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# Dehydration-induced instabilities at intermediate depths in subduction zones

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X - 2 BRANTUT ET AL.: DEHYDRATION-INDUCED INSTABILITIES Abstract. We formulate a model for coupled deformation and dehydra-3 tion of antigorite, based on a porosity-dependent yield criterion and includ-4 ing shear enhanced compaction. A pore pressure and compaction instabil-5 ity can develop when the net volume change associated with the reaction is 6 negative, i.e., at intermediate-depth in subduction zones. The instability cri-7 terion is derived in terms of the dependence of the yield criterion on poros-8 ity: if that dependence is strong, instabilities are more likely to occur. We q also find that the instability is associated with strain localisation, over char-10 acteristic length scales determined by the hydraulic diffusivity, the elasto-11 plastic parameters of the rock, and the reaction rate. Typical lower bounds 12 for the localisation length are of the order of 10 to 100 m for antigorite de-13 hydration and deformation at 3 GPa. The fluid pressure and deformation in-14 stability is expected to induce stress build-up in the surrounding rocks form-15 ing the subducted slab, which provides a mechanism for the nucleation and 16 propagation of intermediate-depth earthquakes. 17

#### 1. Introduction

During prograde metamorphism in subduction zones, hydrous phases such as serpentines 18 progressively dehydrate, forming free fluid phases at depth. Such dehydration reactions are 19 systematically associated with a net decrease in solid volume (the reactions forming solid 20 products denser than reactants), and with a variable change in fluid volume, the sign of 21 which being controlled by the pressure and temperature conditions at which the reaction 22 occurs. From initially nonporous metamorphic rocks (such as antigorite serpentinite), 23 dehydration reactions therefore produce, at least transiently, a porous rock saturated 24 with fluids, the rheology of which is markedly different from the original rock [e.g. Rutter 25 et al., 2009]. The occurrence of metamorphic dehydration reactions has therefore a great 26 impact on the stress/strain state in subduction zones. 27

One key specific impact of dehydration reactions is their potential to trigger unstable 28 faulting and earthquakes, a phenomenon generally termed "dehydration embrittlement". 29 This phenomenon corresponds to the transition from ductile to brittle deformation due to 30 a dehydration-induced increase in pore fluid pressure. It is often thought that dehydration-31 embrittlement is one of the main causes of, or is at least linked to, intermediate-depth 32 earthquakes in subduction zones [e.g., *Hacker et al.*, 2003b]. Dehydration embrittlement 33 has been observed experimentally [e.g., Raleigh and Paterson, 1965; Murrell and Ismail, 34 1976] and is well explained theoretically when the reaction produces an excess fluid vol-35 ume, i.e., typically at relatively low pressure conditions (e.g., less than around 2.5 GPa 36 in antigorite). Under those conditions, the excess fluid volume generated by the reac-37 tion tends to increase the pore fluid pressure, reducing the effective stress, and therefore 38

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bringing back the material into the brittle field. At higher pressure, where the total vol-39 ume change of the dehydration reaction is negative, laboratory experiments indicate that 40 dehydration embrittlement and earthquake instability might still occur [e.g. Jung et al., 41 2004], but the exact mechanism remains unclear. One possibility is that the reaction 42 products are plastically weak and facilitate brittle deformation in their surroundings [e.g. 43 Rutter et al., 2009; Brantut and Sulem, 2012]. Another potential mechanism is that the 44 porosity generated by the reaction is rapidly compacted, hence producing a pore pressure 45 rise which could bring back the material into the brittle regime. 46

Coupling between mechanical compaction and dehydration reactions has been inves-47 tigated in detail in the case of viscous rock rheology: in his seminal study, Connolly 48 [1997] (followed by Connolly and Podladchikov [1998] and Connolly and Podladchikov 49 [2004], summarised recently in *Connolly* [2004]) used a viscous compaction rheology cou-50 pled to devolatilisation reactions together with a power-law relation between porosity 51 and permeability, and determined that compaction would drive pore fluid pressure up to 52 near-lithostatic values, while producing intermittent upward motions of fluid (so-called 53 porosity-waves). Despite the great success of this model for the prediction of fluid extraction from the lower crust (or along subduction zones, see *Skarbek and Rempel* [2016]), one 55 key assumption is that the compaction behaviour is essentially driven by a viscous creep 56 process, and does not include the instantaneous response of the material. 57

Serpentinites, and more specifically the high pressure form, antigorite, are known to behave in a semi-brittle manner even at high pressure and temperature [e.g. *Chernak and Hirth*, 2010; *Proctor and Hirth*, 2016], i.e., antigorite deformation systematically involves a significant degree of microcracking, and its behaviour at high pressure is similar

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to cataclastic flow. Furthermore, laboratory experiments [*Rutter et al.*, 2009] show that dehydrated and partially dehydrated serpentinite behave essentially like classical porous rocks, and that concepts of porous rock mechanics can be used to describe their mechanical behaviour. Therefore, a purely viscous constitutive law may not capture all the features and potential instabilities associated with coupled compaction and dehydration in antigorite.

Here, we model coupled dehydration and deformation in antigorite using as a first 68 approximation a time-independent inelastic flow law which includes strain hardening, 69 strain-dependent dilatancy/compaction and a porosity-dependent yield envelope. Our 70 approach is based on the concepts typically used to model the behaviour of porous rocks 71 [e.g. Rudnicki and Rice, 1975; Issen and Rudnicki, 2000; Wong and Baud, 2012; Stefanou 72 and Sulem, 2014], and dehydration has here an indirect effect by contributing to the 73 overall change in porosity and fluid pressure. In this framework, two types of instabilities 74 can arise: a rate-independent bifurcation related to the constitutive behaviour of the rock, 75 and a reaction-driven, rate-dependent instability due to the growth to small pore pressure 76 perturbations. We specifically focus on the behaviour of serpentinite under conditions 77 such that the dehydration reaction produces a negative total volume change, with the 78 aim of determining whether pore pressure instabilities can occur. 79

#### 2. Model and governing equations

#### 2.1. Fluid mass balance and pore pressure change

<sup>80</sup> During dehydration, antigorite becomes a porous aggregate, with a porosity n filled <sup>81</sup> with water at a pressure denoted  $p_{\rm f}$ . We consider that the porosity of the aggregate is <sup>82</sup> connected (at least at the scale of interest, here of the order of 10 to 100 metres), and that

the fluid flows through the rock according to Darcy's law with a permeability k. The fluid pressure is modified by two independent contributions: the elasto-plastic compaction of the rock (bulk volumetric strain  $\epsilon$ , taken negative in compression), and the generation of fluids from the dehydration reaction. These assumptions lead to the following governing equation for pore pressure (see full derivation in Appendix A1):

$$\frac{\partial p_{\rm f}}{\partial t} = \frac{k}{\eta c_{\rm b}} \nabla^2 p_{\rm f} - \frac{1}{c_{\rm b}} \frac{\partial \epsilon}{\partial t} + \frac{m_{\rm d}^0 (1 + \rho_{\rm f} \Delta_{\rm r} V_{\rm s})}{\rho_{\rm f} c_{\rm b}} \frac{\partial \xi}{\partial t},\tag{1}$$

<sup>88</sup> where  $m_d^0$  is the total fluid mass that can be released by the reaction per unit rock volume, <sup>89</sup>  $\rho_f$  is the fluid density,  $\Delta_r V_s$  is the solid volume change of the reaction,  $\partial \xi / \partial t$  is the reaction <sup>90</sup> rate, and

$$c_{\rm b} = (1-n)c_{\rm s} + nc_{\rm f} \tag{2}$$

<sup>91</sup> is an effective compressibility combining the compressibility of the solid  $c_{\rm s}$ , the compress-<sup>92</sup> ibility of the fluid  $c_{\rm f}$ , and the porosity n. Note here that  $c_{\rm b}$  is *not* the usual storage <sup>93</sup> capacity, because we did not split the volumetric strain rate into an elastic and plastic <sup>94</sup> one.

