approach proposed in this work was tested on three different materials
464 containing significant percentages of both coarse and fine particles: an unconventional
465 volcanic granular material used for covering green roofs, and two horizons of La Herreria soil
466 collected from the low land mountain area of Sierra de Guadarrama in Spain. By performing
467 the Trace Moment analysis on two-dimensional scanned soil images (density indicator field)
468 of different materials, the statistical parameters (C_I and α) were determined and families of
469 analytical GSD curves were obtained for each material, showing a good agreement with
470 experimental data. Additionally, the UM approach was tested on Houston quartz sand and
471 results showed that such a uniform GSD cannot be reliably estimated by means of the
472 presented methodology. In all cases, the analysis was applied on two-dimensional images to
473 save computational time and memory, which is legit if the statistical isotropy within the
474 specimen is secured.

475 Results showed the values of C_I are the same order of magnitude for different soils (10^{-2})
476 because density of an individual grain cannot be significantly larger than the dry bulk density,
477 regardless of the soil type. Also, it was proved that lower C_I and higher α are related to GSD
478 curves that change gradually with respect to grain sizes, while lower α and higher C_I are

479 related to the steeper GSD curve in its central part and stronger curvature close to the break
480 onto the finer particles.

481 Finally, the proposed four parameters UM model was compared with the fractal-based two
482 parameters PSF model, showing better agreement with the Green Wave experimental data. Its
483 advantage was additionally emphasized by showing excellent agreement with multi-modal
484 GSD curve of Walla Walla soil that is described in the literature by means of three different
485 fractal dimensions related to sandy, silty and clayey particles.

486

487 **Appendix: Analogy between the up-scaling approach and the dry sieving** 488 **method**

489 The analogy between Equation (4) (the up-scaling approach) and the dry sieving method can
490 be derived under the following assumptions:

- 491 1. Three-dimensional space is considered ($E = 3$) since the dry sieving method is based on
492 the grain masses.
- 493 2. All grains have the same shape and density ρ_s .
- 494 3. A discrete number of sieves is used, and hence the size of voids on each sieve follows the
495 size of voxels $l(\lambda_{n-i})$ at different λ_{n-i} , where $i = [0 \div n]$.

496 The total mass of the specimen (M_{total}) is placed on the cascade of sieves arranged in a
497 descending order (the largest void size is on the top, while the smallest one is on the bottom).

498 If all grains are distributed on the corresponding sieves $i = [0 \div n]$, it can be assumed that
499 those staying on a certain sieve have diameter equal to the size of sieve voids. Therefore, the
500 following can be written for $i = 0$:

$$501 \quad M(d \geq l(\lambda_n)) = M_{total} = C_V \rho_s \sum_{j=0}^n N_g(\lambda_{n-j}) l(\lambda_{n-j})^3 \quad (A1)$$

502 where C_V is the volume shape coefficient [-] (for cube $C_V = 1$, for sphere $C_V = \pi / 6$),
503 $N_g(\lambda_{n-j})$ is the number of grains [-] that stay on the sieve of void size $l(\lambda_{n-j})$ [L] ($j = 0 \div n$).
504 Since grains that stay on the sieve $l(\lambda_n)$ are assumed to have identical diameters, $l(\lambda_n)^3$ can
505 be pulled outside the sum, and the following is obtained:

$$506 \quad M(d \geq l(\lambda_n)) = C_V \rho_s l(\lambda_n)^3 \sum_{j=0}^n N_g(\lambda_{n-j}) \left(\frac{l(\lambda_{n-j})}{l(\lambda_n)} \right)^3 \quad (\text{A2})$$

$$507 \quad N_g^{cum}(\lambda_n) \approx C_V \sum_{j=0}^n N_g(\lambda_{n-j}) \left(\frac{l(\lambda_{n-j})}{l(\lambda_n)} \right)^3 \quad (\text{A3})$$

508 where $N_g^{cum}(\lambda_n)$ is the cumulative number of grains equal to or larger than $d_{g,min} = l(\lambda_n)$.
509 Following Equation (A2), the cumulative mass of all grains equal to or larger than $l(\lambda_{n-i})$ can
510 be expressed as the following:

$$511 \quad M(d \geq l(\lambda_{n-i})) = C_V \rho_s l(\lambda_{n-i})^3 \sum_{j=i}^n N_g(\lambda_{n-j}) \left(\frac{l(\lambda_{n-j})}{l(\lambda_{n-i})} \right)^3 \quad (\text{A4})$$

$$512 \quad N_g^{cum}(\lambda_{n-i}) \approx C_V \sum_{j=i}^n N_g(\lambda_{n-j}) \left(\frac{l(\lambda_{n-j})}{l(\lambda_{n-i})} \right)^3 \quad (\text{A5})$$

