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### Evolution, propagation and interactions with topography of hurricane-like vortices in a moist-convective rotating shallow-water model

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### Evolution, propagation and interactions with topography of hurricane-like vortices in a moist-convective rotating shallow-water model

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The so-called moist-convective shallow-water model, which incorporates moist convection 1 in a simple albeit self-consistent way is used to analyse how intense localized vortices, 2 with distributions of horizontal velocity and relative vorticity close to those observed 3 in tropical cyclones (TC), evolve and interact with topography on the beta-plane at low 4 latitudes. Instabilities of such TC-like vortices are studied first in the f- plane approxima-5 tion, and their development, interplay with beta-gyres and the role they play in vorticity 6 redistribution and intensification are then analysed along the vortex trajectories on the 7 beta- plane, both in dry and moist-convective environments. Interactions of the vortices 8 with an idealized topography in the form of zonal and meridional ridges and islands of 9 elliptic form and the role of moist convection in these processes are then investigated, 10 revealing rich vortex-dynamics patterns. The results can be helpful in crude analyses and 11 predictions of the evolution of the barotropic component of TC, of their trajectories over 12 the ocean and during landfall and of related condensation/precipitation patterns. 13

Key words: Vortex Dynamics, Tropical Cyclone, Shallow Water Model, Moist Con vection, Beta-plane, Vortex- Topography Interaction.

#### 16 1. Introduction

Understanding and predicting the structure and trajectories of tropical cyclones 17 (TC) are, obviously, tasks of utmost practical importance. Due to complexity 18 of the related phenomena, simplified models are traditionally used to gain an 19 understanding of the fundamental dynamical properties of TC. The vertical 20 structure of TC is the first to be simplified in such models. Let us mention in this 21 context the pioneering papers by Ooyama (1964, 1969) and Charney and Eliassen 22 (1964) where two-layer models of the tropical atmosphere were introduced in 23 order to describe TC. Such models continue to be used, e.g. (Jones et al. 2009). 24 An even more drastic simplification of the vertical structure leads to purely 25 two-dimensional (2D), or quasi- 2D shallow water type models, which helped 26

to understand the instabilities and changes of the horizontal structure of TC 27 during their lifecycle. For example, 2D Euler equations were used in (Schubert 28 et al. 1999; Kossin and Schubert 2001; Menelaou et al. 2012) to explain the 29 formation of so-called meso-vortices in the vicinity of the TC cores. Switching to 30 shallow-water models (Hendricks et al. 2014; Schubert et al. 2016; Lahaye and 31 Zeitlin 2016) allows us to include inertia- gravity waves and related radiative 32 instabilities in the picture. Let us emphasize that instabilities of TC could be 33 captured already in the adiabatic, "dry" models (Schubert et al. 1999). However, 34 as is well known, moist convection is an essential ingredient of the TC dynamics, 35 see the review of (Montgomery and Smith 2017). It can be included in shallow 36 water models in the simplest way as a sink in the mass equation, e.g. Schubert 37 et al. (2016), following the seminal ideas of Matsuno (1966) and Gill (1982b). 38 A more systematic, although following the same philosophy method to include 39 moisture, condensation, and related latent heat release was applied in the moist-40 convective rotating shallow water (mcRSW) model, respectively, in one- and two-41 layer versions in (Bouchut et al. 2009) and (Lambaerts et al. 2011). The mcRSW 42 models were recently applied to the analysis of instabilities of TC -like vortices 43 in (Lahaye and Zeitlin 2016; Rostami and Zeitlin 2018). It should be emphasized 44 that instabilities of TC are usually studied in the f- plane approximation, for the 45 simple reason that in order to conduct a standard stability analysis, one should 46 start from a stationary state, which is not the case of monopolar vortices on the 47  $\beta$ - plane. 48

In the following, we continue the long tradition of shallow-water modelling 49 of TC by using the mcRSW, which allows us to incorporate, in a minimalistic 50 way, the dynamical effects of the moist convection, in particular the related 51 enhancement of cyclonic vorticity. We also rely on the fact that including the 52 topography in shallow-water models is easy and computationally friendly. We 53 thus study the evolution and trajectories of the TC-like vortices over the ocean 54 and their landfall in the beta-plane approximation with an idealized topography, 55 centring the beta-plane at a low latitude, and using crude parameterizations 56 of condensation and evaporation, which are simplified as much as possible. We 57 show that, surprisingly, already such a rudimentary version of the model captures 58 well many of the observed, or simulated in "big" models, characteristics of the 59 behaviour of real-life TC. It also allows us to understand some of their basic 60 dynamical properties, and to make rough predictions of trajectories. Needless to 61 say that, by construction, the model obtained by vertical averaging is able to 62 reproduce, in a coarse way, only the large-scale barotropic features of TC. 63

In addition to their tentative utility in the applications mentioned above, the results presented below reveal some previously unknown, or not sufficiently investigated, facts in the domain of vortex dynamics proper, such as instabilities and trajectories of localized vortices of high intensity on the beta-plane, or interactions of such vortices with the topography.

The paper is organized as follows. In Section 2 we present the model, with short explanations, and discuss its hurricane-like vortex solutions, which are considered as proxies of TC throughout this study. In Section 3 we present the results of the linear stability analysis of such vortices on the f-plane, analyse how these

#### M. Rostami and V. Zeitlin

<sup>73</sup> instabilities are modified on the  $\beta$ - plane, and study their influence, along with <sup>74</sup> the moist convection, upon trajectories of the vortices. Section 4 is devoted to a <sup>75</sup> study of interactions of TC -like vortices with idealized topography and coasts <sup>76</sup> (landfall), both in adiabatic and moist-convective environments, and the influence <sup>77</sup> of these interactions on their trajectories and intensities. Section 5 contains a <sup>78</sup> summary of the results and conclusions.

# <sup>79</sup> 2. The moist-convective rotating shallow water model (mcRSW) and <sup>80</sup> hurricane-like vortices

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#### 2.1. The mcRSW model, a reminder

A systematic derivation from first principles of, respectively, one- and two-82 layer versions of the mcRSW model was given in (Bouchut et al. 2009) and 83 (Lambaerts et al. 2011). Let us recall that the standard shallow -water model of 84 the atmosphere can be obtained by vertical averaging of the hydrostatic primitive 85 equations in the pseudo-height pressure coordinates, e.g. (Zeitlin 2018). Moist 86 convection can be included in the model by adding a vertically integrated specific 87 humidity with a condensation sink, which is then linked to convective fluxes of 88 mass and momentum using Lagrangian conservation of the (linearized) equivalent 89 potential temperature. For our purposes below the simplest one-layer version 90 of the mcRSW model with the addition of a source of moisture representing 91 evaporation, as described in (Lahaye and Zeitlin 2016; Rostami and Zeitlin 2017), 92 and an incorporated topography will be sufficient. No explicit dissipation of any 93 kind is included in the model at this stage, see the explanations below. The 94 equations of this version of the model are: 95

$$\begin{cases} \partial_t \mathbf{v} + \mathbf{v} \cdot \nabla \mathbf{v} + f(y) \, \hat{\mathbf{z}} \wedge \mathbf{v} = -g \nabla h, \\ \partial_t h + \nabla \cdot \left[ \mathbf{v}(h-b) \right] = -\gamma C, \\ \partial_t Q + \nabla \cdot (Q \mathbf{v}) = -C + E. \end{cases}$$
(2.1)

Here x and y are the zonal and meridional coordinates on the tangent plane,  $\nabla =$ 96  $(\partial_x, \partial_y), \mathbf{v} = (u, v), u$  and v are the zonal and meridional components of velocity, 97 respectively, h is geopotential height (thickness), b(x, y) is the topography, f(y)98 is the Coriolis parameter, which is constant  $f = f_0$  in the f-plane approximation, 99 and is equal to  $f = f_0 + \beta y$  in the  $\beta$ -plane approximation, and  $\hat{\mathbf{z}}$  is the unit 100 vertical vector;  $Q \ge 0$  is specific humidity integrated over the air column,  $\gamma$  is a 101 parameter depending on the underlying stratification, C is the condensation sink 102 and E is the surface evaporation source of moisture. They are parametrized as 103 follows: 104

$$C = \frac{Q - Q^s}{\tau} \mathcal{H}(Q - Q^s), \quad E = \alpha \frac{|\mathbf{v}|}{|\mathbf{v}_{max}|} (Q^s - Q) \mathcal{H}(Q^s - Q). \tag{2.2}$$

Here,  $Q^s$  is a saturation threshold, which can be taken to be constant, as was the case in the early papers on mcRSW. Its dependence on pressure anomaly can be readily included, cf. (Bouchut et al. 2009), which we will do below. In principle, the evaporation threshold could be chosen to be different from  $Q^s$ , as the evaporation, physically, does not take place through the whole air column.