#### 2.2. Rheology

It is well established experimentally that antigorite aggregates undergo a brittle to ductile transition at confining pressures of the order of 300 to 400 MPa [*Escartín et al.*, 1997], and that this transition depends weakly on temperature (within antigorite's stability field). Near the dehydration temperature of antigorite, the ductile behaviour remains dominated by cataclastic flow even at mantle pressures [*Chernak and Hirth*, 2010; *Proctor and Hirth*, 2016], which is most likely due to the strong [001] cleavage plane and the insufficient number of independent slip systems in antigorite single crystals. Across the stability boundary

<sup>102</sup> of antigorite, a very significant volume change occurs: the solid volume tends to decrease <sup>103</sup> by up to around 25%, generating a porosity occupied by pressurised water. Therefore, <sup>104</sup> at pressure and temperature conditions near its stability boundary, antigorite is expected <sup>105</sup> to behave very much like a ductile (cataclastic) porous rock. Such a behaviour has been <sup>106</sup> well documented in lizardite by *Rutter et al.* [2009], and we assume here that the same <sup>107</sup> behaviour applies to antigorite.

In order to describe the elasto-plastic behaviour of antigorite, we introduce the yield 108 function  $f(\sigma,\zeta)$ , where  $\sigma$  is the stress tensor (here we follow the sign convention of con-109 tinuum mechanics and take compressive stresses as negative) and  $\zeta$  is an internal variable 110 on which the yield cap depends. The yield function limits the elastic domain in the stress 111 space (f < 0, see Figure 1). It is assumed that inelastic strain increments are generated 112 when the stress state lies on the yield surface (f = 0) and if loading is taking place.  $\zeta$ 113 can be identified to either the porosity of the material, or more directly to the reaction 114 progress in the case of a pure chemical control over the material's strength [Stefanou and 115 Sulem, 2014; Sulem and Stefanou, 2016]. While either option could be deemed acceptable 116 in the light of the available experimental data from *Rutter et al.* [2009], we will develop 117 our model assuming that the primary control on the rock's strength is given by its poros-118 ity (a robust observation in porous rocks, see *Wong and Baud* [2012]). We therefore 119 equate incremental changes in the internal variable  $\zeta$  to irreversible (inelastic) porosity 120 changes. We also assume, in accordance with experimental observations, that the material 121 undergoes strain hardening. The incremental constitutive behaviour resulting from our 122

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assumptions is written as follows (see full derivation in Appendix A2):

$$dp' = \frac{GK\left[(1 + (h - f'\beta)/G)d\epsilon - \beta d\gamma\right]}{h - f'\beta + G + \beta\mu K} + \frac{f'\beta Km_{\rm d}^0\Delta_{\rm r}V_{\rm s}}{h - f'\beta + G + \beta\mu K}d\xi,\tag{3}$$

$$d\tau = \frac{GK \left[-\mu d\epsilon + \left((h - f'\beta)/K + \beta\mu\right)d\gamma\right]}{h - f'\beta + G + \beta\mu K} + \frac{f'Gm_{\rm d}^{0}\Delta_{\rm r}V_{\rm s}}{h - f'\beta + G + \beta\mu K}d\xi,\tag{4}$$

where p' is the Terzaghi effective mean stress ( $p' = p + p_{\rm f}$ , where p is the mean stress),  $\tau$ 124 is the shear stress (taken equal to the square root of the second invariant of the deviatoric 125 stress tensor), K and G are the bulk and shear elastic moduli of the rock, respectively,  $\gamma$ 126 is the shear strain (taken equal to the square root of the second invariant of the deviatoric 127 strain tensor), h is the strain hardening coefficient,  $\beta$  is the dilatancy factor and f' =128  $\partial f/\partial \zeta$  is the dependency of the yield cap on porosity. We observe in equations 3 and 4 129 that the effect of the variation of f with porosity (terms in f') on the mechanical behaviour 130 is entirely captured by the modified hardening modulus  $h - f'\beta$ . The chemical coupling 131 appears through the product  $f' \Delta_{\rm r} V_{\rm s}$ : the reaction has only an indirect effect, which is to 132 modify the porosity. The solid volume change is always negative (porosity creation), and 133 f' is positive (the yield surface shrinks with increasing porosity), so that the overall effect 134 of the reaction is to weaken the material. 135

#### 2.3. Reaction rate

<sup>136</sup> A very general formulation of mineral reaction rates is given by [Lasaga and Rye, 1993]

$$\frac{\partial \xi}{\partial t} = \kappa A_{\rm rlm} s |\Delta G|^{n_{\rm r}},\tag{5}$$

<sup>137</sup> where  $\kappa$  is the temperature-dependent kinetic constant (typically following an Arrhenius <sup>138</sup> law),  $A_{\rm rlm}$  is the specific surface area of the rate-limiting mineral,  $\Delta G$  is the Gibbs energy <sup>139</sup> change of the reaction, s is the opposite of the sign of  $\Delta G$ , and  $n_{\rm r}$  is the order of the <sup>140</sup> reaction. Under isothermal conditions, and for small departures from equilibrium, we can

expand  $\Delta G$  in terms of pore pressure; only retaining the leading order term, we have 141 [Wang and Wong, 2003] 142

$$\Delta G \approx c'(p_{\rm f} - p_{\rm eq}),\tag{6}$$

where  $c' = \partial \Delta G / \partial p_{\rm f}$  and  $p_{\rm eq}$  is the pore pressure at equilibrium. Following Wang and 143 Wong [2003], we can rewrite 5 as 144

$$\frac{\partial\xi}{\partial t} = sr_0 \frac{A_{\rm rlm}}{A_{\rm rlm}^0} |1 - p_{\rm f}/p_{\rm eq}|^{n_{\rm r}},\tag{7}$$

where  $r_0$  is a reference reaction rate, and  $A_{\rm rlm}^0$  is the surface area of the rate limiting 145 mineral at the reference rate. The change in surface area is not very well constrained 146 by experimental data. Hence, for simplicity, in the following we will consider the ratio 147  $A_{\rm rlm}/A_{\rm rlm}^0 \approx 1$ . This simplification is valid only when the reaction progress is small, i.e., 148 when depletion of the reaction is negligible  $(\xi \ll 1)$ . 149

#### 2.4. Geometry and stress equilibrium

We consider a simple system made of a uniform horizontal layer of antigorite, sufficiently 150 extended so that lateral strains can be neglected (i.e., the system is invariant in the plane 151 of the layer). In this geometry, the vertical stress is given by  $p - 2\tau/\sqrt{3}$ , and stress 152 equilibrium requires that: 153

$$\frac{\partial}{\partial y}\left(p - \frac{2}{\sqrt{3}}\tau\right) = 0. \tag{8}$$

where y denotes the vertical coordinate. The boundary condition is a constant applied 154 vertical stress, which implies that 155

$$\frac{\partial p}{\partial t} - \frac{2}{\sqrt{3}} \frac{\partial \tau}{\partial t} = 0. \tag{9}$$

In such a geometry, only the vertical strain component is nonzero. Because no lateral 156 deformation is allowed, the vertical strain is equal to the volumetric strain. Therefore, 157