513 By introducing Equation (A3) into (A2) and Equation (A5) into (A4), and having on mind
514 that $d_g = l(\lambda_{n-i})$, the GSD can be expressed as:

$$515 \quad P(d < d_g) = 1 - \frac{M(d \geq l(\lambda_{n-i}))}{M(d \geq l(\lambda_n))} \quad (\text{A6})$$

$$516 \quad P(d < d_g) = 1 - \frac{N_g^{cum}(\lambda_{n-i})}{N_g^{cum}(\lambda_n)} \left(\frac{l(\lambda_{n-i})}{l(\lambda_n)} \right)^3 \quad (\text{A7})$$

517 Note that $N_g^{cum}(\lambda_{n-i})$ and $N_g^{cum}(\lambda_n)$ correspond to $N(\rho^{ind}(\lambda) \geq \rho_{s,min}^{ind})$ and

518 $N(\rho^{ind}(\lambda_n) \geq \rho_{s,min}^{ind})$ in Equation (4), respectively, while $\frac{l(\lambda_{n-i})}{l(\lambda_n)} = \frac{\lambda_n}{\lambda_{n-i}}$. Therefore,

519 Equation (A7) is identical to Equation (4) for $E = 3$, just in a discrete form.

520

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524

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622 [Dachbegrueunung/Intensivbegrueunung-bei-Substratstaerken-bis-50cm/Vulkaplus-](http://web.archive.org/web/20190423142633/http://www.vulkatec.de/Begrueunung/Dachbegrueunung/Intensivbegrueunung-bei-Substratstaerken-bis-50cm/Vulkaplus-Intensiv-0_12/?&d=1)
623 [Intensiv-0_12/?&d=1](http://web.archive.org/web/20190423142633/http://www.vulkatec.de/Begrueunung/Dachbegrueunung/Intensivbegrueunung-bei-Substratstaerken-bis-50cm/Vulkaplus-Intensiv-0_12/?&d=1)

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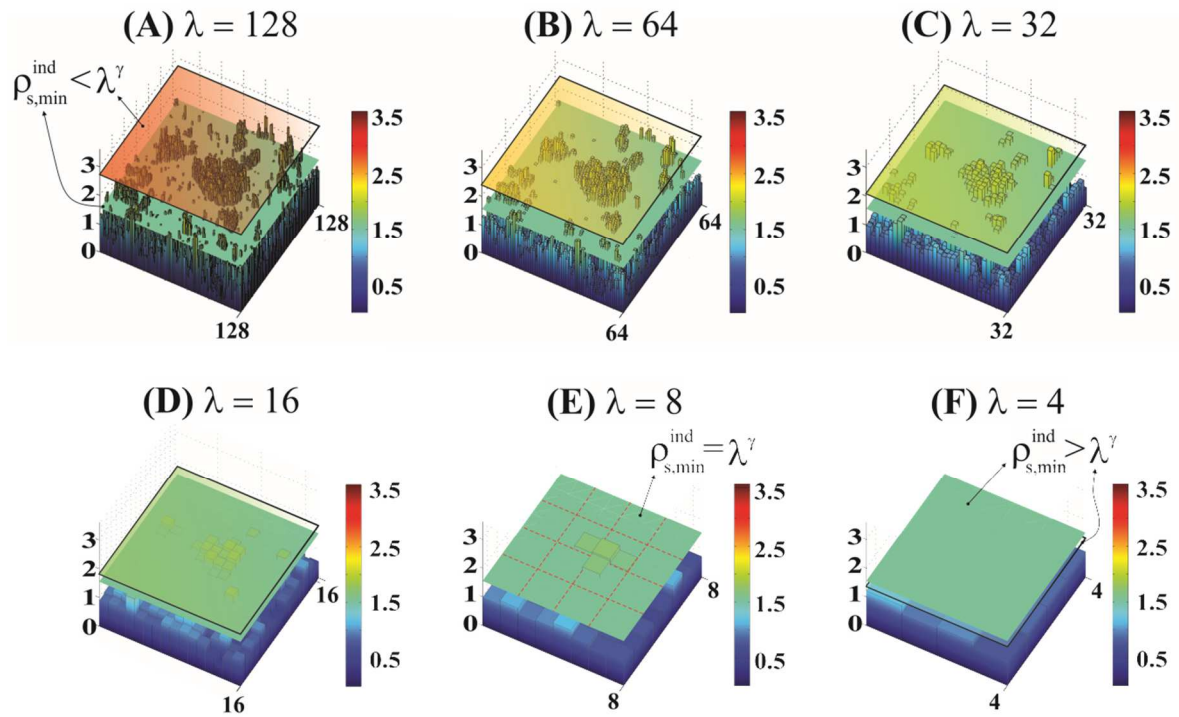
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644 Figure 1. Change of the two-dimensional $\rho^{ind}(\lambda)$ field with λ at: (A) $\lambda = 128$; (B) $\lambda = 64$; (C) λ

645 $= 32$; (D) $\lambda = 16$; (E) $\lambda = 8$; (F) $\lambda = 4$. A turquoise color platform signifies a fixed threshold