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We take it to be the same in the crudest variant of the model, in order to avoid 110 the proliferation of free parameters. The formula for the condensation in (2.2) is a 111 column-averaged version of the standard Betts-Miller parameterization, which is 112 used in general circulation models;  $\tau$  is the relaxation time, which is of the order 113 of several hours in the tropical atmosphere. The parameterization of evaporation 114 in (2.2) uses the standard bulk formula, cf. e.g. (Katsaros 2001), which is of 115 use in hurricane modelling (Cronin and Chavas 2019), where we renormalized 116 the transmission coefficient by  $|\mathbf{v}_{max}|$ , the maximum value of velocity over the 117 domain, i.e. the maximum wind in the hurricane-like vortices we consider, to get 118 the non-dimensional parameter  $\alpha$  regulating the intensity of evaporation. This is 119 a free parameter, the only one representing processes in the unresolved boundary 120 layer in the present formulation of the model, its value in the simulations will be 121 given below;  $\mathcal{H}(...)$  denotes the Heaviside (step-) function, which accounts for the 122 switch character of condensation and evaporation. As is natural in the context of 123 TC modelling, we consider the vortex motion mostly over the ocean, where  $b \equiv 0$ , 124 and the bulk formula for evaporation (2.2) is well adapted. In the regions where 125  $b \neq 0$ , which correspond to continental surfaces, the properties of evaporation 126 change, and and this parametrization should be changed. We just switch off the 127 evaporation in such zones, as a first approach. 128

By setting  $\gamma = 0, \alpha = 0$ , and  $\tau \to \infty$  which, physically speaking, means 129 that the time scale of the dynamical processes in question is much less than  $\tau$ , 130 we get the standard adiabatic ("dry") rotating shallow water equations, with 131 the addition of a passive scalar - the moisture field which decouples from other 132 variables and is simply advected by the flow. Notice that in the simplest version 133 of the model (2.1) the condensed water vapour just drops off the system. In 134 this sense condensation and precipitation are synonymous. Precipitable water, 135 precipitation and vaporization can be introduced into the model, following the 136 same approach as for the inclusion of water vapour and condensation in (2.1). 137 giving an "improved" mcRSW (Rostami and Zeitlin 2018), which we will not be 138 using below, again, for simplicity. 139

A general analysis, with necessary benchmarks, of the fundamental properties of 140 the system (2.1), and of the parent two-layer model, including the conservation 141 laws and energy budget, can be found in the above-cited papers. We should 142 only stress here that in the absence of evaporation the moist enthalpy m =143  $h - b - \gamma Q$  is locally conserved, as can be easily seen by combining the second 144 and the third equations in (2.1). The conservation of this quantity replaces the 145 mass conservation in the "dry" RSW model and, like h in the latter case, m146 should remain positive, otherwise the phase velocity of the "moist" waves becomes 147 imaginary, cf. (Bouchut et al. 2009). This imposes a constraint to be respected in 148 numerical simulations. Another important variable for analysis and diagnostics 149 quantity is potential vorticity (PV), which is a Lagrangian invariant of the "dry" 150 system in the absence of dissipation 151

$$(\partial_t + \mathbf{v} \cdot \nabla)q = 0, \quad q = \frac{\zeta + f(y)}{h - b},$$
(2.3)

where  $\zeta = \partial_x v - \partial_y u$  is relative vorticity. In the presence of condensation, but

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$$q_m = \frac{\zeta + f(y)}{h - b - \gamma Q}, \qquad (2.4)$$

which is a Lagrangian invariant of the "moist" system. In the presence of evaporation the moist PV is not conserved, as can be straightforwardly deduced from (2.1):

$$(\partial_t + \mathbf{v} \cdot \nabla)q_m = \gamma \frac{q_m}{h - b - \gamma Q} E.$$
(2.5)

The term on the right-hand side can be a source or a sink of PV, depending on the 157 sign of  $q_m$ . A dissipation, which is absent in (2.1), but which appears implicitly 158 in numerical simulations due to various discretization procedures, also introduces 159 a local source, or a local sink of the moist PV, depending on the properties of 160 the underlying vorticity field. Numerical simulations with the set of equations 161 (2.1) that we present below were performed using a second-order high-resolution 162 well-balanced finite-volume scheme (Bouchut 2007) in a rectangular domain with 163 sponges at the boundaries, which allows us to mostly evacuate fast inertia-gravity 164 waves generated by various dynamical processes. No explicit dissipation has 165 been added. As was shown in previous works with well-balanced finite-volume 166 numerical schemes for shallow-water models, the numerical dissipation, globally, 167 mimics the Newtonian one, being concentrated in the zones of high spatial 168 gradients. The dependence of the saturation threshold on the pressure anomaly, 169 which is proportional to  $\eta = h - H_0$ , where  $H_0$  is the mean value, was taken in the 170 form  $Q^s = Q_0^s e^{-0.3\eta} \approx Q_0^s (1-0.3\eta)$ , cf. Bouchut et al. (2009). The resolution and 171 the domain size varied depending on the dynamical processes under investigation, 172 see below. The values of parameters  $\alpha$ ,  $\gamma$ , and  $Q_0^s$  are *ad hoc* at this level, while 173 the value of  $\tau$  is taken to be several time steps of the code, to be consistent with 174 its physical value, with the scaling we use below. However,  $\gamma$  can be absorbed 175 into Q, with simultaneous renormalization of  $\tau$  and, hence, can be set to be one 176 without loss of generality;  $\alpha$  can be also absorbed in Q. With the fixed value 177 of  $\gamma$  we take it to be equal to 0.15, to be consistent with previous simulations 178 and benchmarks. We should say that this choice is consistent with the empirical 179 value  $\sim 10^{-3}$  of the dimensional transmission coefficient in the bulk evaporation 180 formula (Katsaros 2001), which is also used in hurricane modelling (Cronin and 181 Chavas 2019), taking into account that vertical integration and renormalization 182 by  $|\mathbf{v}_{max}|$ . In all simulations below the initial Q was uniform, and close to the 183 saturation value. 184

Let us comment on the property of the system of losing mass whenever the 185 condensation is switched on, and the related loss of the "dry" energy  $E_d$  = 186  $\int dx \, dy \left( (h-b) \frac{\mathbf{v}^2}{2} + g \frac{h^2}{2} \right)$  (Bouchut et al. 2009). This means that the system 187 should be considered as a limit of an infinitely thick upper layer of a two- (or more) 188 layer system, with standard mass and energy budgets (Lambaerts et al. 2011). 189 As was already mentioned in (Bouchut et al. 2009), an obvious way to restore 190 the mass conservation is radiative relaxation, which can be straightforwardly 191 introduced in the present model, if necessary, as was done, e.g. in (Rostami et al. 192

2018). We, however, will refrain from doing this for two reasons, again to avoid 193 a multiplication of adjustable parameters. The first reason is that de facto in 194 the simulations presented below the overall mass loss is less than a tenth of 195 a per cent of the initial mass. Notice that the above-mentioned dependence of 196  $Q^s$  on pressure anomaly prevents an excessive mass loss. The second is that 197 the reasonable radiative relaxation time, taking into account that the model, by 198 construction, applies to large-scale horizontal motions, would be a global climate 199 relaxation scale of the order of couple months, e.g. (Cronin and Emanuel 2013) 200 and references therein. Even if we consider the relaxation scale of an individual 201 hurricane, which is estimated as 40-50 days, cf. e.g. (Chavas and Emanuel 2014), 202 the typical time scales of dynamical processes we consider and the duration of 203 the simulations presented below are of the order of days, so the influence of such 204 relaxation on the results is quite limited, which we checked in sample simulations. 205 As should be clear already from (2.2), we purposely do not use any detailed 206 parameterization of the boundary layer, although such parameterizations exist 207 in shallow-water models (Schecter and Dunkerton 2009). Along the same lines 208 as evaporation, a bulk formula for the surface drag can be also straightforwardly 209 incorporated in (2.1). We do not introduce it either for the reasons explained 210 below. Finally, we should say that there is a way to improve the model by 211 allowing for horizontal variations of the mean potential temperature, which gives 212 the possibility of representing not only the convective mass flux but also the 213 heating due to the latent heat release, as was proposed in (Bouchut et al. 2009) 214 and recently realized in (Liu et al. 2020). We leave the use of such an ameliorated 215 model for a future work. 216

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#### 2.2. Hurricane-like vortices in mcRSW

<sup>218</sup> We first construct exact stationary-vortex solutions of the mcRSW equations <sup>219</sup> in the *f*-plane approximation. They will be later used as initial conditions for <sup>220</sup> simulations on the  $\beta$ - plane. To this end, we rewrite the equations of the one-<sup>221</sup> layer mcRSW on the *f*-plane in polar coordinates  $(r, \theta)$ :

$$\begin{cases} \frac{d\mathbf{v}}{dt} + \left(f_0 + \frac{v}{r}\right)\hat{\mathbf{z}} \wedge \mathbf{v} + g\nabla h = 0,\\ \partial_t h + \frac{1}{r}\left(\partial_r(rhu) + \partial_\theta(hv)\right) = -\gamma C\\ \partial_t Q + \frac{1}{r}\left(\partial_r(rQu) + \partial_\theta(Qv)\right) = -C + E, \end{cases}$$
(2.6)

where  $\mathbf{v} = (u\,\hat{\boldsymbol{r}}, v\,\hat{\boldsymbol{\theta}})$  is the velocity in polar coordinates, and the Lagrangian derivative is  $\frac{d}{dt} = \frac{\partial}{\partial t} + u\partial_r + \frac{v}{r}\partial_{\theta}$ . The main observation is that, in the absence of evaporation, the axisymmetric azimuthal velocity v(r) and thickness h(r) in cyclo-geostrophic equilibrium

$$\frac{v^2}{r} + f_0 v = g \,\partial_r \,h,\tag{2.7}$$

at zero radial velocity u = 0, and arbitrary constant or azimuthally symmetric  $Q(r) \leq Q^s$  give an exact solution of (2.6). In a sense, such a solution is an RSW analogue of "dry" tropical cyclones discussed in the literature, cf. (Cronin and Chavas 2019) and references therein. In the presence of evaporation, in order 8

to provide an exact solution, Q should stay at the evaporation threshold, i.e.  $Q = Q^s$ , with the choice of the threshold made above. Staying at the evaporation threshold corresponds to the immediate relaxation limit, where the condensation  $C = -Q^s \nabla \cdot \mathbf{v}$  (Bouchut et al. 2009). With E = C, and constant  $Q^s$  the axisymmetric divergenceless configurations in this limit are, thus, exact solutions of (2.6).