<sup>158</sup> the shear and volumetric strains are related to one another by:

$$\gamma + \frac{2}{\sqrt{3}}\epsilon = 0. \tag{10}$$

Using relations (9) and (10) in the incremental constitutive formulation (Equations 3, 4), and combining with the fluid mass conservation (Equation 1) and expression (7) for the reaction rate, we arrive at a single, nonlinear diffusion equation that governs the fluid pressure (see details in Appendix A3):

$$\frac{\partial p_{\rm f}}{\partial t} = \frac{Mk/\eta}{1+c_{\rm b}M} \frac{\partial^2 p_{\rm f}}{\partial y^2} + \frac{Mm_{\rm d}^0(1/\rho_{\rm f} + \Delta_{\rm r}V_{\rm s}) - f'X}{1+c_{\rm b}M} sr_0 \left| 1 - \frac{p_{\rm f}}{p_{\rm eq}} \right|^{n_{\rm r}},\tag{11}$$

163 where

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$$M = \frac{GK}{h - f'\beta + G + \beta\mu K} \left[ \left( 1 + \frac{2}{\sqrt{3}}\mu \right) \left( 1 + \frac{2}{\sqrt{3}}\beta \right) + \left( h - f'\beta \right) \left( \frac{1}{G} + \frac{4}{3K} \right) \right], \quad (12)$$

164 and

$$X = -m_{\rm d}^0 \Delta_{\rm r} V_{\rm s} \frac{\beta K - 2G/\sqrt{3}}{h - f'\beta + G + \beta\mu K}.$$
(13)

#### 3. Parameters

#### 3.1. Yield function

The model described above contains a number of parameters that ought to be constrained from experimental data. The yield cap can be constrained from the extensive dataset of *Rutter et al.* [2009] on intact and dehydrated blocks of lizardite. The data used are shown in Figure 2. For simplicity, we use a modified Cam-clay yield surface, given by

$$f(p',\tau) = \tau - C\sqrt{(b+p')(p^*-p')} = 0,$$
(14)

where C is the critical state line ratio, b is the tensile strength, and  $p^*$  is the compaction yield pressure (following the notation of Wong and Baud [2012]). Specific values of C, b and  $p^*$  for intact, partially and fully dehydrated serpentinites are reported in Figure 2. D R A F T July 10, 2017, 12:11pm D R A F T

In accordance with observations on porous sandstones, the critical compaction pressure 168  $p^*$  decreases with increasing porosity. Zhang et al. [1990] proposed a grain crushing model 169 in which  $p^*$  scales with the porosity n as  $p^* \propto n^{-3/2}$ . For the serpentinite samples 170 dehydrated at 35 MPa effective pressure and deformed at room temperature (squares 171 in Figure 2), we find a reasonable fit with  $p^* = 130$  MPa, while the inferred porosity of 172 the sample was around 19%. The partially dehydrated samples (diamonds in Figure 2) 173 had a porosity of around 4%, and we determine a  $p^*$  of 380 MPa. The relationship between 174  $p^*$  and n for these two sample types does not seem compatible with the scaling proposed 175 by Zhang et al. [1990]. Here we will use an empirical scaling  $p^* \propto 1/n$  [Rutter and Glover, 176 2012], with a constant of proportionality equal to 19 MPa. The corresponding yield caps 177 are reported as dotted lines in Figure 2. 178

Obviously, the yield cap described by Equation 14 is not appropriate as the porosity 179 approaches zero, since in that case  $p^*$  diverges. However, the focus of this work is the 180 description of rocks that are already undergoing dehydration, i.e., in which the porosity is 181 never exactly zero. Furthermore, in absence of a more complete dataset on dehydrated and 182 partially dehydrated serpentinite, the Cam-clay yield surface is one of the simplest yield 183 criterion which is closed at high pressure (i.e., the material can fail by pure hydrostatic 184 compaction). Hence, our choice for the yield function should be viewed as a first order 185 approximation which incorporates the essential qualitative elements of the behaviour of 186 dehydrating serpentinite: a yield cap that is closed at high pressure and that shrinks with 187 increasing porosity. 188

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#### 3.2. Mechanical and hydraulic parameters

The elastic properties of serpentinite can be obtained from Voigt-Reuss-Hill averages 189 of the single crystal properties, and are given by *Bezacier et al.* [2010]: K = 67.9 GPa, 190 G = 38.5 GPa. The average Poisson's ratio is hence  $\nu = 0.26$ . Note that we are modelling 191 dehydrating serpentinite, and hence the average elastic properties of the rock should be 192 made dependent upon the evolving rock mineralogical composition and porosity. However, 193 we focus here on the initiation of the dehydration reaction, and hence expect that the 194 pure antigorite end-member is a good approximation to the overall properties of the rock 195 at the beginning of dehydration. 196

The friction coefficient  $\mu$  is given by the local slope of the yield envelope (equation A24):

$$\mu = \frac{\partial f}{\partial p'} = C \frac{2p' + b - p^*}{2\sqrt{(p' + b)(p^* - p')}}.$$
(15)

<sup>197</sup> In the framework of associated plasticity, we could assume that the dilatancy factor is <sup>198</sup> merely equal to the friction coefficient. However, it is well known that rocks do not follow <sup>199</sup> associated flow rules, and hence we shall leave the dilatancy factor  $\beta$  as a free parameter, <sup>200</sup> and explore how it influences the stability of compaction in our model. Likewise, we <sup>201</sup> will leave the hardening coefficient as a free parameter, in order to encompass the widest <sup>202</sup> possible range of behaviours.

The permeability of the rock is expected to vary as a function of porosity, and hence be impacted by the compaction of the rock. However, these second order controls on permeability should only influence the behaviour of the material at large times, and not the initiation of the instability. For instance, using power-law permeability-porosity relationships, *Connolly* [1997] has shown that a dehydrating rock can generate travelling porosity pulses, a well known feature of nonlinear parabolic equations. Since we want

<sup>209</sup> to focus on the initiation of the instability, we shall assume a constant value for the <sup>210</sup> permeability, keeping in mind that this assumption should be relaxed when modelling <sup>211</sup> the long-term evolution of the system. The permeability of dehydrating serpentinite was <sup>212</sup> measured by *Tenthorey and Cox* [2003], who report  $k = 10^{-22}$  m<sup>2</sup> for the intact material <sup>213</sup> and  $k = 10^{-20}$  to  $10^{-18}$  m<sup>2</sup> during dehydration at 600°C and 700°C, respectively. Here <sup>214</sup> we choose  $k = 10^{-20}$  m<sup>2</sup> as a representative value for serpentinites which are dehydrating <sup>215</sup> not far from equilibrium.

The viscosity of the fluid  $\eta$  can be precisely determined from interpolation of experimental measurements. We will use here the formulation of the International Association for the Properties of Water and Steam, 2008 (http://iapws.org/relguide/visc.pdf), and compute the appropriate viscosity at the target pressure and temperature conditions. The resulting viscosity of water ranges from  $\eta = 6.9 \times 10^{-5}$  to  $4.9 \times 10^{-7}$  Pa s at  $p_{\rm f} = 2$  GPa and 600°C and  $p_{\rm f} = 5$  GPa and 700°C, respectively.

The effective compressibility of the rock,  $c_{\rm b}$ , does not play any role in the stability of the system; it is only required for the computation of the full numerical solutions, and acts as a scaling factor for the pore pressure rate. Here we compute  $c_{\rm b}$  from Equation (2), assuming that  $c_{\rm s} = 1/K$ .