646 $\rho_{s,min}^{ind} = 1.55$, while the one with transparent color signifies a resolution dependent threshold

647 λ^γ ($\gamma = 0.211$)

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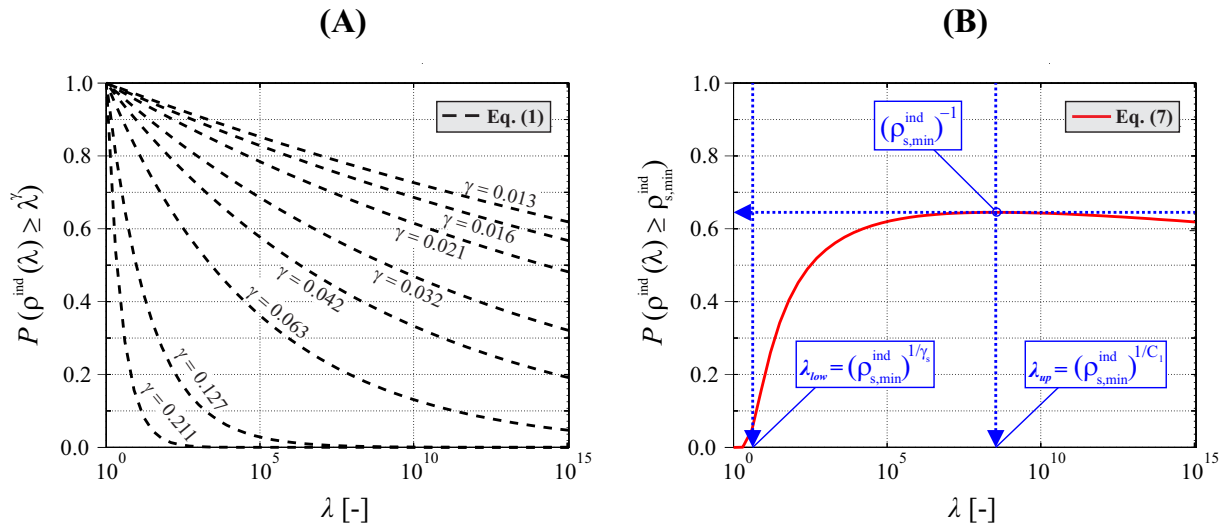
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656 Figure 2. A) Equation (1) (dashed lines) calculated for: $C_I = 2.23 \times 10^{-2}$, $\alpha = 1.67$ (values that

657 characterize $\rho^{\text{ind}}(\lambda)$ field in Figure 1) and different values of γ (including $\gamma = 0.211$); B)

658 Equation (5) (solid line) calculated for the same values of C_I and α and the fixed value of

659 $\rho_{s,\text{min}}^{\text{ind}} = 1.55$.

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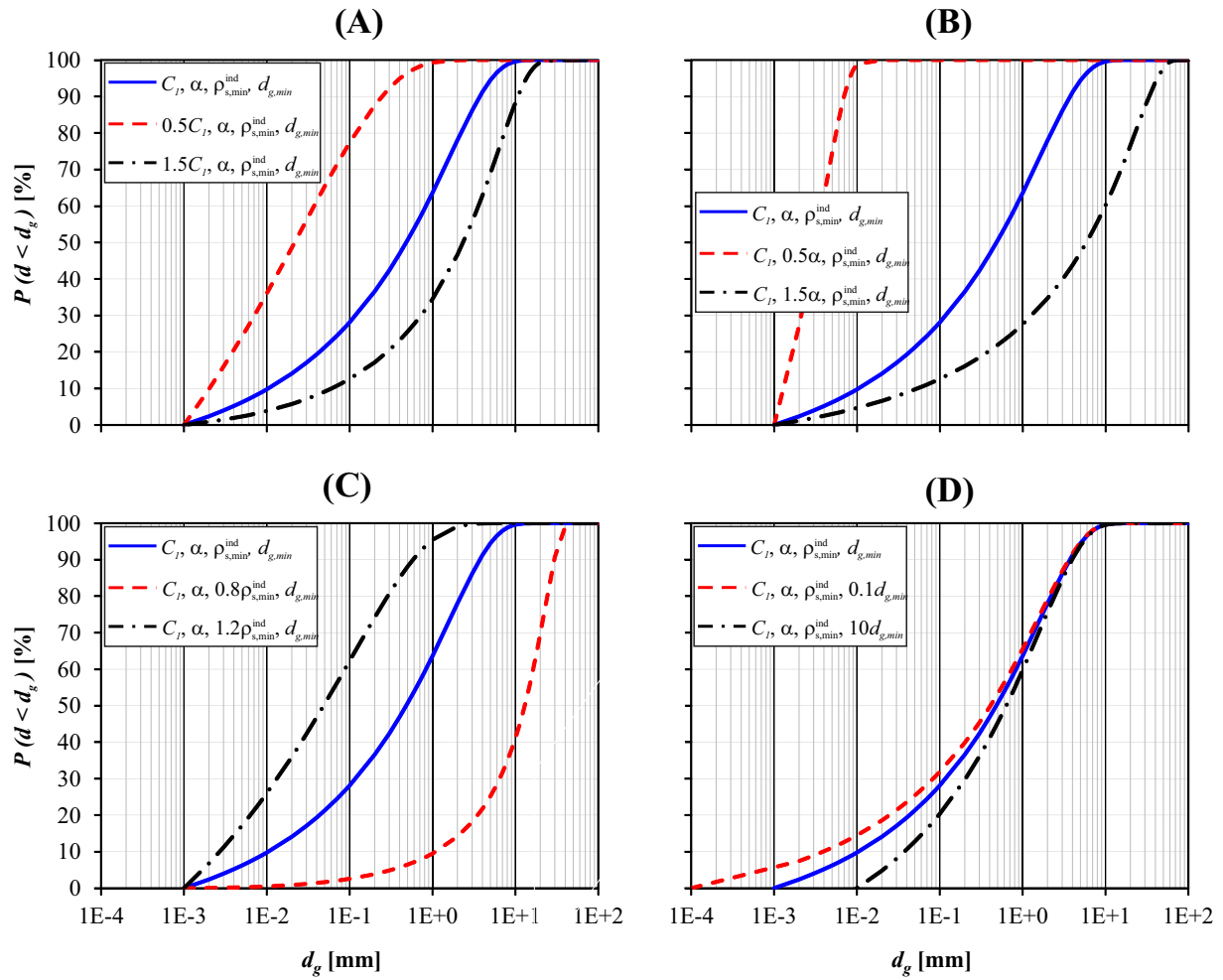
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672 Figure 3. Behavior of the proposed GSD model when changing values of: a) C_I ; b) α ; c)