Coming back to the discussion of extra terms in the mcRSW equations in 236 Section 2.1, we see that adding to the right-hand side of the thickness equation 237 the global radiative relaxation term of the form  $-\frac{h-H_0}{\tau_r}$  with a large, as explained above, relaxation time  $\tau_r$ , where  $H_0$  is the non-perturbed thickness, would lead to 238 239 a slow dissipation of the vortex, and of all of its perturbations, while a relaxation 240 to an equilibrium profile verifying (2.7) does not affect the solution itself but 241 will slowly damp the perturbations. Adding a bulk surface drug of a standard 242 form  $-K(|\mathbf{v}|)\mathbf{v}$  to the right-hand side of the momentum equation would lead 243 to a spindown of the vortex solution. In what follows we are interested in the 244 long-time influence of the beta-effect and topography on the intense hurricane-245 like vortices, so to maintain their intensity, and mimic the real-life TC, we will 246 not include these terms in the simulations below. Needless to say that the full 247 thermodynamics of real-life TC cannot be captured in mcRSW, so the vortices 248 we consider are dynamical proxies of these latter. 249

Realistic radial profiles of azimuthal velocity and pressure of TC - like vortices 250 can be obtained by piecewise-constant, or smoothed piecewise-constant distri-251 butions of relative vorticity (Schubert et al. 1999; Nolan and Montgomery 2002; 252 Hendricks et al. 2014; Lahaye and Zeitlin 2016). For many purposes it is, however, 253 convenient to have an analytic formula for the azimuthal velocity in terms 254 of smooth functions. We use the following expression for the non-dimensional 255 azimuthal velocity V(r) of the vortex as a function of non-dimensional radius r 256 from the centre, which we will call the "abc" profile: 257

$$\overline{V}(r) = (r - r_0)^a e^{-c(r - r_0)^b}, \, r > r_0, \, a, b, c > 0$$
(2.8)

where a, b, c and  $r_0$  are parameters for which we will use the two sets of values 258 given in Table 1. The profile (2.8) has been already used in our studies of planetary 259 jets and vortices (Rostami et al. 2017, 2018). Corresponding non-dimensional 260 radial profiles of azimuthal velocity and relative vorticity  $\zeta(r)$  are given in figure 261 1, together with those of non-dimensional thickness anomalies recovered from 262 (2.7). The profile in the upper panels of the figure has a wide region of small 263 negative vorticity beyond the radius of maximum wind (RMW) while the profile 264 at the lower ones has a steeper ascending branch before the RMW and a slower 265 descending trend out of it, which is close to velocity profiles in the observations 266 of TC (Mallen et al. 2005), but leads to non-zero circulation far from the vortex. 267 The results of numerical simulations initialized with these two profiles turn out 268 to be similar. In order to have direct control of the amplitude of the velocity, we 269 introduce its non-dimensional amplitude  $\epsilon$  and renormalize V(r) as follows: 270

$$\overline{V}(r) \to V(r) = \epsilon \frac{\overline{V}(r)}{max|\overline{V}(r)|}.$$
(2.9)

TABLE 1. Parameters of the numerical experiments presented below. *ID*: identification of the experiment. *MC*: moist-convective environment, *D*: adiabatic environment. Initial water vapour distribution is  $Q^s - 0.01$ , where  $Q^s = 0.7$  is the saturated value. In all experiments  $r_0 = 0.1$ , c = 14,  $\alpha = 0.15$ .

ID  D1  MC1  D3  MC3 Dbis1 N	MCbis1	Dbis3	MCbis3	Dbis4	MCbis4
a   3.5   3.5   3.5   3.5   2.25	2.25	2.25	2.25	2.25	2.25
b   0.45   0.45   0.45   0.45   0.25	0.25	0.25	0.25	0.25	0.25
$  \epsilon   0.1   0.1   0.3   0.3   0.1  $	0.1	0.3	0.3	0.4	0.4
<i>Ro</i> 3.57 3.57 10.73 10.73 4.8	4.8	14.5	14.5	19.3	19.3



FIGURE 1. Profiles of thickness with indicated Chebyshev points which are used for discretization (*left panels*), and velocity and relative vorticity (*right panels*) of TC-like vortices in RSW. The most unstable mode of the velocity profile in the upper row (ID : D3) has azimuthal wavenumber 2, and that of the lower row (ID : Dbis3) has azimuthal wavenumber 3.

As is clear from (2.8) the "*abc*" profile is placed at a distance  $r_0$  from the origin. It is matched at  $r_0$  with a linear velocity profile in the interval  $[0, r_0]$ , in a way to reproduce the observed approximately constant vorticity cores of TC, and to have overall continuous velocity and vorticity.

The velocity scale U = 200 m/s and the horizontal scale L = 400 km, which is typical for TC, are used for non-dimensionalization. The time scale is the vortex

#### M. Rostami and V. Zeitlin

turnover time  $T = L/U \approx 0.5h$ . As already said, we are working in the tangent 277 plane approximation. Being motivated by TC, we place our tangent plane at 278 a low latitude,  $15^{\circ}N$ , which corresponds to  $f_0 = 3.7643 \cdot 10^{-5} \text{s}^{-1}$ . The non-279 dimensional  $\bar{f}_0 = L f_0 / U \approx 7.5 \cdot 10^{-2}$ , which is, in fact, the inverse global Rossby 280 number (Ro) constructed from the scales given above. We, however, will be using 281 another definition of the Rossby number:  $Ro = V_{\text{max}}/f_0 L_{\text{max}}$ , where  $V_{\text{max}}$  is 282 the maximum of azimuthal velocity (maximum wind), and  $L_{\max}$  is the radius of 283 maximum wind (RMW) which is traditional in the TC literature, e.g. (Lahaye and 284 Zeitlin 2016). All of the vortices in the experiments presented in Table 1 have large 285 Rossby numbers. We, however will call below those with Ro = (10.37, 14.5, 19.3)286 'strong', and the other ones 'weak', bearing in mind the TC nomenclature. In the 287 (quasi-) barotropic mcRSW model, we choose  $H_0 = 8.5$  km as the vertical scale. 288 With the above-described scaling the non-dimensional equation for the radial 289 velocity in the *f*-plane approximation, which follows after non-dimensionalization 290 from (2.6), becomes 291

$$-\frac{du}{dt} + \frac{v^2}{r} + \bar{f}_0 v = \frac{\lambda}{Fr^2} \partial_r \eta.$$
(2.10)

We introduced here a non-dimensional amplitude  $\lambda$  of the thickness anomaly  $h = H_0(1 + \lambda \eta)$ , where  $H_0$  is the mean thickness, and the Froude number  $Fr = U/\sqrt{gH_0}$ . As in (Lahaye and Zeitlin 2016) we will be assuming in what follows that  $\lambda/Fr^2 \sim 1$ . The cyclo-geostrophic equilibrium (2.7) between V(r) and the corresponding thickness profile H(r) in non-dimensional terms becomes

$$\frac{V^2}{r} + \bar{f}_0 V = H'(r), \qquad (2.11)$$

where the prime here and below denotes an ordinary derivative with respect to *r*. The thickness profile for a given V(r) is thus straightforwardly recovered from (2.11) by taking the primitive of the left-hand side. For the "*abc*" profile, with  $r_0 = 0$  we obtain

$$H(r) = 1 - \bar{f}_0 \frac{\epsilon}{b \, v_{max}} c^{-\frac{a+1}{b}} \Gamma(\frac{a+1}{b}) G(c \, r^b, \frac{a+1}{b}) - \frac{\epsilon^2}{b \, v_{max}^2} (2c)^{-\frac{2a}{b}} \Gamma(\frac{2a}{b}) G(2c \, r^b, \frac{2a}{b}),$$
(2.12)

where  $v_{max} = max|\overline{V}(r)|$ ,  $G(x,a) = [1/\Gamma(a)] \int_x^{\infty} t^{a-1} e^{-t} dt$  and  $\Gamma$  denotes the gamma function.

#### 303 3. Instabilities of hurricane-like vortices and their evolution along the 304 trajectories on the $\beta$ - plane

We start our investigation of hurricane-like vortices by a linear stability analysis in the adiabatic environment of the vortex solutions on the f-plane, which were described in Sec. 2.2. We then study how these instabilities are modified on the beta-plane and in the presence of moist convection, and how these effects influence the vortex trajectories.



FIGURE 2. Growth rates of the azimuthal modes l = 1, 2, 3 as functions of the vortex intensity. Left and right panels correspond to the upper- and lower- row configurations presented in figure 1, respectively.