#### 3.3. Chemical parameters

The parameters associated with the chemical reaction can be obtained from the thermodynamic properties of the mineral (and fluid) involved. At elevated pressure and temperature, there are three distinct dehydration reactions involving antigorite:

antigorite 
$$\rightarrow 4 \text{ talc} + 18 \text{ forsterite} + 27 \text{ water},$$
 (16)

$$\rightarrow 14 \text{ forsterite} + 10 \text{ enstatite} + 31 \text{ water}$$
 (17)

$$\rightarrow$$
 (14/5) phase A + (71/5) enstatite + (113/5) water. (18)

The properties of each mineral can be extracted from thermodynamic tables [e.g. *Holland* and *Powell*, 1998; *Hacker et al.*, 2003a], and are reported in Table 1. The thermodynamic properties of water are determined as a function of pressure and temperature from the IAPWS formulation 95.

The computation of the solid volume change  $\Delta_{\rm r} V_{\rm s}$  requires the knowledge of the molar volumes of each solid phase at the pressure and temperature conditions of each reaction. The molar volumes are computed following the approach explained in *Hacker et al.* [2003a], which is recalled in Appendix B for completeness.

The phase boundaries and net volume change of each reaction (16, 17, 18) are shown as a function of pressure and temperature in Figure 3. Average volume changes along each phase boundary are presented in Table 2.

In addition, the knowledge of the molar volume of antigorite allows to compute precisely the total potential mass of water releasable by each reaction,  $m_d^0$ . Because antigorite is not very compressible, the main factor influencing  $m_d^0$  is the stoichiometry of the reactions. The computed averages of  $m_d^0$  for each reaction are shown in Table 2.

The reaction kinetics of antigorite as a function of pressure is not well constrained by existing experimental data, which typically focus on the effect of temperature. However, the formulation 5 is general, and hence kinetic parameters obtained from experiments in which  $\Delta G$  is imposed from a temperature over- or under-step should also be valid in the

case when  $\Delta G$  changes due to pressure fluctuations. Here we extract kinetic parameters from the study of *Eggler and Ehmann* [2010], in which the dehydration kinetics of antigorite was determined at 2 GPa (i.e., for reaction 17) as a function of temperature. At a temperature *T*, the rate of antigorite dehydration is given as

rate = 
$$\kappa' A_{\rm rlm} s \left| \frac{\Delta G}{RT} \right|^{n_{\rm r}}$$
 in mol<sub>atg</sub>/cm<sup>3</sup><sub>rock</sub>/s, (19)

where R is the gas constant. In terms of reaction progress  $\xi$ , we rewrite equation 19 as

$$\frac{\partial \xi}{\partial t} = sr_0 \frac{A_{\rm rlm}}{A_{\rm rlm}^0} |1 - p_{\rm f}/p_{\rm eq}|^{n_{\rm r}},\tag{20}$$

<sup>250</sup> where

$$r_0 = V_{\rm m} A_{\rm rlm}^0 \kappa' \left| \frac{p_{\rm eq} c'}{RT} \right|^{n_{\rm r}}.$$
(21)

The molar volume of antigorite  $V_{\rm m}$  can be taken as the average along the phase boundary 251 between antigorite and forsterite, enstatite and water, using equation B6. This yields 252  $V_{\rm m} \approx 1.73 \times 10^{-3} \text{ m}^3/\text{mol}_{\text{atg}}$ . The initial specific surface area of antigorite,  $A_{\rm rlm}^0$ , depends 253 on the grain size and shape; for square prisms with width W and length L,  $A_{\rm rlm}^0 =$ 254  $(2W^2 + 4LW)/LW^2$ . Using  $W = 50 \ \mu \text{m}$  and  $L = 10 \ \mu \text{m}$ , we find  $A^0_{\text{rlm}} = 2.8 \times 10^5 \ \text{m}^2/\text{m}^3$ . 255 The rate constant  $\kappa'$  given by Eggler and Ehmann [2010] is  $9.2 \times 10^{-11} \text{ mol}_{\text{atg}}/\text{m}^2/\text{s}$ . The 256 coefficient c', as defined in equation 6, is the net volume change of the reaction per unit 257 mole of antigorite: 258

$$c' = \nu_{\rm f} M_{\rm f} (1/\rho_{\rm f} + \Delta_{\rm r} V_{\rm s}). \tag{22}$$

Using the parameter value reported in Table 2 for the reaction of antigorite into forsterite and enstatite, we obtain  $c' \approx 8.1 \times 10^{-5} \text{ m}^3/\text{mol}_{\text{atg}}$ . The equilibrium pressure  $p_{\text{eq}}$  and the appropriate temperature T can be found from the phase boundary (see Figure 3). As a representative value, we choose  $p_{\text{eq}} = 3$  GPa and  $T \approx 640^{\circ}$ C. Finally, *Eggler and Ehmann* 

<sup>263</sup> [2010] report that the exponent  $n_{\rm r}$  is equal to one, i.e., the kinetic is linear. Combining <sup>264</sup> all the above parameters into our lumped kinetic parameter  $r_0$ , we find a representative <sup>265</sup> value of

$$r_0 \approx 1.47 \times 10^{-6}/s.$$
 (23)

#### 4. Stability analysis

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The system governed by Equation (11) involves two coupled phenomena: mechanical deformation (compaction), through the parameter M, and metamorphic effects with fluid production and porosity creation through the source term in (11). In this Section, we detail the possible sources of mechanical and chemical instabilities, and derive the key stability conditions in terms of the model parameters.

#### 4.1. Mechanical instability

If M < 0, we immediately observe that Equation 11 is a diffusion equation with a negative diffusivity. This corresponds to an unstable system as non uniformities become more localised rather than more diffuse with increasing time. In fact, the condition M <0 is strictly equivalent to the compaction localisation condition derived by *Issen and Rudnicki* [2000, their equation 19] for axisymmetric compression:

$$\frac{h - f'\beta}{G} < \frac{h_{\text{crit}}}{G} = -\frac{3K}{3K + 4G} \left(1 + \frac{2}{\sqrt{3}}\mu\right) \left(1 + \frac{2}{\sqrt{3}}\beta\right),\tag{24}$$

with the additional term  $-f'\beta$  occurring here due to the explicit dependence of the yield cap on porosity. For a yield cap shrinking with increasing porosity, as expected and observed in dehydrating serpentinites (Figure 2), f' is positive, and  $\beta$  is negative (due to shear-enhanced compaction at high pressure). Hence, the critical hardening modulus is reduced when the yield cap is assumed to be directly dependent on porosity; this merely

<sup>281</sup> reflects that the yield cap expansion due to shear hardening is in fact offset by a further <sup>282</sup> yield cap expansion (resp. shrinkage) due to shear-induced compaction (resp. dilatancy). <sup>283</sup> Note, in passing, that we have derived here a slightly more general case for the com-<sup>284</sup> paction instability including pore fluid pressurisation effects; the stability condition, with-<sup>285</sup> out chemical effects, is in fact  $M/(1 + c_b M) < 0$ . This has no practical consequence on <sup>286</sup> the criterion because  $c_b$  is usually very small.