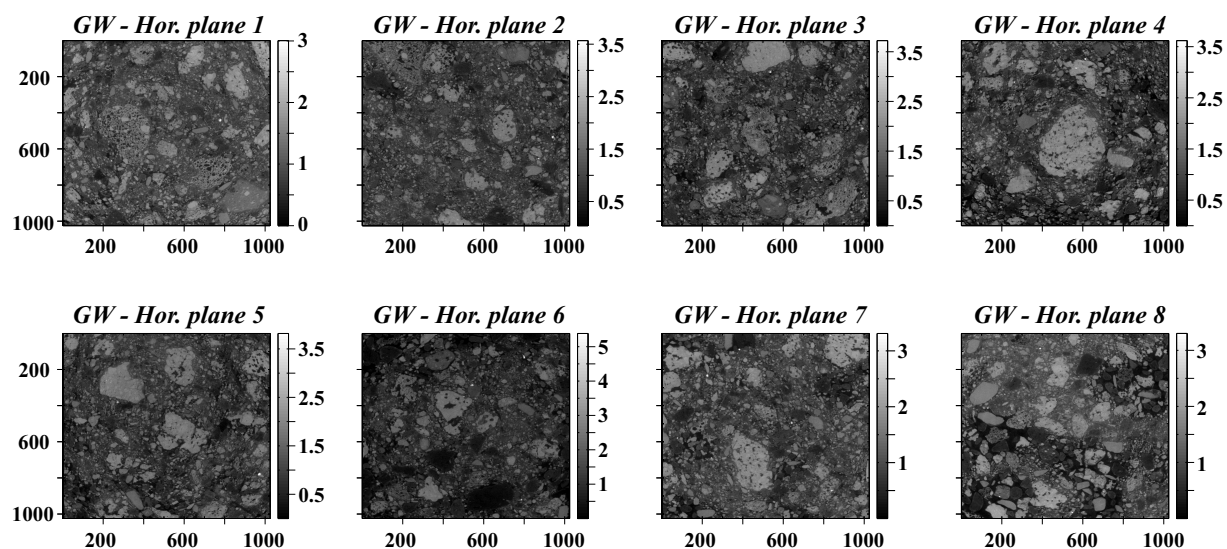
673 $\rho_{s,min}^{ind}$; d) $d_{g,min}$. Initial parameter values (solid line in each graph) are $C_I = 1.85 \times 10^{-2}$, $\alpha = 1.3$,