#### 3.1. Instabilities of "dry" TC -like vortices in the f-plane approximation

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Analysis of instabilities of the TC-like vortices below follows the lines of (Lahaye and Zeitlin 2016; Rostami and Zeitlin 2017). Taking the "dry" equations (2.6), i.e. switching off the condensation C, linearizing them around the vortex solution (2.8), (2.11) and looking for solutions in the form of azimuthal normal modes

$$(u, v, \eta) = Re\{[iu_0(r), v_0(r), \eta_0(r)]e^{i(l\theta - \omega t)}\},$$
(3.1)

we get the following eigenproblem for eigenvalues  $\omega$  and eigenvectors  $[u_0(r), v_0(r), \eta_0(r)]$ :

$$\omega \begin{pmatrix} u_0 \\ v_0 \\ h_0 \end{pmatrix} = \begin{pmatrix} lV/r & (\bar{f}_0 + 2V/r) & -D_n \\ \partial_r V + V/r + \bar{f}_0 & lV/r & l/r \\ \frac{Fr^{-2} + (rH)'}{r} + (Fr^{-2} + H)D_n & \frac{Fr^{-2} + H}{r}l & lV/r \end{pmatrix} \cdot \begin{pmatrix} u_0 \\ v_0 \\ \eta_0 \end{pmatrix}, \quad (3.2)$$

where  $D_n$  is the operator of differentiation in r, which becomes a differentiation 316 matrix after discretization using the Chebyshev collocation points (Wright 1964), 317 cf. figure 1. Its numerical solution is obtained with the help of the pseudo-spectral 318 collocation method. As the gradient of the vorticity changes sign, cf. figure 1, we 319 expect a barotropic instability, according to the standard criteria, i.e. complex 320 eigenvalues  $\omega = \omega_0 + i\sigma$ , where  $\sigma$  is the growth rate. By obvious reasons, we 321 are mostly interested in the fastest-growing most unstable modes. The results of 322 the numerical stability analysis are presented in figure 2, where the growth rates 323 of the two most unstable azimuthal modes, as well as that of the mode l = 1, 324 which is important in the following, are plotted as functions of the parameter  $\epsilon$ 325 which controls  $V_{\text{max}}$ , cf. (2.9), for the two configurations of figure 1. We should 326 emphasize that, as it was already stressed in (Lahaye and Zeitlin 2016), the 327 instability is sensitive to the fine details of the vorticity profile. Thus, by increasing 328 the slope of the ascending branch of velocity and vorticity in the vicinity of the 329 core (lower panel of figure 1) the most unstable mode switches from l = 2 to 330 l = 3. The structure of the unstable modes with l = 2 and l = 3 is presented 331 in figure 3. More details on the stability analysis of TC-like configurations in 332 the framework of mcRSW model, including the dependence of the most unstable 333



FIGURE 3. Spatial distribution of thickness (colours) and velocity (arrows) (*left column*) and radial dependence of  $u, v, \eta$  (*right column*), with the critical radii indicated by the vertical lines, of the most unstable mode l = 2 of the vortex corresponding to ID : D3, and of the most unstable mode l = 3 corresponding to ID : D3.

mode on the RMW can be found in (Rostami and Zeitlin 2018). Let us however, emphasize, that the results above are obtained in the vertically averaged model with the crudest parametrization of condensation and evaporation. Studies of instabilities of hurricanes in the framework of full three-dimensional primitive equations and in cloud-resolving models, with detailed representation of threedimensional hurricane structure and thermodynamics of the moist air can be found in (Schecter and Montgomery 2007) and (Schecter 2018), respectively.

It is known that the nonlinear evolution of the instability in the f-plane 341 approximation leads to homogenization of the vorticity in the centre of TC -like 342 vortices, and its intensification, (Schubert et al. 1999; Kossin and Schubert 2001; 343 Rozoff et al. 2009; Hendricks et al. 2014; Lahaye and Zeitlin 2016). This process 344 corresponds to the evolution and different states of TC cores which were found in 345 observations (Mallen et al. 2005). We do not investigate here such evolution on 346 the f- plane, as in the above-mentioned studies, and pass directly to the  $\beta$ -plane 347 configuration. 348

#### 349 3.2. Instabilities of TC -like vortices on the $\beta$ - plane, and the influence of beta-gyres

The evolution of intrinsic instabilities of hurricane-like vortices on the  $\beta$ - plane cannot be dissociated from a well and long-time known phenomenon due to the  $\beta$ - effect: development of the so-called beta-gyres (Reznik and Dewar 1994;

Sutvrin and Flier 1994) resulting from the Rossby-wave emission by the vortex. 353 Corrections to the process of formation and the characteristics of the beta-gyres, 354 initially described in quasi-geostrophic models, were studied in much detail in the 355 full RSW model in (Reznik and Grimshaw 2001), although in the small Rossby 356 number regime. Due to this phenomenon, the cyclonic vortices start moving in the 357 north-west direction in the Northern hemisphere (the so-called beta-drift). The 358 influence of the beta-effect upon the trajectories and internal structure of TC-like 359 vortices was studied in the barotropic non-divergent model (i.e. 2D Navier-Stokes 360 equation on the  $\beta$ -plane) by Chan and Williams (1987); Fiorino and Elsberry 361 (1989), and in the shallow-water model by Willoughby (1994). 362

Compared to the previous studies, we have at our disposal a much more 363 reliable and higher-resolution simulation tool, and the possibility of including 364 the important effects of moist convection. We performed a number of numerical 365 experiments on the propagation of TC -like vortices on the  $\beta$ - plane in the 366 Northern hemisphere, and development of instabilities, in the "dry" and moist-367 convective configurations displayed in Table 1. A summary of the results, some 368 of which we present below, is that the intense vortices are propelled north-369 westward by the beta-gyres, the structure of which is sensitive to the strength 370 of vortices, and to the presence, or absence, of moist convection. The meridional 371 displacement increases with vortex intensity, and north westward drifting strong 372 vortices veer eventually eastward, while at equal intensity the influence of the 373 effects of moisture upon the drift is relatively weak. At the same time, the 374 asymmetry enhanced by the beta-gyres triggers instabilities which develop in the 375 core, leading to formation of secondary "eyewall meso-vortices", which are well 376 documented in the observations of TC, e.g. (Kossin et al. 2002). The formation 377 and properties of the meso-vortices are mostly sensitive to the details of the 378 vorticity profile and the strength of the parent vortex, and are less sensitive to 379 the effects of moisture. During nonlinear evolution, the ring of high PV in the 380 vortices with higher Ro breaks down faster than in those with lower Ro. The 381 eyewall meso-vortices generated by this process can then wander inside the eye, 382 and eventually merge. 383

We present in figure 4 a comparison of evolution scenarios of weak and strong 384 hurricane-like vortices in the moist-convective environment on the beta-plane 385 with ID MC1, MC3, respectively. The vortex profile in both cases corresponds 386 to figure 1 (upper panels) with the most unstable mode l = 2, although for the 387 weaker vortex the growth rates of modes l = 2 and l = 3 are close, cf. figure 388 2. In contrast with the studies of the evolution of vortex instabilities on the f-389 plane (Lahaye and Zeitlin 2016; Rostami and Zeitlin 2017), the simulations were 390 not initialized with a perturbation corresponding to the most unstable mode. 391 the instability having been triggered by the asymmetry introduced by the beta 392 -gyres. As follows from the figure, the beta- drift is stronger for the stronger 393 vortex (see also below), the instability of the core is stronger as well, and the 394 secondary eyewall meso-vortices are more pronounced. Their structure at initial 395 stages clearly reflects the dominant l = 2 instability of the stronger vortex, and 396 a mixture of l = 2 and l = 3 instabilities of the weaker vortex, in agreement with 397 the linear stability analysis of Section 3.1. However, the l = 1 mode, whose growth 398

#### M. Rostami and V. Zeitlin

rate was negligible on the f- plane, cf. figure 2, exhibits a considerable growth due to the influence of the beta-gyres, as follows from figure 5. The mechanism of intensification in the core region is clear from figure 4: it is due to the merger of meso-vortices. The evolution of weak and strong vortices in the adiabatic ("dry") environment is qualitatively similar to that of figure 4 (not shown).

An important question is about the role of moisture in the evolution of the in-404 tensity of the hurricane-type vortices, cf. (Lahaye and Zeitlin 2016). A comparison 405 of the evolution of the peak PV in adiabatic and moist-convective environments 406 is presented in figure 6. For the weak vortex, the peak "dry" PV is quasi-407 monotonously decreasing, after a transient growth due to redistribution of PV 408 produced by discretization errors, and related readjustment process. However, 409 this decrease is attenuated by the effects of moisture. For the strong vortex the 410 PV evolution exhibits a period of strong growth associated with the appearance 411 of pronounced meso-vortices (the second from the top panel of the right column 412 in figure 4), and a second period of growth associated with their merger (the 413 third from the top panel of the right column in figure 4), the latter one being 414 significantly enhanced by the moist convection. The non-conservation of the peak 415 PV is due to numerical dissipation, and to the moist convection, if present, but it 416 is rather limited, as the overall change of the peak PV is  $\approx 7$  % for the weak, and 417  $\approx 10$  % for the strong vortex. We thus confirm the result previously obtained in 418 the f-plane approximation Lahaye and Zeitlin (2016): the evolution of the vortex 419 core instability leads to intensification, and that moist convection substantially 420 enhances this process. The modification of the instability pattern on the beta-421 plane due to the growing l = 1 mode apparently does not change the overall 422 scenario of intensification. 423