#### 4.2. Chemical instability and overpressure development

The case of interest here is when M > 0 (Equation (12)), i.e., a mechanically stable case. Equation 11 is a nonlinear diffusion equation. We examine here the case when the total volume change of the reaction is negative, for which the reaction kinetics increases when  $p_{\rm f}$  increases beyond  $p_{\rm eq}$ . Before delving into the full analysis including the effect of pore pressure diffusion, it is instructive to first analyse the undrained case; the governing equation for pore pressure reduces to

$$\frac{\partial p_{\rm f}}{\partial t} = \frac{M m_{\rm d}^0 (1/\rho_{\rm f} + \Delta_{\rm r} V_{\rm s}) - f' X}{1 + c_{\rm b} M} r_0 \left(\frac{p_{\rm f}}{p_{\rm eq}} - 1\right)^{n_{\rm r}}.$$
(25)

<sup>293</sup> Assuming constant parameters, this equation has an analytical solution, which is

$$p_{\rm f}(t) = p_{\rm eq} + (p_{\rm f}^0 - p_{\rm eq}) \exp\left(\frac{Mm_{\rm d}^0(1/\rho_{\rm f} + \Delta_{\rm r}V_{\rm s}) - f'X}{p_{\rm eq}(1 + c_{\rm b}M)}r_0t\right)$$
(26)

<sup>294</sup> if  $n_{\rm r} = 1$ , and

$$p_{\rm f}(t) = p_{\rm eq} + \left( p_{\rm eq}^{-n_{\rm r}} (1-n_{\rm r}) r_0 \frac{M m_{\rm d}^0 (1/\rho_{\rm f} + \Delta_{\rm r} V_{\rm s}) - f' X}{1+c_{\rm b} M} t + \left( p_{\rm f}^0 - p_{\rm eq} \right)^{1-n_{\rm r}} \right)^{1/(1-n_{\rm r})}$$
(27)

<sup>295</sup> if  $n_{\rm r} > 1$ , where  $p_{\rm f}^0$  is the initial pore pressure in the system (a small perturbation above <sup>296</sup> the equilibrium pressure).

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<sup>297</sup> In both cases (linear and nonlinear kinetics), the evolution of pore pressure is an un-<sup>298</sup> bounded growth if

$$Mm_{\rm d}^0(1/\rho_{\rm f} + \Delta_{\rm r}V_{\rm s}) - f'X > 0.$$
(28)

For linear kinetics, the growth is exponential, while for nonlinear kinetics the growth 299 corresponds to a finite time blow-up. In practice, this distinction is unimportant since 300 pore pressure diffusion, as well as other nonlinearities not accounted for in our simplified 301 system (such as the depletion of the reactant or the change in mechanical properties with 302 the evolving deformation and mineralogy of the rock), are expected to strongly change 303 the evolution of pore pressure at large times. Despite these subtleties, the solution of 304 the undrained problem yields a key condition (Inequality 28) to observe a potential pore 305 pressure runaway. This condition for instability can be expressed as a pair of conditions 306 in terms of the dilatancy factor  $\beta$  and the dependence of the yield cap on porosity f' (see 307 Appendix C1 for details): 308

$$\beta < \beta_{\rm crit} = -(\sqrt{3}/2) \left(\frac{3}{2} \frac{1-\nu}{1-2\nu} \frac{1}{\rho_{\rm f} \Delta_{\rm r} V_{\rm s}} + 1\right)^{-1},\tag{29}$$

$$f' > f'_{\rm crit} = \sqrt{3} \frac{1 - \nu}{1 - 2\nu} \frac{h - h_{\rm crit}}{1 - \beta/\beta_{\rm crit}} \left( 1 + \frac{1}{\rho_{\rm f} \Delta_{\rm r} V_{\rm s}} \right).$$
(30)

<sup>309</sup> Using the numerical values detailed in the previous section, we remark that  $\beta_{\text{crit}}$  is always <sup>310</sup> positive. Hence, for the cases of interest where  $\beta < 0$  (shear enhanced compaction), we <sup>311</sup> always have  $\beta < \beta_{\text{crit}}$ , and thus the condition for instability is simply  $f' > f'_{\text{crit}}$ .

The stability boundaries  $(h = h_{crit} + f'\beta$  and  $f' = f'_{crit})$  are shown as function of f'/Gand  $\beta$  in Figure 4, where we have assumed  $\beta = \mu$ . For h > 0, the mechanical compaction bifurcation arises only for negative values of f', while the reaction-driven compaction and pore pressure instability occurs only for positive values of f', leaving an area of

<sup>316</sup> stability between these two boundaries. For h = 0 (which seems relatively plausible for <sup>317</sup> antigorite, see *Escartín et al.* [1997]), the stability boundaries do not overlap; the area of <sup>318</sup> stability expands with decreasing (negative) values of  $\beta$ . Because the friction coefficient <sup>319</sup>  $\mu$  only appears in the expression for  $h_{crit}$ , changing  $\mu$  independently from  $\beta$  (which is a <sup>320</sup> reasonable choice for most rocks) has a moderate impact on the stability diagram for the <sup>321</sup> pore pressure instability (condition (30)), but introduces large changes for the bifurcation <sup>322</sup> criterion (condition (24)).

<sup>323</sup> Using our assumed form for the yield function f, we note that f' diverges as porosity <sup>324</sup> decreases towards zero: in practice, f' is potentially very large at the initiation of the <sup>325</sup> dehydration reaction (when porosity is very low). If the applied stress is such that  $\beta < 0$ , <sup>326</sup> as in the case at high effective pressure, then the reaction-driven instability is very probable <sup>327</sup> at the onset of dehydration. This implies that the pore fluid pressure will quickly rise <sup>328</sup> to decrease the effective stress; the pressure (solid and fluid) will hence equilibrate very <sup>329</sup> suddenly, generating a transient pore pressure pulse.

#### 4.3. Strain localisation and pore pressure build-up

The considerations above are restrained to the undrained system. The diffusion of pore fluids will tend to stabilise the pore pressure runaway (if it occurs) by draining the rock over a certain length scale. There is no general analytical solution available for equations of the form (11); here we restrict our analysis to a study of the stability of the system to small departures from equilibrium (which corresponds to  $p_{\rm f} = p_{\rm eq}$ ).

As stated in Section 3.3, the experimental data of Eggler and Ehmann [2010] show that the near-equilibrium kinetics is linear,  $n_{\rm r} = 1$ . In that case, the stability analysis detailed in Appendix C2 shows that pore pressure runaways are possible if  $f' > f'_{\rm crit}$  (same as

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<sup>338</sup> condition 30) and if the spatial wavelength of the perturbation  $\lambda$  is such that

$$\lambda > \lambda_{\rm crit} = 2\pi \sqrt{\frac{p_{\rm eq}(k/\eta)[1 - f'\beta/(h - h_{\rm crit})]}{r_0 m_{\rm d}^0 (1/\rho_{\rm f} + \Delta_{\rm r} V_{\rm s})(1 - f'/f'_{\rm crit})}}.$$
(31)

We observe that the critical wavelength depends on a number of parameters, some of them 339 well constrained (equilibrium pressure  $p_{eq}$ , permeability k, fluid viscosity  $\eta$ , and the net 340 volume change of the reaction), and others much more poorly known (essentially, all the 341 parameters associated with the mechanical behaviour, including f' and  $\beta$ ). However, as 342 discussed above, the value of f' at the onset of the reaction is expected to be very large 343 since small increments in porosity have large effects on the yield cap when the rock is 344 initially non porous. We can use this fact to our advantage by noticing that the critical 345 wavelength  $\lambda_{\rm crit}$  tends to a constant, nonzero value for  $f' \gg f'_{\rm crit}$ : 346

$$\lambda_{\rm crit} \sim 2\pi \sqrt{\frac{p_{\rm eq}(k/\eta)}{r_0 m_{\rm d}^0 \Delta_{\rm r} V_{\rm s}}} \sqrt{3} \frac{\nu - 1}{2\nu - 1} \frac{1}{\beta^{-1} - \beta_{\rm crit}^{-1}}.$$
(32)

Equation (32) provides a simple lower bound for the critical wavelength, which is, quite remarkably, independent from the hardening modulus h.