674 $\rho_{s,min}^{ind} = 1.55$ and $d_{g,min} = 1 \times 10^{-3}$ mm

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679 Figure 4. Eight two-dimensional horizontal ρ^{nd} fields (1024 x 1024 pixels), extracted from
 680 the original three-dimensional grey scale image, that are equally distant along the specimen
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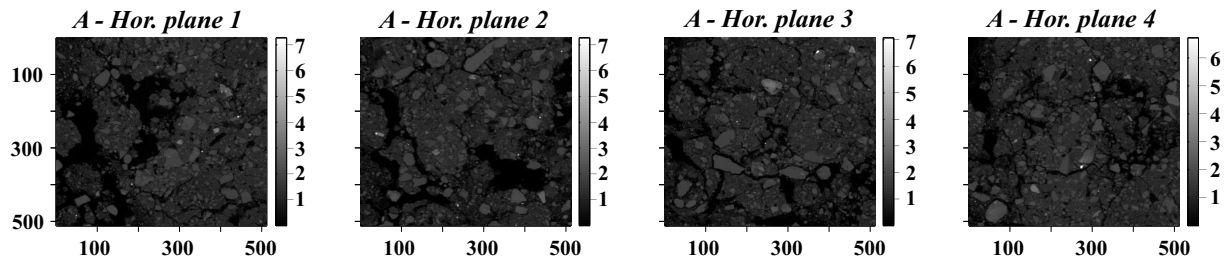
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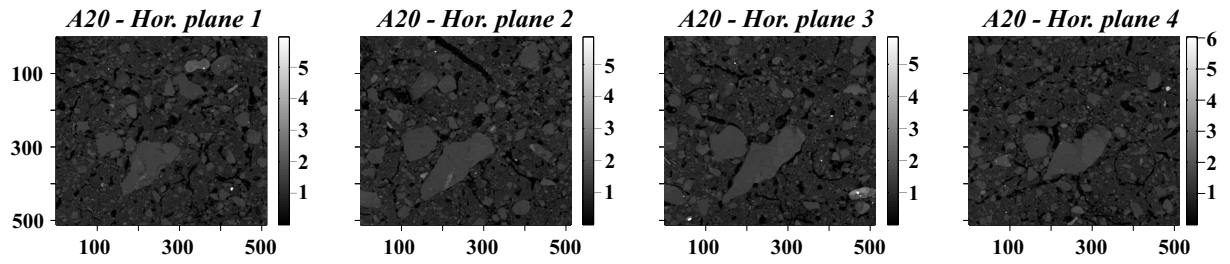
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(A) *La Herreria - Horizon A*



(B) *La Herreria - Horizon A20*



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699 Figure 5. (A) Four equally distant horizontal ρ^{ind} fields (512 x 512 pixels) of Horizon A of La

700 Herreria soil, extracted from the original three-dimensional grey scale image; (B) same as in

701 (A) just for Horizon A20

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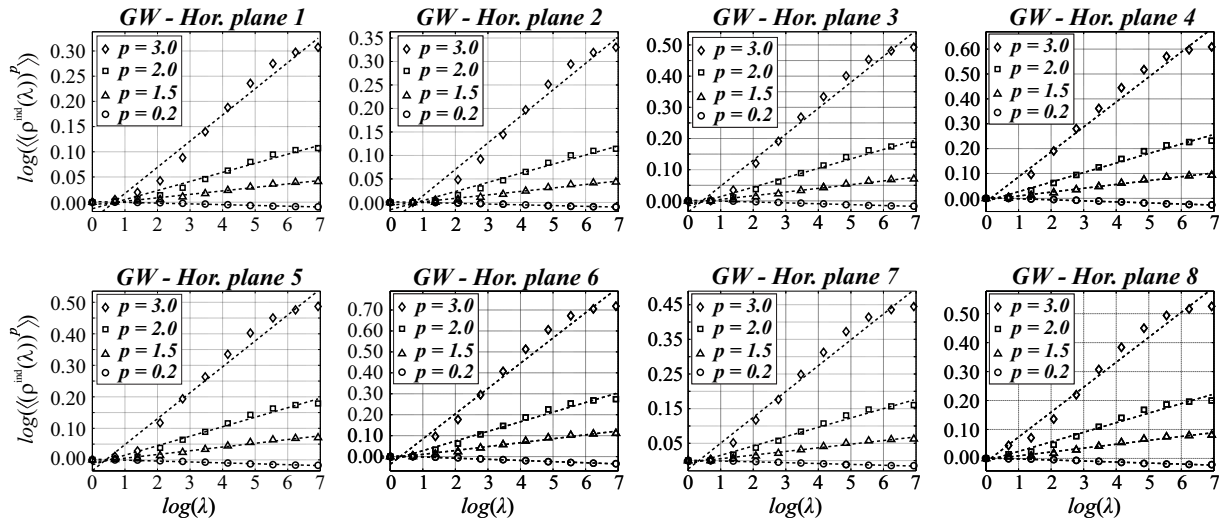
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719 Figure 6. Scaling of statistical moments of eight horizontal $p^{\text{ind}}(\lambda)$ fields of the GW substrate
 720 presented in Figure 4