The structure of the beta-gyres differs for strong and weak (in the sense 424 explained in Sec. 2.2 above) vortices, as follows from figure 7, where their 425 evolution is presented in the moist-convective case, the pattern in the "dry" case 426 being very close. At the initial stages the beta-gyres arise and slowly intensify. The 427 anticyclonic gyre is formed to the north-east and the cyclonic one to the south-428 west with respect to the vortex core. The centres of the gyres then start to slowly 429 rotate clockwise. Although the individual structure of the beta-gyres is sensitive 430 to initial velocity and vorticity profile s, our results on the initial formation 431 and evolution of the gyres are in global agreement with previous shallow-water 432 modelling of TCs, e.g. (Li and Wang 1994), and also with observational data 433 analyses e.g. (Kim et al. 2009). As time goes on, a Rossby-wave tail develops in 434 the south-eastern sector with respect to the core of the vortex. Initially, the flow 435 between the main vortex and the major gyre is north -westward, but as the gyres 436 rotate this flow turns northward. 437

<sup>438</sup> Condensation is inherent to the evolution of vortices in the moist-convective <sup>439</sup> environment (Lahaye and Zeitlin 2015; Rostami and Zeitlin 2017). While it is <sup>440</sup> mostly axisymmetric for TC -like vortices in the *f*-plane approximation (Lahaye <sup>441</sup> and Zeitlin 2015), this is not the case on the  $\beta$ - plane. We found that the time-<sup>442</sup> averaged condensation pattern is clearly asymmetric with respect to the vortex <sup>443</sup> centre, as follows from figure 8. At the same time, the water vapour distribution <sup>444</sup> roughly follows the beta-gyre structure. As is clear from the figure, the rear-right



FIGURE 4. Comparison of the evolution of weak (ID: MC1, left panel), and strong (ID: MC3, right panel) hurricane-like vortices on the  $\beta$ -plane in moist-convective environment, as seen in the potential vorticity field. Snapshots at t = 40, 55, 80, 100[L/U], from top to bottom.



FIGURE 5. Logarithm of the normalized amplitude of the l = 1 component of the azimuthal velocity field calculated in the domain r < 0.5 as a function of time during the evolution of the weak (*left panel*, ID : D1, MC1) and strong (*right panel*, ID : D3, MC3) hurricane-type vortices in "dry" (*solid*) and moist-convective (*dashed*) environment. Vorticity profiles correspond to the upper panel of figure 1.



FIGURE 6. Comparison of the evolution of the maximum of potential vorticity of weak  $(ID : MC1 \ left \ panel)$ , and strong  $(ID : MC3 \ right \ panel)$  hurricane-like vortices on the  $\beta$ -plane in the moist-convective (dashed) versus "dry" (solid) environments.

sector, following the vortex motion, is a zone of the highest condensation, while
the front-left sector is the zone of the lowest condensation. This result strongly
resembles observations of convection in TCs over the western Pacific during the
period from 2005 to 2012 (Yang et al. 2016).

## 3.3. Trajectories of hurricane-like vortices on the beta-plane and inertia gravity wave emission

<sup>451</sup> The above-mentioned north-western drift of cyclonic vortices due to the beta-<sup>452</sup> gyres is robust, and can be established already for the point vortices on the  $\beta$ -<sup>453</sup> plane (Reznik 1992). In shallow water the drift velocity of low Rossby number vor-



FIGURE 7. Formation of (nonlinear) beta-gyres during the evolution of the weak (*upper panel*, ID : MC1), and strong (*lower panel*, ID : MC3) hurricane-type vortices in moist-convective environment, as seen in the thickness field beyond the radius of maximum wind.



FIGURE 8. Asymmetric distribution of time-averaged condensation for two hurricane-like vortices with different Rossby numbers. ID: MCbis1 (*left panel*) and ID: MCbis3 (*right panel*).

tices experiences periodic modulations (Reznik and Grimshaw 2001). As shown 454 above, we do observe a pronounced beta - drift of the hurricane-type vortices. 455 Trajectories of vortices of different intensity in adiabatic and moist-convective 456 environments are displayed in figure 9. The drift velocity in our simulations is 457 not uniform, as can be inferred from figure 9, where the points are plotted at 458 equal time intervals - for example, vortices slow down while turning north - but 459 its variations are not periodic, as in (Reznik and Grimshaw 2001), which is, 460 apparently, due to the largely different Rossby numbers. 461



FIGURE 9. Trajectories of hurricane-like vortices with different Rossby numbers in dry (D) and moist-convective (MC) environments, as defined in Table 1. Time span is from 0 to 315, at the interval 15 [L/U].

What is important is that we see a clear-cut difference between the behaviour 462 of vortices of moderate and strong intensities. While the former follow the 463 trajectories predicted in the literature in the no background flow case, e.g. 464 (Adem 1956; McWilliams and Flierl 1979; Chan and Williams 1987; Reznik 1992), 465 with the moist convection not substantially affecting the trajectory, and "moist" 466 meridional velocity being lower than the "dry" one, the latter behave differently. 467 Their trajectories are almost straight for a long time, with meridional velocit-468 ies much higher than for moderate -intensity vortices, and "moist" meridional 469 velocities higher than the "dry" ones. Remarkably, the trajectories of intense 470 vortices turn north, and then north-east at late stages, a behaviour which is 471 usually attributed in the observations of TC to the influence of the mean wind, 472 although there is none in the present simulations. In order to understand the 473 dynamical processes at the origin of these north-east turns we present in figure 474 10 the outer structure of an intense vortex at the stage when it starts turning 475 northward. As follows from the figure, the main anticyclonic lobe of the associated 476 beta-gyre exhibits intensification and significant clockwise displacement. As a 477 result, the axis of the dipole which it forms with the main vortex turns north-478 eastwards, which explains the change in the direction of propagation. The rate 479 of this rotation of the lobe is higher in the "dry" case, which is consistent with 480 the behaviour of respective trajectories in figure 9. The evolution of the pressure 481 field in the moist-convective environment is significantly affected by condensation 482 accompanied by emission of the inertia-gravity waves (IGW), which explains this 483 difference. Both condensation and IGW emission are displayed in the two lower 484 panels of figure 10. As follows from figure 11, where we diagnosed the associated 485 wave activity, the outward-propagating IGW originating in the vortex core are 486 amplified with increasing Ro in the moist-convective environment. The above-487 described formation, wandering and merger of meso-vortices during the nonlinear 488

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evolution of intense TC - like vortices are at the origin of the peaks of the wave
 activity.

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#### 3.4. Summary and preliminary conclusions

Before including the orographic effects in the next section, let us briefly sum-492 marize the above-described results of the simulations of the lifecycle of hurricane-493 like vortices on the  $\beta$ - plane. The vortex instabilities, which are identified in the 494 f-plane approximation, are triggered by the inhomogeneity due to the beta-effect. 495 Their development leads to formation of the meso-vortices, which subsequently 496 merge and lead to intensification of the vortex at its core. The larger the Rossby 497 number of the vortex, the more pronounced are the meso-vortices, and related 498 processes. At the same time, according to the known mechanism, beta-gyres are 499 developed in the vicinity of the vortex. They lead to the north-western beta-drift, 500 which is substantially different for vortices of moderate and strong intensity. In 501 the latter case, nonlinear evolution and the related change of orientation of the 502 beta-gyres lead to a change of orientation of the trajectory, which eventually 503 veers north-eastward. The characteristic vortex-dynamics events described above 504 (formation and merger of meso-vortices, change of orientation of the beta-gyres) 505 are accompanied by related bursts of inertia-gravity wave emissions by the vortex. 506

# 4. Interactions of hurricane-like vortices with idealized ridges and islands

#### 509

#### 4.1. Preliminaries

Changes in structure and intensity of TC travelling over the ocean and en-510 countering coasts (landfall) are of obvious high importance, and there exists an 511 extensive literature on this subject. On the other hand, interactions of vortices 512 with topography is a recurrent subject in the oceanographic literature as well. 513 Most of the theoretical advances in our understanding of vortex evolution in 514 the presence of topography were achieved using the barotropic non-divergent 515 model (called the long-wave approximation in oceanography (Gill 1982a)), which 516 in the context of shallow-water modelling, neglects the deformation of the free 517 surface. A common approach is based on the asymptotic analysis of the equation 518 of conservation of PV in this model at small times, for initially axisymmetric 519 vortex configurations. It was initiated by Adem (1956), and then extended and 520 developed by many others, e.g. (Smith and Ulrich 1990; Grimshaw et al. 1994; 521 Richardson 2000). There also exist numerical simulations using the same model 522 with simple topography profiles on the f-plane, e.g. (Grimshaw et al. 1994; 523 Richardson 2000; Hinds et al. 2016), and on the beta-plane (vanGeffen and 524 Davies 1999), as well as laboratory experiments in rotating tanks (Carnevale 525 et al. 1991; Flor and Eames 2002). As can be inferred from these studies, e.g. 526 (Grimshaw et al. 1994; Richardson 2000), a monopolar cyclonic vortex in the 527 f-plane approximation tends to go first across, and then along the topography 528 isolines. At the same time, the vortex perturbation generates topographic waves 529 starting to propagate along the topography isolines (Grimshaw et al. 1994). The 530 details of the vortex behaviour depend on the parameters of the topography (slope 531



FIGURE 10. Snapshots of the isobars beyond the radius of maximum wind for the strongest vortex (Ro= 19.3) of figure 9 in the "dry" (*first row*, ID : Dbis4) and moist -convective cases (*second row*, ID : MCbis4). Third row: Spatial distribution of condensation corresponding to the second row. Fourth row: Corresponding to the second row convection-coupled inertial gravity waves, as seen in the divergence field. Colour bar is saturated in the core region. T = 255, 270, 285, 300 L/U.