The value of  $\lambda_{\text{crit}}$  is shown in Figure 5 as a function of f' and  $\beta$  for the parameters relevant to the dehydration of antigorite into enstatite and forsterite. As expected, the wavelength tends to the constant given by (32) at large values of f', and we confirm that this limit value has only a mild dependence (square root) on the dilatancy factor  $\beta$ .

<sup>353</sup> Based on the parameter values outlined above for antigorite, we estimate typical values <sup>354</sup> for  $\lambda_{crit}$  of the order of 10 to 100 m. In our model, this length scale corresponds to <sup>355</sup> the characteristic width over which pore pressure builds up, and compaction (negative <sup>356</sup> volumetric strain) localises.

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#### 4.4. Numerical tests

We performed a series of numerical computations in order to explore further the ef-357 fects of potential nonlinearities associated with the variations of mechanical, hydraulic 358 and chemical parameters during deformation and reaction. We included a power-law 359 dependence of the permeability on porosity,  $k \propto \zeta^3$  (where we recall that  $\zeta$  is the 360 porosity of the rock minus its poroelastic variations), and accounted for depletion of 361 antigorite by using a simple first-order approximation for the reactant surface area, 362  $A_{\rm rlm}/A_{\rm rlm}^0 \approx (1-\xi)$ , in Equation (7). The numerical method is described fully in 363 Appendix D, and the Matlab $^{\textcircled{R}}$  implementation and source code is available online at 364 http://github.com/nbrantut/Compaction\_Dehydration. The solution for pore fluid 365 pressure, strain, reaction progress and porosity is computed within a layer of width L, 366 with periodic boundary conditions, and an initial sinusoidal infinitesimal pore pressure 367 perturbation is added to the homogeneous initial conditions. We chose a representative 368 example by using an initial pore pressure of 3 GPa, an initial total mean stress of 3.61 GPa 369 (i.e., an initial shear stress of 0.87 GPa), and an initial porosity of 3 %. Using the initial, 370 reference parameters, we find that the critical wavelength for instability is  $\lambda_{\rm crit} \approx 0.08L$ , 371 so that we expect some compaction localisation (at least transiently). 372

The volumetric strain profile within the layer is shown as a function of time in Figure 6(a). The initial ( $\epsilon(y,0) = 0$ ) and final (at  $t \times r_0 = 100$ ) profiles are highlighted in black, and intermediate stages are shown light grey. Over time, a net volumetric strain localisation develops around the centre of the layer, and remains there permanently at large times. The time evolution of the compaction localisation instability is better observed in Figure 6(b), which shows the volumetric strain in the centre of the layer (y = 0) and

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on the edge  $(y = \pm L/2)$ . The peak compaction at the centre develops quite rapidly, initially accelerating, and then develops over a timescale of the order of  $t \times r_0 \approx 10$ , and then stabilises. At the edges of the layer, the compaction develops more slowly and a strong strain gradient develops in the initial phase of the instability; once the compaction stabilises in the centre (at around  $t \times r_0 \approx 20$ ), the strain becomes more homogeneous as the edges also compact further until the whole process eventually stabilises, leaving only a slight strain heterogeneity near the centre.

The evolution of all other key variables as a function of time is shown in Figure 7. 386 The onset of the compaction instability is marked by a rapid acceleration of the reaction 387 progress, porosity and pore pressure changes localised in the centre of the layer (solid 388 lines,  $t \times r_0$  between 10 and 15). As the reaction progresses further the differences between 389 the reaction progress, porosity, strain and pore pressure at the centre and at the edges 390 progressively decrease. As the reaction approaches completion, the porosity stabilises at 391 around 27% while the pore pressure remains very high, corresponding to a mean effective 392 stress of around 0.07 GPa. At this point, no further compaction is possible because the 393 periodic boundary conditions effectively ensure that the system is undrained. 394

The full stress path of each material element in the layer is drawn in Figure 8 in the effective mean stress, shear stress  $(p', \tau)$  space. As the pore pressure and porosity increase in the layer, the yield surface shrinks and the stress state evolves to maintain mechanical equilibrium. The stress paths of different elements in the layer are not exactly the same, since heterogeneities in pore pressure and stress develop between the centre (solid line) and the edges (dashed line). As the reaction approaches completion, the effective stress becomes very small  $(p'/\sigma_n \approx 0.019, i.e., p' \approx 0.07 \text{ GPa})$  and the material cannot compact

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<sup>402</sup> further because of the residual (small) nonzero strength, illustrated by the final yield <sup>403</sup> surface.

Overall, the numerical simulation confirms that a compaction instability is possible, 404 but that it is only transient as the system tends again to a homogeneous state while the 405 reaction approaches completion. The typical timescale for instability is of the same order 406 of magnitude as  $1/r_0$ , i.e., around 7 to 8 days. In our simulations, we impose periodic 407 boundary conditions so that the layer is effectively undrained: hence, the porosity and 408 pore pressure remain high after the reaction is completed. We performed complementary 409 simulations using drained boundaries as another end-member case scenario, and observed 410 that there is a long-term compaction occurring after the initial instability, and the pore 411 pressure returns progressively towards its equilibrium value over timescales determined 412 by the drained length across the layer. 413

#### 5. Discussion

#### 5.1. Model assumptions and limitations

The key assumption of the model presented here is that ductile deformation of antig-414 orite is essentially time-independent. This approximation is justified by experimental 415 observations of antigorite deformation at elevated pressures and temperature, showing 416 that cataclastic mechanisms typically dominate [Chernak and Hirth, 2010; Amiguet et al., 417 2014; Auzende et al., 2015] and that fully plastic flow is unlikely to occur due to the large 418 crystal anisotropy and lack of available slip systems in antigorite. Furthermore, partially 419 dehydrated serpentinite has also been shown to deform very similarly to porous sand-420 stones [Arkwright et al., 2008; Rutter et al., 2009], which motivates the use of a closed 421 vield envelope. 422

However, there are also clear experimental indications that ductile flow of antigorite de-423 pends on strain rate [e.g. *Hilairet et al.*, 2007; *Amiquet et al.*, 2012], and time-dependency 424 may not be negligible if deformation occurs over very long timescales. We can test whether 425 time-dependent plastic flow contributes significantly to deformation by estimating the 426 strain rates developing during the instability in our model. As mentioned in the previous 427 Section, the characteristic timescale over which the instability develops is determined by 428 the reaction kinetics,  $1/r_0$ . The typical strain achieved during the instability is of the 429 order of a few percents, so that the strain rate is of the order of  $r_0/100$ , which is around 430  $10^{-8}$  s<sup>-1</sup>. Using that strain rate, the typical shear flow stress extrapolated from the plastic 431 flow laws given in Amiguet et al. [2012, their figure 6] is of the order of 0.1 GPa. Therefore, 432 our model based on time-independent ductile flow is broadly consistent with the rheology 433 of serpentinities as long as relatively low shear stresses are considered (around 0.1 GPa). 434 However, under near-isostatic conditions, the shear stress is not expected to be large 435 enough to produce significant viscous flow within the timescale of instability. The con-436 tribution of viscous flow to isostatic compaction typically scales with the inverse of the 437 porosity [e.g. Wilkinson and Ashby, 1975; McKenzie, 1984], so that the driving effective 438 mean stress should be of the order of 1 GPa to achieve strain rates of around  $10^{-8}$  s<sup>-1</sup> for 439 a porosity of around 10%. It is therefore likely that viscous compaction in the absence of 440 shear stresses slows down the development of the pore pressure instability. 441