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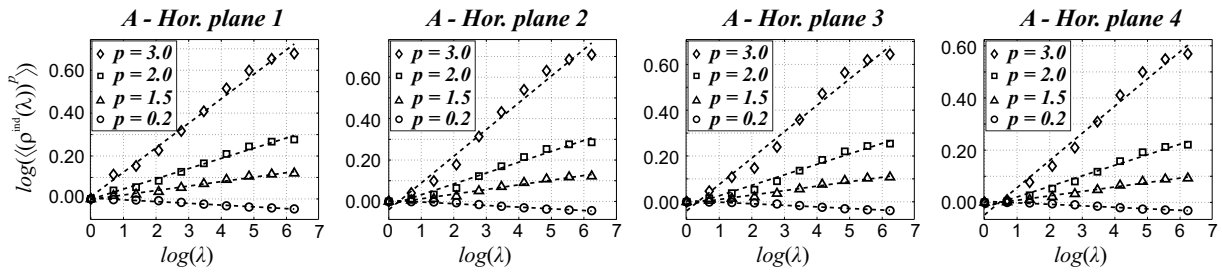
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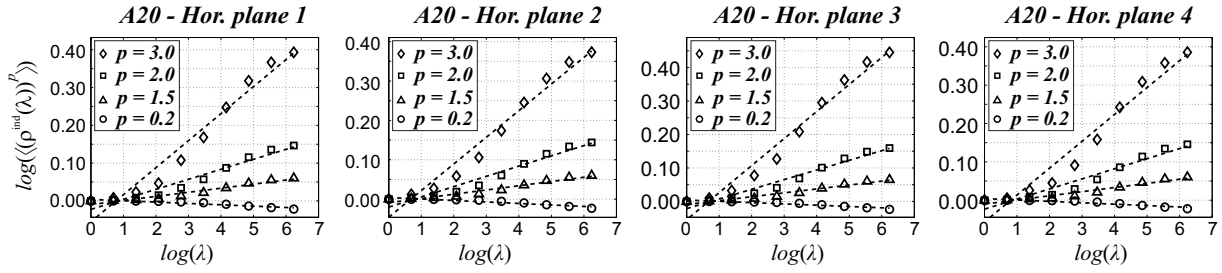
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(A) La Herreria - Horizon A



(B) La Herreria - Horizon A20



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736 Figure 7. (A) Scaling of statistical moments of four horizontal $\rho^{\text{ind}}(\lambda)$ fields of La Herreria soil

737 (Horizon A) presented in Figure 5a; (B) same as in (A) just for Horizon A20 (fields presented

738 in Figure 5b)

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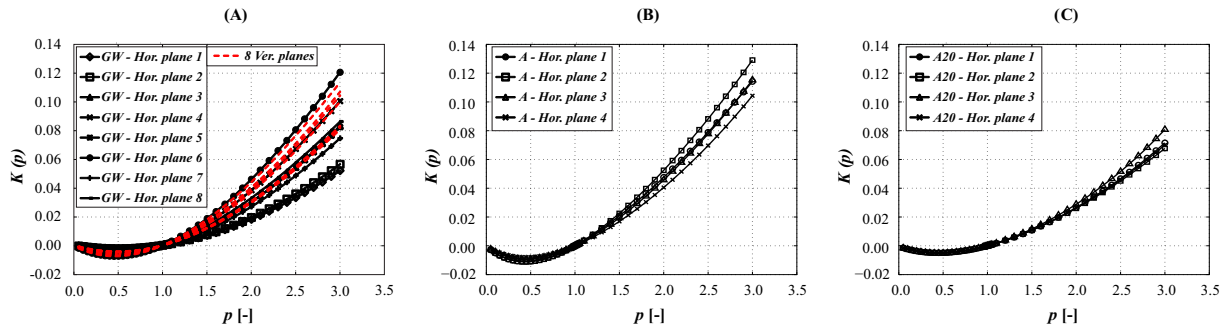
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759 Figure 8. Moment scaling functions $K(p)$ obtained by applying TM technique on: (A) eight
 760 horizontal fields from Figure 4 (different solid lines), and eight vertically oriented fields
 761 (dashed lines); (B) four horizontal fields from Figure 5a; (C) four horizontal fields from
 762 Figure 5b