FIGURE 11. Wave activity measured as the modulus of divergence integrated over the region beyond the radius of maximum wind during the evolution of TC -like vortices with different Rossby numbers in dry and moist-convective environments. Ro = 14.5 (*left panel*, ID : Dbis3, MCbis3), Ro = 19.3 (*right panel*, ID : Dbis4, MCbis4), respectively.

and height), and on the intensity of the vortex. On the beta-plane the situation is
more complex because of the simultaneous influence of the beta- and topographic
gyres developing around the vortex, and analysis of PV conservation becomes
more involved. Yet it helps to interpret the results of numerical simulations,
e.g. Zehnder (1993) where numerical experiments with a shallow-water model on
the equatorial beta-plane were performed, together with a qualitative theoretical
analysis.

<sup>539</sup> Compared to the existing literature on this subject, we have the advantage <sup>540</sup> of being able to use a state-of-the-art high-resolution well-balanced numerical <sup>541</sup> scheme, allowing to represent with high fidelity both the effects of vortex stretch-<sup>542</sup> ing due to deformations of the free surface, and the inertia-gravity and topo-<sup>543</sup> graphic waves, and also to incorporate the effects of moisture, and to study their <sup>544</sup> influence.

#### 4.2. Interactions of hurricane-like vortices with idealized mountain ridges

We start our study of the effects of topography by analysing the interaction of, first, weak and, second, strong vortices, in the sense of section 2.2 with a straight infinite meridional and zonal bumps (idealized mountain ridges). Low  $(b_{max} = 0.1)$  and high  $(b_{max} = 0.2)$ , in units of  $H_0$ , bumps of Gaussian shape were implemented.

551 4.2.1. Weak TC -like vortex

545

In figure 12 we present a comparison of the trajectories of a weak TC -like vortex encountering a low zonal bump in adiabatic and moist-convective environments, and the corresponding evolution of its peak relative vorticity. The recurving of the trajectories observed in the figure is correlated with formation of the topographic gyres discussed in the above-cited literature. They are clearly seen in the upper and middle panels of figure 13, where we present the evolution of relative vorticity, and can be anticipated from the conservation of PV. The recurving and rightward



FIGURE 12. Comparison of the tracks of a weak (*ID: D1, MC1*) TC -like vortex (*left panel*) over a Gaussian ridge with  $b_{max} = 0.1$ , and related evolution of the peak relative vorticity (*right panel*) in "dry" (white dots, solid) and moist-convective (black dots, dashed) environments. Time span from 0 to 495, the interval between consecutive dots is 15 [L/U].

shift start earlier in the moist-convective environment. This is due to the fact 559 that it is the moist enthalpy  $h - b - \gamma Q$  which replaces the thickness h - b in 560 the expression of the conserved (in the absence of dissipation and evaporation) 561 potential vorticity, cf. (2.4), which means that the effective topography is higher in 562 the moist-convective case. The evolution of the peak vorticity is similar in the two 563 cases, although the peak vorticity in the moist-convective environment is higher. 564 starting from the encounter of the vortex with the ridge, and this difference is 565 increasing in time, cf. the right panel of figure 12. This is due to the condensation 566 in the vortex core during the crossing, which is clearly seen in the lower panel of 567 figure 13, as condensation enhances the cyclonic vorticity. The minimum of the 568 peak vorticity at later times, observed in both curves in the right panel of figure 569 12 corresponds to the passage by the TC of the topography maximum, and can be 570 easily explained in terms of PV conservation: diminishing the denominator in the 571 PV(2.3) should be compensated by diminishing the numerator, i.e. diminishing 572 the relative vorticity, and vice versa. A similar form of trajectory, and of the 573 peak vorticity evolution, was reported in vanGeffen and Davies (1999), where 574 numerical simulations of monopolar vortex interacting with ridges of various 575 orientations were performed in the framework of the "dry" non-divergent model. 576 Notice that the overall initial depletion of the relative vorticity is due to the 577 meridional propagation which increases the planetary vorticity  $f_0 + \beta y$  in the 578 Northern hemisphere which, again, as follows from the PV conservation, should be 579 compensated by a decrease in relative vorticity, at constant thickness. The lag of 580 this minimum in the moist-convective case is explained by the faster propagation 581 speed in the dry case, which can be inferred from the left panel of figure 12. 582 Increasing the height of the ridge leads to a slow-down of the weak vortex over 583 the ridge, and to a backward motion in the case of meridional ridge, as follows 584 from figure 14. We will come back to this phenomenon in the next subsection, 585 and concentrate first on the topographic gyre formation, and its interaction 586 with the primary vortex and its beta-gyre, which is illustrated by figure 15. 587 The figure displays a rich vortex dynamics pattern with several distinguished 588 features. A cyclonic topographic gyre arises by the process of enhancement of 589



FIGURE 13. Upper row: Spatio-temporal evolution of the relative vorticity during the passage of a weak TC -like vortex (ID : MC1) over a zonal Gaussian ridge with  $b_{max} = 0.1$  at y = 3. Middle row: Corresponding evolution of h. Lower row: Corresponding evolution of condensation. Horizontal dotted lines indicate the ridge. T = 350 corresponds to the minimum of the relative vorticity in figure 12.

the second (cyclonic) lobe of the beta gyre when the TC approaches the ridge. It 590 then intensifies. The topographic gyre forms an asymmetric dipole with the rear 591 part of the first anticyclonic lobe of the beta gyre, with a tendency of moving 592 backward from the ridge along its axis and hence, by conservation of momentum, 593 pushing the main vortex in the opposite direction, which can explain the initial 594 acceleration of the vortex over the ridge observed in the left panel of figure 14 595 (larger distance between the consecutive dots). Recurving of the trajectory is 596 due to the deformation and stretching of the anticyclonic vorticity surrounding 597 the core, together with that of the near field of the beta-gyre, which leads to 598 reorientation of the axis of the vortex dipole which it forms with the main vortex, 599



FIGURE 14. Trajectories of a weak TC -like vortex (ID : D1) (white dots indicating peak vorticity) passing over a zonal (*left panel*) and a meridional (*right panel*) ridges with  $b_{max} = 0.2$  in "dry" environment. Time span is [0, 270] and time interval between consecutive dots is 15L/U.

and hence a change in the direction of propagation. Stalling of the vortex at the lee 600 side of the ridge, which is seen at later stages in the same figure is due to formation 601 of a tripolar structure, involving the remnants of the main anticyclonic lobe of 602 the beta-gyre, the main vortex, and a secondary anticyclonic topographic gyre, 603 which arises downslope and westward of the main vortex. Notice the vorticity 604 pattern appearing to the right of the main vortex structure. It is related to the 605 topographic wave, which is coupled to the cyclonic topographic gyre, and leads 606 to the alignment of the latter along the ridge at the later stages - see also below. 607

#### 608 4.2.2. Strong TC -like vortex

Figure 16 represents a counterpart of figure 12 for a strong TC -like vortex 609 passing over the ridge with  $b_{max} = 0.2$ . The trajectories in both "dry" and moist-610 convective environments are looping downslope, leading to trapping of the vortex 611 by topography. As follows from figure 17, where we present the corresponding 612 evolution of relative vorticity in the "dry" case, a cyclonic topographic gyre 613 also arises, and forms a dipole with the tail of the anticyclonic beta-gyre lobe, 614 as in the case of the weak TC -like vortex above, with a similar acceleration 615 effect, cf. figure 16. The recurving of trajectory at the lee side of topography, 616 and subsequent looping are similar to the weak vortex case, and are due to a 617 secondary anticyclonic topographic gyre, arising and merging with the remnants 618 of the primary beta-gyre and forming a vortex pair with the main vortex and its 619 anticyclonic vorticity ring, with a clockwise-rotating axis. Contrary to the weak 620 vortex case, no clear tripolar structure is observed, and the cyclonic topographic 621 gyre is not aligned with the vorticity field of the topographic wave (see below). 622

We should emphasize that in simulations of vanGeffen and Davies (1999) the vortex was always crossing the ridge, even a twice higher one, with respect to the non-perturbed depth, compared to our set-up, and continued to move in the north-west direction, although its trajectory was recurving over the bump. Although a direct comparison is not straightforward, as the simulations in vanGeffen and Davies (1999) were done with the non-divergent model on the equatorial beta-plane with  $f_0 \equiv 0$ , and the vorticity profile and intensity of the vortex were



FIGURE 15. Interaction of a weak TC -like vortex (ID : D1) in the "dry" environment with a zonal Gaussian ridge with  $b_{\text{max}} = 0.2$ , as seen in the relative vorticity field (colours). Isolines of relative vorticity are zoomed out of the vortex core. Solid red lines: isolines of b.



FIGURE 16. Comparison of the tracks of a strong TC -like vortex (ID : D3, MC3), left panel, and evolutions of the related peak relative vorticity (right panel) over the Gaussian ridge with  $b_{max} = 0.2$  in "dry" (white dots, solid line) and moist-convective (black dots, dashed lines) environments. Time span is from 0 to 465, and the interval between the dots is 15 [L/U].

different, this discrepancy emphasizes the role of divergence effects and related vortex stretching, which are absent in the non-divergent model.