<sup>442</sup> A number of coupled deformation-dehydration physical models have been developed <sup>443</sup> based on time-dependent rheology of rocks [e.g. *Connolly*, 1997; *Connolly and Podlad-*<sup>444</sup> *chikov*, 1998; *Connolly*, 2004; *Skarbek and Rempel*, 2016]. One key parameter exhibited <sup>445</sup> by these models is the compaction length scale, which is related to the hydraulic diffu-

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sivity, compressibility and bulk viscosity of the rock. In this context, the timescale for 446 compaction is entirely determined by the rheology of the rock. In our approach using 447 time-independent ductile deformation, a characteristic length for pore pressure devel-448 opment and compaction ( $\lambda_c$ , Equation (31)) also arises, associated with the hydraulic 449 diffusivity, rheology and reaction rate. This length  $\lambda_{\rm c}$  is clearly analogue to the one ob-450 tained from viscous compaction, and corresponds to the limiting case where the timescale 451 for compaction is determined by the reaction rate. For typical crustal metamorphic re-452 actions, *Connolly* [1997] determines that the minimum compaction length is of the order 453 of 10 to 100 m. Quite interestingly, we find that the minimum compaction length in our 454 model is also of the order of 10 to 100 m for antigorite dehydration at intermediate depth 455 (see Figure 5). This similarity in compaction length scale between the two types of model 456 essentially arises from the similarity in timescales between viscous flow and reaction rate. 457 Here we made the assumption that the material deforms under isothermal conditions. 458 Although a full analysis including thermal effects is beyond the scope of the present 459 work, we discuss here qualitatively how changes in temperature can arise and modify the 460 behaviour of the material. Firstly, the dehydration reaction of antigorite is endothermic, 461 which constitutes a significant heat sink. Secondly, the irreversible work done by inelastic 462 deformation corresponds to energy dissipation and is a heat source. At 4 GPa and 625°C, 463 the enthalpy change of the reaction is 442 kJ per mole of antigorite (computed from the 464 database of Holland and Powell [1998]), i.e., around  $2.5 \times 10^2$  MJ m<sup>-3</sup>. For a reaction 465 rate of the order of  $10^{-8}$  s<sup>-1</sup>, the rate of heat absorbed by the reaction is of the order of 466 2.5 J m<sup>-3</sup> s<sup>-1</sup>. The rate of work of the volumetric strain is given by  $\dot{\epsilon}p'$ , and for an effective 467 stress p' of around 100 MPa and a characteristic strain rate of the order of  $10^{-8}$  s<sup>-1</sup>, the 468

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rate of heat generated by inelastic deformation is of the order of 1 J m<sup>-3</sup> s<sup>-1</sup>. Although 469 the exact quantities are only approximate, the rate of heat absorbed by the reaction 470 and released by deformation are of the same order of magnitude, and might compensate 471 each other. If significant changes in temperature occur, for instance a cooling due to 472 the endothermic character of the reaction overcoming the heat generated by deformation. 473 the reaction kinetics will also be modified according to the corresponding change in  $\Delta G$ 474 (Equation (5)). In that case, heat flow across the deformed/reacted zone might be the rate 475 limiting process. Assuming a heat diffusivity of  $10^{-6}$  m<sup>2</sup> s<sup>-1</sup>, the characteristic diffusion 476 time across a layer of 100 m in thickness is  $10^{10}$  s, and it drops to  $10^{8}$  s for a 10 m 477 width layer. Therefore, we expect heat flow to be limiting only when the deformation 478 (and reaction) rate becomes faster than  $10^{-10}$  s<sup>-1</sup> (respectively,  $10^{-8}$  s<sup>-1</sup>) in a thick 479 (respectively, thin) layer. In our simulations, such strain rates are achieved transiently 480 during the instability, so that thermal effects might affect the behaviour of the system 481 only after the instability has initiated. 482

Despite the limitations outlined above, the model formulation is quite general and could 483 be applicable to most devolatilisation reactions in subduction zones but also at shallower 484 depths in the crust. This is especially relevant for relatively cold parts of the crust (or 485 subduction zones), where the viscous creep rates of rocks are slow compared to reaction 486 kinetics, so that the hypothesis of time-independent rheology would be justified. Not all 487 reactions are expected to generate a pore pressure instability, and the surprising result here 488 is that instabilities only arise when the total volume change of the reaction is negative (i.e., 489 at high pressure for antigorite). Under shallow crustal conditions, most devolatilisation 490 reactions are expected to produce a positive volume change, so instabilities should be 491

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<sup>492</sup> investigated on a case-by-case basis. In any case, the governing equation for pore pressure <sup>493</sup> (Equation (11)) remains valid and can be used to make predictions for fluid flow in active <sup>494</sup> prograde metamorphic settings, such as deep sedimentary basins (where gypsum and clay <sup>495</sup> mineral dehydrate), around rising plutons and magma chambers, and of course along <sup>496</sup> subduction zones.

## 5.2. Effective stress in subduction zones and implications for intermediatedepth earthquakes

One of the key outcome of our model is that antigorite dehydration at intermediate 497 depth results in a very rapid build-up of pore pressure, reducing the effective mean stress 498 towards near-zero values while maintaining a significant open porosity (at least as long as 499 the fluids are trapped inside the dehydrating layer). This pore pressure build-up occurs 500 despite the net negative volume change of the reaction, and is primarily driven by the 501 collapse of porosity. Hence, we expect the effective mean stress to remain near zero 502 throughout the regions where dehydration proceeds, independently from the net volume 503 change associated with the reaction. While this is also expected in models involving 504 viscous rock deformation, we find here that the path towards low effective stress states is 505 unstable, but only in the case when the net volume change is negative  $1/\rho_{\rm f} + \Delta_{\rm r} V_{\rm s} < 0$ . 506 This instability arises because the compaction tends to increase pore pressure, and takes 507 the system further away from equilibrium. If the net volume change from the reaction is 508 positive, any compaction and pore collapse would increase pore pressure and bring the 509 system back to equilibrium, unless unrealistic amounts of shear-induced dilatancy occur. 510 One interesting outcome of our model is that we show that the dehydration and com-511 paction process produces significant shear deformation in the rock, and not just pure 512

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volumetric compaction. In our approach, we used uniaxial strain boundary conditions in 513 order to simulate a simple, tractable problem. In nature, the stress state and boundary 514 conditions are necessarily more complex. The existence of shear stresses, even very small 515 (0.01 to 0.1 GPa), is expected to produce significant shear strains during the pore pres-516 sure build-up. The dehydrating body of antigorite then acts as a very deformable layer 517 or inclusion, amplifying the stresses around it. In addition, pore fluid diffusion outside 518 the dehydrating body also contributes to decreasing the effective stress in the surrounding 519 rocks. 520

The combination of relatively rapid stress amplification and effective pressure reduction 521 provides a reasonable mechanism for the inception of brittle deformation in the surround-522 ing ultramafic rocks (peridotites and metagabbros). Indeed, at the temperatures and 523 pressures relevant to antigorite dehydration and at strain rates as high as  $10^{-8}$  s<sup>-1</sup> during 524 the pore pressure instability, peridotites have a high strength and are unlikely to acco-525 modate deformation in a purely viscous manner, thus raising elastic stresses and favoring 526 brittle deformation. Such a mechanism is essentially a kind of dehydration embrittle-527 ment, but in the surrounding rocks and not in the dehydrating serpentinite itself. While 528 this process had been suggested in earlier works by Kirby [1987] or Rutter et al. [2009], 529 our model provides first-order quantitative constrains on its likelyhood by establishing 530 a closed-form stability criterion that depends on the rock rheology and reaction kinetics 531 (Equation (28)). 532

As a final note of caution regarding our interpretations in terms of fluid pressure at intermediate depths, we recall here that the concept of effective stress makes sense only if a uniform pore pressure can be defined for a representative volume element of the rock.