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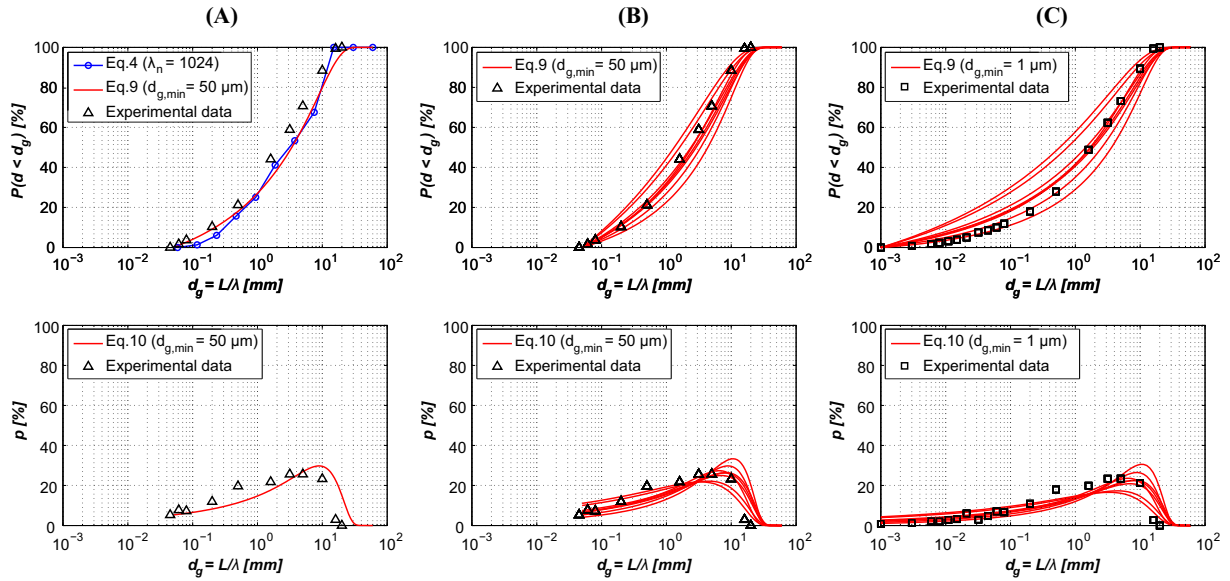
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779 Figure 9. Comparison between: A-top) Equation (9) applied on the GW Hor. plane 4 – solid

780 line ($L = 60$ mm, $\rho_{s,min}^{ind} = 1.55$, $d_{g,min} = 50$ μm , $C_l = 2.23 \times 10^{-2}$, $\alpha = 1.67$), Equation (4)

781 applied on the same field – connected dots ($\rho_{s,min}^{ind} = 1.55$, $\lambda_n = 1024$), and truncated

782 experimental GSD data ($d_g \geq 50$ μm) - triangles; A-bottom) Equation (10) computed with the

783 same parameter values as in A-top, and truncated experimental GSD data (triangles); B-top)

784 Equation (9) applied on eight $\rho^{ind}(\lambda)$ fields from Figure 4 – solid lines (L , $\rho_{s,min}^{ind}$ and $d_{g,min}$

785 identical as in A, α and C_l presented in **Table 1**), and truncated experimental GSD data

786 (triangles); B-bottom) Same as in A-bottom just for all fields from Figure 4; C-top) Same as

787 in B-top just for $d_{g,min} = 1$ μm (solid lines), and full-range experimental GSD data ($d_g \geq 1$ μm)

788 – squares; C-bottom) Same as in B-bottom just for $d_{g,min} = 1$ μm (solid lines), and full-range

789 experimental GSD data ($d_g \geq 1$ μm) – squares

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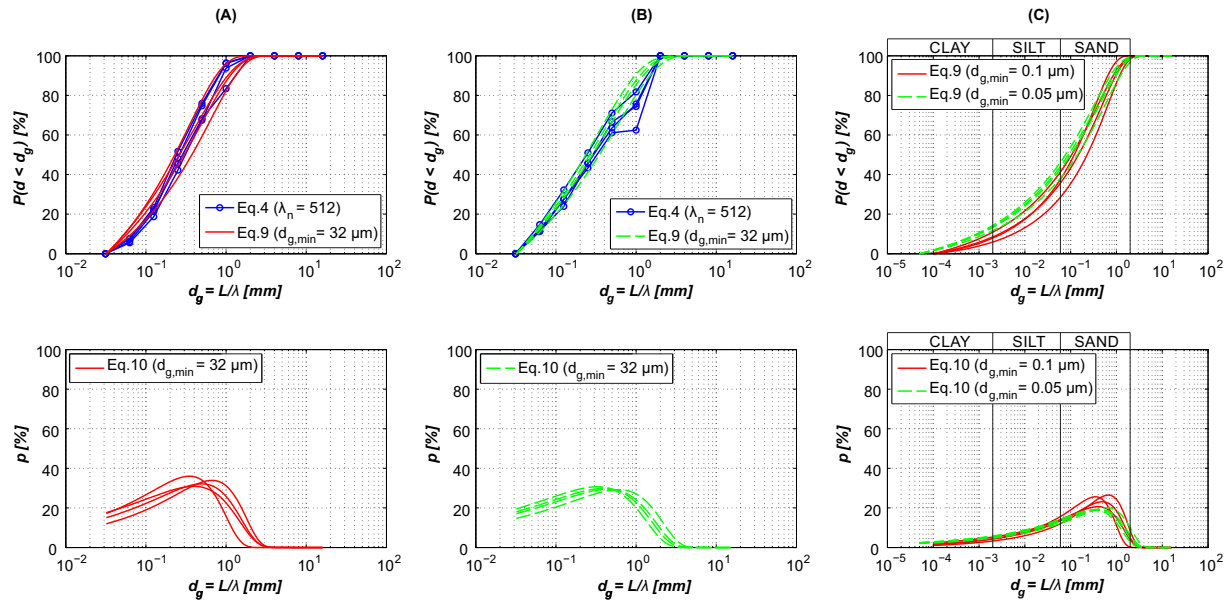
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797 Figure 10. Comparison between: (A) Equation (9) applied on four Horizon A fields from