The evolution of moisture and condensation during the passage of a strong TC over a zonal ridge is presented in figure 18. The condensation pattern is similar to that of figure 13, but its intensity at the locations of primary and secondary vortices is much higher. As follows from the figure, the first



FIGURE 17. Evolution of relative vorticity of a strong TC -like vortex (ID:D3) passing over a zonal Gaussian ridge with  $b_{\text{max}} = 0.2$  (white dots in figure 16). Isolines of relative vorticity are zoomed over out of the core areas.

condensation pattern develops upwind and downslope, but then a second pattern 636 moving along the ridge appears. It is related to a topographic wave. The influence 637 of the moisture upon the trajectory can be inferred from figure 16. As follows 638 from the figure, the trajectories in the "dry" and moist - convective simulations 639 are qualitatively similar. As in the previous case of weak TC- like vortex, and 640 by the same reason, the trajectory in the moist-convective environment starts 641 the rightward shift earlier. The looping is explained similarly, although there is 642 a difference of curvatures of 'dry' and "moist-convective" trajectories. In view 643 of the "dry" evolution, with its stronger curvature, the variations of Q, and 644 related condensation (cf. figure 18) apparently contribute to the flattening of the 645 trajectory when the vortex exits the ridge. It should be not forgotten that effective 646 topography is higher in the moist-convective environment, as already explained. 647 The curves of the evolution of peak relative vorticity presented in the right panel 648 of figure 16 show a quasi-identical behaviour, apart from the fact that the peak 649 vorticity in the "moist-convective" case is higher during the periods when zones of 650 condensation are present, as it should be, as condensation enhances the cyclonic 651 vorticity. The consecutive minima of the peak vorticity starting at T = 235652 correspond to the repetitive passages by the TC of the topography maximum, 653 and, again, can be straightforwardly explained in terms of PV conservation. The 654 fact that high topography can trap intense cyclones arriving at incidence angles 655 close to  $90^{\circ}$  is quite remarkable. We will come back to this phenomenon in the 656 next section. 657

As follows already from the upper panel of figure 18, the passage of TC-



FIGURE 18. Upper row: Evolution of initially uniform moisture during a passage of a strong TC -like vortex (ID : MC3) over a zonal ridge with maximum  $b_{\text{max}} = 0.2$  at y = 3. Lower row: Corresponding evolution of the condensation. Horizontal dotted lines indicate the position of the ridge. T = 235 corresponds to the first minimum of the relative vorticity in figure 16.

l ike vortices over the ridge produces pronounced convergence zones, as it is 659 only convergence or divergence of the velocity field which can redistribute the 660 initially uniform moisture. The corresponding evolution of the divergence field 661 is presented in the upper panel of figure 19, together with its counterpart for 662 the meridional bump (lower panel). As follows from the figure, a large-scale 663 topographic wave is generated during the encounter of the vortex with the 664 ridge, and starts propagating along the ridge. The divergence anomaly due to 665 this wave is at the origin of zonally propagating along the ridge patterns of 666 humidity anomaly and condensation. As is clear from the previous discussion, 667 the topographic wave also influences the dynamics of the vortex system over the 668 ridge in the case of the weak TC- like vortex. 669

#### 670

#### 4.3. Interaction of TC-like vortices with an idealized Taiwan-like island

The Taiwan island, of a roughly elliptic form, with high mountains, is often 671 stricken by Western Pacific typhoons having a horizontal scale comparable to the 672 scale of the island. This is why observational and theoretical studies of interactions 673 of TC with such island are recurrent in the literature, e.g. (Chang et al. 1993; 674 Yeh and Elsberry 1993a,b; Kuo et al. 2001; Tang and Chan 2015; Wu et al. 675 2015; Tang and Chan 2016; Huang et al. 2016; Lin et al. 2016). The observed 676 TC tracks exhibit a variety of patterns with both northward and southward 677 deflections. They may be continuous or discontinuous, and can be accompanied 678 by formation of secondary lows (Chang et al. 1993; Yeh and Elsberry 1993b; Kuo 679



FIGURE 19. Isolines of divergence (colours) produced by a strong vortex (ID : MC3) passing over a zonal (upper panel) and a meridional (lower panel) ridges with  $b_{max} = 0.2$  in moist-convective environment. Small-amplitude signal corresponding to weak inertia-gravity waves produced during the encounter, and propagating radially out of the ridge, are filtered out.

et al. 2001). The process of deflection includes many environmental factors and is 680 very complicated, as stressed by Kuo et al. (2001). Many of the modelling studies 681 (Yeh and Elsberry 1993a; Wu et al. 2015; Huang et al. 2016; Lin et al. 2016) 682 use the simplistic f-plane approximation, with a meridionally oriented island 683 and an imposed easterly mean zonal wind which pushes the cyclone towards the 684 island. An accent was made on fully developed parameterizations of convection 685 and micro-physics, while the hydrodynamical set-up remained over-simplified in 686 recent works. The simulations of the TC trajectories near Taiwan on the beta-687 plane with WRF model were performed in (Tang and Chan 2015, 2016). As is 688 clear from this short review, most of the modelling in this context was performed 689 with simplified models and the seminal study by Kuo et al. (2001) used the "dry" 690 shallow-water model. 691

In order to understand the role of hydrodynamic processes modified by crudely 692 parameterized convection, we undertook a similar study in the mcRSW on the 693 beta-plane. We recall that Kuo et al. (2001) demonstrated a possibility of full or 694 partial trapping of the cyclone by the island. We can hereby extend such study 695 by including together the beta-effect, the topography and the moist convection. 696 To represent an idealized Taiwan-like island we implemented a topography in 697 the form of a bump of elliptic shape with a Gaussian cross-section, with the 698 main axis oriented from south-west to north-east. The elevation of the bump was 699 chosen to be smooth, in order to prevent formation of hydraulic jumps and wave 700 breaking, with the maximal non-dimensional height  $b_{max} = 0.2$ . As is known from 701



FIGURE 20. Left panel: Comparison of trajectories of a weak TC- like vortex with Ro = 3.57, ID: MC1 in the moist-convective environment in the presence (black circles), and in the absence (white circles) of the "Taiwan" island with  $b_{max} = 0.2$  (red isolines). Time interval between subsequent circles is 15 [L/U]. Right panel: Same as in the left panel, but for a strong TC- like vortex (Ro = 10.37, ID : MC3).

the above-cited literature, deflection during the approach and (partial) trapping 702 by topography are the main features of the TC trajectories near the island. In 703 order to check these effects in the mcRSW we initialized the TC-like vortices in the 704 vicinity of the island, in a way that their trajectories, which were determined in 705 the experiments of section 3.3, cross the location of the island. The initial moisture 706 field was uniform, and close to saturation, as before. The numerical experiments 707 were performed both with weak and strong TC, at different incidence angles 708 with respect to the major axis of the island, and different incidence locations. 709 Variations of the incidence angle were achieved by varying the orientation of 710 the major axis of the elliptic bump, as we were using pre-calculated trajectories 711 for initialization. The results on the track deflection of weak and strong TC-712 like vortices with RMW of the order of the transverse size of the island, and 713 trajectories initially approximately perpendicular to this axis are presented in 714 figure 20. As follows from the figure, both weak and strong TC- like vortices 715 are partially trapped, and start moving along the clockwise path around the 716 island, which is in agreement with some of the observed typhoon tracks across 717 Taiwan, with the simulations of (Kuo et al. 2001), and also with the results of 718 the section 4.2.2 above. The mechanism of recurving of trajectories due to the 719 interactions of primary vortex, its beta-gyre, and topographic gyres was described 720 in the previous section, and remains qualitatively the same. Its main ingredient 721 is the primary cyclonic topographic gyre, which is clearly identified in figure 21, 722 where we present the evolution of thickness during the landfall of strong and weak 723 TC- like vortices. As is known from the observations, a secondary vortex can be 724 formed at the lee side when a TC approaches the island, e.g. (Yeh and Elsberry 725 1993a,b). As follows from figure 21 the secondary low is indeed generated at the 726 northern side of the island during the passage of TC. After full intensification 727 it detaches but its subsequent evolution depends on the incidence location and 728 angle, see below. Such post-landfall phenomenon was documented, for example 729 , in the observational study of the typhoon Mindulle (Lee et al. 2008). One 730 of the practically important characteristics of the TC landfall on the island is 731



FIGURE 21. Upper left (right) panel: Selected isobars of a weak TC- like vortex (ID : MC1) at T = 210 in the presence (absence) of the "Taiwan" island. Lower row: Same as in the upper row, but for the strong TC- like vortex (ID : MC3).



FIGURE 22. Spatial distribution of the accumulated condensation during the passage of strong TC - like vortex (ID : MC3, time span from 20 to 270[L/U], (*left panel*)), and weak TC - like vortex (ID : MC1, time span from 20 to 500[L/U], (*middle panel*)) over idealized Taiwan island. *Right panel*: spatially integrated condensation corresponding to the aforementioned cases: (ID : MC1, solid, and ID : MC3, dashed).

<sup>732</sup> an amount of precipitation and its evolution in time. Accumulated amount, and <sup>733</sup> spatio-temporal evolution of condensation produced by the landfall of TC-like <sup>734</sup> vortices in the middle of the island, at incidence angle  $\approx 90^{\circ}$  are presented in <sup>735</sup> figure 22. It should be not forgotten that condensation and precipitation are <sup>736</sup> synonymous in the simplest version of the model we are using.