798 Figure 5A ($L = 16$ mm, $\rho_{s,min}^{ind} = 1.73$, $d_{g,min} = 32$ μm , α and C_I from Table 2), and Equation

799 (4) (box-counting method) applied on same fields ($\lambda_n = 512 \approx L/d_{g,min}$); (B) Same as in (A)

800 just for Horizon A20 ($\rho_{s,min}^{ind} = 1.54$); (C) Equation (9) applied on four fields from Figure 5A

801 (parameters same as in (A), only $d_{g,min} = 0.1$ μm – solid lines) and on four fields from Figure

802 5B (parameters same as in (A), only $d_{g,min} = 0.05$ μm – dashed lines)

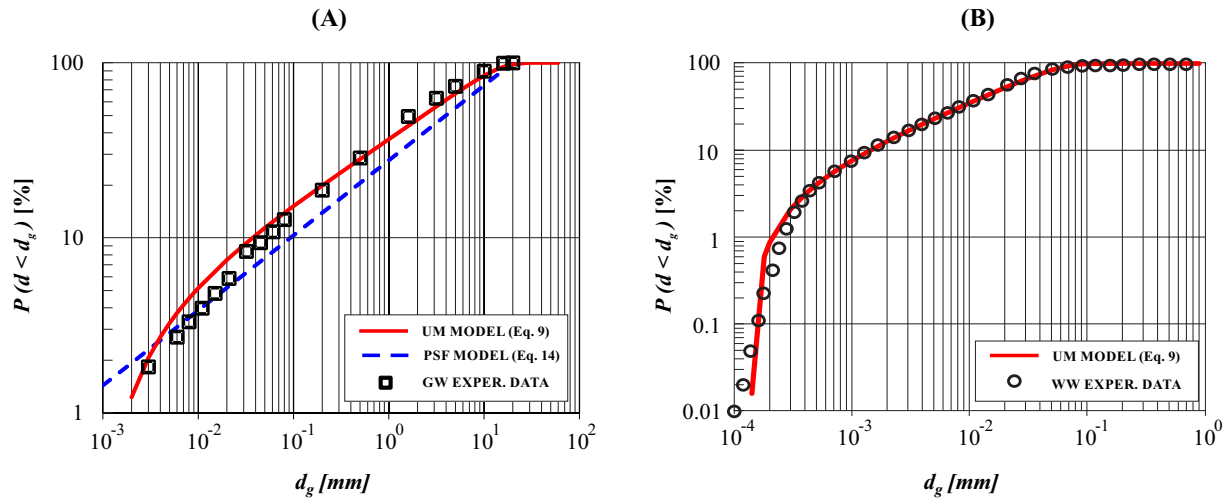
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809 Figure 11. (A) Comparison between the experimental GSD data of the GW substrate (squares)

810 and Equations (9) (solid lines) and (14) (dashed lines) computed with the adjusted parameter

811 values that fit the measurements ($C_l = 2.25 \times 10^{-2}$ and $\alpha = 1.60$ for UM model, and $D_{f,PSF} =$

812 2.57 for PSF model); (B) same as in (A) just for Walla Walla soil taken from Bittelli et al.

813 (1999) – $L = 1$ mm, $d_{g,min} = 1 \times 10^{-4}$ mm, $\rho_{s,min}^{ind} = 1.72$, $\alpha = 1.05$, $C_l = 4.5 \times 10^{-2}$ (PSF model is

814 not illustrated since it clearly deviates from the measurements)

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831 **Table 1.** Determined UM parameters for eight $\rho^{ind}(\lambda)$ fields of the GW substrate presented in

832 Figure 4

Horizontal plane								
	1	2	3	4	5	6	7	8
C_I	9.34E-03	9.93E-03	1.64E-02	2.23E-02	1.66E-02	2.72E-02	1.45E-02	1.93E-02
α	1.93	1.96	1.83	1.67	1.80	1.61	1.85	1.66
Vertical plane								
	1	2	3	4	5	6	7	8
C_I	2.66E-02	2.21E-02	1.74E-02	1.72E-02	1.67E-02	1.63E-02	2.06E-02	2.37E-02
α	1.56	1.72	1.75	1.75	1.76	1.82	1.78	1.60

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858 **Table 2.** Determined UM parameters for eight $\rho^{ind}(\lambda)$ fields of La Herreria soil (Horizons A
 859 and A20) presented in Figure 5

Horizon A				
	1	2	3	4
C_I	3.36E-02	3.55E-02	3.03E-02	2.60E-02
α	1.15	1.25	1.30	1.37
Horizon A20				
	1	2	3	4
C_I	1.62E-02	1.63E-02	1.73E-02	1.62E-02
α	1.46	1.40	1.48	1.44

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