A panorama of the evolution of all essential fields during an encounter of a TC - like vortex with the northern part of the idealized Taiwan island at a smaller incidence angle is presented in figure 23. Typical convergence and related condensation patterns are seen at the south-western and north-eastern



FIGURE 23. Spatio-temporal evolution of divergence, condensation, relative vorticity, and selected isobars of a weak TC - like vortex (ID : MC1) passing over the northern part of idealized Taiwan island (red contours), respectively, from top to bottom.

<sup>741</sup> parts of the island. Deformation of the beta-gyres because of the topographic <sup>742</sup> influence is clearly seen, as well as formation of a secondary low at the northern <sup>743</sup> tip of the island. The later stages of this landfall are presented in figure 24, <sup>744</sup> which continues figure 23, and exhibit s a rather complicated vortex system <sup>745</sup> comprising the primary vortex, the main anticyclonic beta-gyre, which intensifies <sup>746</sup> and becomes a rather intense localized anticyclonic vortex, and the topographic

gyre, which gives rise to a secondary cyclone. Overall, the vortex system evolution 747 scenario is similar to the one observed for the straight infinite ridge, cf. figure 15, 748 although the terminating topography introduces important new features. Thus, 749 the topographic gyre detaches from the island, and together with the primary 750 vortex and its anticyclonic beta-gyre forms a three-vortex system moving out of 751 the island. At the same time, a secondary cyclonic topographic gyre develops and 752 intensifies at the lee side of the island, as follows from figure 24. We should stress 753 that the peak vorticity of the main vortex is smaller than that of the detaching 754 topographic gyre during some period of time. A documented in the literature 755 process of a secondary low developing and replacing the original centre for TC 756 (Yeh and Elsberry 1993b; Lin et al. 1999; Wu 2001), as well as disruption of 757 trajectories of the observed TC during the landfall, e.g. Kuo et al. (2001), could 758 be explained by a process of this kind, as already in our simple model we see a 759 swap of the maximum vorticity between the primary and the secondary cyclones. 760 The corresponding trajectory of the primary vortex, the related evolution of its 761 peak vorticity, together with the peak anticyclonic vorticity, and comparison of 762 the peak vorticities of the primary and secondary cyclones are displayed in figure 763 25. As follows from the comparison of the left panel of this figure, and figure 764 20, trajectories of TC - like vortices are very sensitive to the landfall location 765 and incidence angle. The evolution of the anticyclonic vorticity in the middle 766 panel of figure 25 clearly shows the emergence of the secondary anticyclone, and 767 subsequent re-intensification of the primary cyclone, while the right panel displays 768 a swap of the maximum of vorticity between the primary and the secondary 769 cyclones. It is worth noticing that our results in the case described in figures 23 -770 25 are similar to those obtained with the far more exhaustive WRF model, and 771 also with observational studies (Yeh et al. 2012; Tang and Chan 2016). 772

#### 4.4. Resumé of the results on TC- like vortices interacting with topography

The main feature of trajectories of TC- like vortices over the meridional and 774 zonal ridges is their recurving, which can lead to looping and trapping. This 775 behaviour is due to a rather complex vortex dynamics involving the primary 776 vortex, its beta-gyre, which is deformed and stretched during the passage over 777 the ridge, and of the primary cyclonic and secondary anticyclonic topographic 778 gyres, with an influence of the topographic wave generated during this process. 779 The condensation induced by the passage of TC-like vortices over the ridges 780 enhances the cyclonic vorticity and displays a specific pattern, which is correlated 781 with topographic waves generated during the interaction of the vortex with 782 topography, and propagating along the ridge. 783

The above-described features also manifest themselves when topography rep-784 resents an elongated island. Although the recurving and partial trapping are also 785 observed, the terminating topography leads to appearance of "free" secondary 786 vortices, arising from the beta-gyres interacting with topography. These vortices 787 can have higher peak vorticity that the original vortex, which can explain seem-788 ingly paradoxical disruptions of TC tracks over Taiwan reported in the literature. 789 The scenarios of the landfall strongly depend on the angle of incidence and its 790 location at the island. We should add that many of the detected in this part of the 791

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FIGURE 24. Late stages of the evolution of relative vorticity (upper row) and PV (lower row) of the landfall of weak TC - like vortex (ID : MC1) continuing figure 23



FIGURE 25. Left panel: trajectory of a TC - like vortex hitting the northern part of the "Taiwan" island with  $b_{max} = 0.2$  (red contours) in the moist-convective environment (black circles) compared to that without topography. Time interval between the circles is 15 [L/U]. Middle panel: Corresponding evolution of the anticyclonic (dashed) and cyclonic (solid) peak relative vorticities. Right panel: Swap of the maximum of vorticity between the primary vortex (solid), and the secondary (dashed) terrain-induced cyclone.

study features are also observed in "dry" simulations with the same topography,
which we do not present. Yet, the moist convection boosts secondary cyclones,
and makes their influence more pronounced.

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#### 5. Summary and discussion 795

We have, thus, shown that the mcRSW model produces evolution and trajectories of TC- like vortices, which are qualitatively and quantitatively close, 797 within the limits of the model, to the observations of TC and the results of 798 studies with much more sophisticated models. The main advantage of the model 799 is simple and computationally friendly incorporation of crudely parametrized 800 moist convection, and of arbitrary topography. The simplicity of the model, and 801 the robustness of modern finite-volume numerical schemes, allows for long-time 802 high-resolution simulations, and hence for efficient tracking of the intense cyclonic 803 vortices in different environments. We thus, have shown that TC-l ike vortices 804 in the Northern hemisphere experience the north-western beta-drift, which is 805 significantly influenced by the moist-convective phenomena in the case of strong 806 vortices. Our main discovery in this direction is that, in the absence of the 807 mean wind, the strong cyclones are travelling much farther North than their 808 weak counterparts, and experience, after some time, a north-eastern turn, with 809 a northward displacement being more pronounced in the presence of the moist 810 convection. Such turns are reported in the observations of TC, but are usually 811 attributed to the influence of the mean wind. We have also shown that the 812 intrinsic instabilities of the intense hurricane-type vortices, which were previously 813 identified in the f- plane approximation, are triggered by the beta- effect, and 814 evolve on the beta-plane as well, although with asymmetric distortions due to the 815 presence of the beta-gyres. They lead to formation of secondary eyewall meso-816 vortices which, in turn, evolve, merge, and lead to intensification of the vorticity in 817 the vortex core. Meso-vortices are recurrently reported in the observations of TC. 818 The moist convection enhances the effect of intensification, while the instability 819 mode with azimuthal wavenumber one, which appears due to the beta-effect, does 820 not qualitatively change the evolution of the main instability and the dynamics of 821 meso-vortices. We have demonstrated that the evolution of TC- like vortices leads 822 to enhanced inertia gravity wave activity in the moist-convective environment, the 823 peaks in the wave activity being correlated with different stages of the evolution of 824 the meso-vortices. Concerning the condensation, we found a distinct asymmetry 825 in the aggregate condensation between north-western and south-eastern sectors 826 with respect to the vortex centre, again, in accordance with observations of TC. 827 Using the advantage of easy and numerically friendly incorporation of topo-828 graphy in the shallow-water model, we analysed the passage of TC- like vortices 829 over a ridge-like and mountain island like topographies and demonstrated trap-830 ping of the cyclones by topography, accompanied by generation of topographic 831 waves, which influence the condensation/precipitation patterns. The character 832 of the trapping (full or partial) depends both on the intensity of the cyclones 833 and on the characteristics of topography. Our simulations reveal rich patterns of 834 vortex dynamics, involving the primary vortex, the main anticyclonic lobe of its 835 beta-gyre, and the topographic cyclonic gyres, which determine the trajectory 836 and the evolution of the intense vortices. In the context of deflection of the TC 837 tracks over the mountain Taiwan-like island, we observed several scenarios, from 838 deflection to trapping, depending on the strength of the cyclone, incidence angle 830 and landfall location. We found, again, complex scenarios of vortex dynamics 840

of the primary cyclone, a secondary cyclone arising from the topographic gyre,
and an anticyclone produced by the beta-gyre, with swaps of the maximum of
vorticity between the primary and the secondary cyclones. Such scenarios can
shed light on the observed disruptions of the TC trajectories.

On the basis of the present study we can conclude that the mcRSW model 845 is able to capture some of essential dynamical features of TC and allows 846 for semi-quantitative predictions of trajectories and intensities of TC at low 847 computational cost. In particular, our investigation of interactions of TC- like 848 vortices with topography reveals complex dynamical patterns and high sensitivity 849 of the observed scenarios to various parameters, although the number of these 850 latter is massively reduced as compared to "big" models. This shows, on the 851 one hand, an intrinsic difficulty and complexity of the landfall forecasts but, on 852 the other hand, a utility of simple models, because while a thorough analysis 853 of landfall scenarios with mcRSW is affordable, this is hardly the case of "big" 854 models. 855

It is important to emphasize that the model can be further improved by including precipitable water in a liquid phase, together with vaporization, and its baroclinic two-layer version is also available Rostami and Zeitlin (2018). Radiative cooling and surface drag can be straightforwardly incorporated, as well, ensuring more realism. However, already the present results, obtained in the most simple version, clearly demonstrate the potential of the model.

Let us finally stress that, independently of tentative utility for TC- related applications of the results in the moist-convective environment, their "dry" counterparts presented above contribute to better understanding of the dynamics of intense vortices, by providing new, and sometimes unexpected, information on their behaviour on the beta-plane and their interactions with topography, like, for example north-eastern deflection of trajectories, and swaps of vorticity maxima between primary and secondary vortices over the island.

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