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This is most likely the case when porosity is larger than several percents, above the per-536 colation threshold [Guéquen and Palciauskas, 1994]. However, over long timescales, the 537 progressive drainage of the pore fluid outside of the dehydrating zones tends to allow com-538 paction to reduce porosity; this reduction in porosity occurs concomitantly with surface 539 diffusion and dissolution-precipitation processes that heal and seal the pore space, leaving 540 a disconnected pore network and free fluids present only as fluid inclusions e.g. Smith and 541 *Evans*, 1984]. In the long term, pore pressure is not a well defined concept and neither 542 is effective stress. There are currently insufficient constrains on healing mechanisms in 543 silicates to draw definitive quantitative conclusions on the persistence and connectivity of 544 the pore space at intermediate depths in subduction zones, but we expect that the short 545 timescale of the instability (typically of the order of 10 days in our simulations) and the 546 large porosity generated by the reaction (around 20%) ensure a reasonable pore network 547 connectivity and validates the use of the concept of effective stress.

#### 6. Conclusions

We developed a model to simulate coupled deformation and dehydration of antigorite at 549 intermediate depths in subduction zones. Our model shows that dehydration can lead to 550 unstable pore pressure rise and deformation when the net volume change of the reaction 551 is negative, due to a positive feedback between pore fluid pressure, compaction and de-552 hydration rate. The stability criterion (Equation (30)) is controlled by the dependence of 553 the yield envelope on porosity (parameter  $f' = \partial f / \partial \zeta$ ); using estimates for f' consistent 554 with well established mechanics of porous rocks, we find that antigorite dehydration leads 555 to unstable deformation under typical intermediate-depth conditions in subduction zones. 556

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Furthermore, we also show that the instability is associated with localised deformation and fluid pressure over a characteristic length scale controlled by hydraulic diffusivity, rheological parameters and reaction rate. A lower bound for this characteristic length is of the order of 10 to 100 m, commensurate with the viscous compaction length scale obtained in models using time-dependent rheologies.

Our model predicts that the typical strain rates during the instability are of the order 562 of  $10^{-8}$  s<sup>-1</sup>. At such rates and at the relatively cool dehydration temperature of antig-563 orite (around 600°C), the surrounding, chemically stable peridotites and metagabbroic 564 rocks forming the subducted slab have a high strength, and are expected to build up 565 elastic stresses. The elevated pore pressures associated with the dehydration reaction can 566 therefore transiently bring the surrounding rocks back into the brittle field, thus allowing 567 the nucleation and propagation of earthquakes. This mechanism is clearly a type of de-568 hydration embrittlement, but is crucially based on a rapid stress transfer between weak 569 dehydrating rocks and strong surrounding ultramafic rocks, in a manner conceptually 570 similar to the early model of *Kirby* [1987] for transformation-induced instabilities. 571

#### Appendix A: Derivation of governing equations

#### A1. Fluid pressure

For a reacting porous medium, the continuity equations for the solid skeleton and the fluid are [Coussy, 2004]

$$\frac{\partial \left(\rho_{\rm s}(1-n)\right)}{\partial t} + \operatorname{div}\left(\rho_{\rm s}(1-n)\mathbf{v}_{\rm s}\right) = -r,\tag{A1}$$

$$\frac{\partial(n\rho_{\rm f})}{\partial t} + \operatorname{div}(n\rho_{\rm f}\mathbf{v}_{\rm f}) = +r,\tag{A2}$$

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where  $\rho_{\rm s}$  is the density of the solid,  $\rho_{\rm f}$  is the density of the fluid, *n* is the Eulerian porosity,  $\mathbf{v}_{\rm s}$  is the velocity of the solid and  $\mathbf{v}_{\rm f}$  is the velocity of the fluid. In the above equations, r denotes the rate at which fluid mass is generated from solid mass. This will be our definition for the reaction rate. Neglecting gradients in  $\rho_{\rm s}$  and  $\rho_{\rm f}$ , the combination of equations A1 and A2 leads to

$$\frac{1-n}{\rho_{\rm s}}\frac{\partial\rho_{\rm s}}{\partial t} + \frac{n}{\rho_{\rm f}}\frac{\partial\rho_{\rm f}}{\partial t} + \operatorname{div}(\mathbf{v}_{\rm s}) + \operatorname{div}(n(\mathbf{v}_{\rm f} - \mathbf{v}_{\rm s})) = r(1/\rho_{\rm s} - 1/\rho_{\rm f}).$$
(A3)

We can relate the divergence of the relative fluid velocity with respect to the solid to the gradient in fluid pressure by using Darcy's law [*Coussy*, 2004]:

$$n(\mathbf{v}_{\rm f} - \mathbf{v}_{\rm s}) = -(k/\eta) \text{grad}(p_{\rm f}), \tag{A4}$$

where  $p_{\rm f}$  is the fluid pressure, k is the permeability of the material, and  $\eta$  is the viscosity of the fluid. The divergence of the velocity of the solid is the bulk volumetric (Eulerian) strain rate:

$$\operatorname{div}(\mathbf{v}_{\mathrm{s}}) = \frac{\partial \epsilon}{\partial t},\tag{A5}$$

where  $\epsilon$  is the bulk volumetric strain. The combination of relations A4 and A5 with equation A3 yields:

$$\frac{1-n}{\rho_{\rm s}}\frac{\partial\rho_{\rm s}}{\partial t} + \frac{n}{\rho_{\rm f}}\frac{\partial\rho_{\rm f}}{\partial t} + \frac{\partial\epsilon}{\partial t} - \frac{k}{\eta}\nabla^2 p_{\rm f} = r(1/\rho_{\rm s} - 1/\rho_{\rm f}). \tag{A6}$$

<sup>586</sup> The variation of the fluid density can expressed as

$$\frac{1}{\rho_{\rm f}} \frac{\partial \rho_{\rm f}}{\partial t} = c_{\rm f} \frac{\partial p_{\rm f}}{\partial t},\tag{A7}$$

where  $c_{\rm f}$  is the compressibility of the fluid. The variation of the solid density is decomposed into two contributions:

$$\frac{1}{\rho_{\rm s}}\frac{\partial\rho_{\rm s}}{\partial t} = c_{\rm s}\frac{\partial p_{\rm s}}{\partial t} + \frac{1}{\rho_{\rm s}}\frac{\partial\rho_{\rm s}}{\partial m}r,\tag{A8}$$

where  $c_{\rm s}$  is the compressibility of the constituents of the solid skeleton, and m denotes the mass of fluid released by the chemical reaction. The last term in A8 corresponds to the evolution of the average density of the solid skeleton as the reaction proceeds (this term would be zero if the solid was transforming entirely into a fluid, without generating solid products). Combining relations A7 and A8 into equation A6 yields:

$$\left((1-n)c_{\rm s}+nc_{\rm f}\right)\frac{\partial p_{\rm f}}{\partial t} = \frac{k}{\eta}\nabla^2 p_{\rm f} - \frac{\partial\epsilon}{\partial t} + r\left(\frac{1}{\rho_{\rm f}} - \frac{1}{\rho_{\rm s}} - \frac{1-n}{\rho_{\rm s}}\frac{\partial\rho_{\rm s}}{\partial m}\right).$$
 (A9)

We now need to express the evolution of the average density of the solid as a function of the reaction progress. We are interested in the following type of chemical reaction:

mineral 
$$0 \longrightarrow \sum_{i} \nu_{i}$$
 mineral  $i + \nu_{f}$  fluid, (A10)

where  $\nu_{i,f}$  are stoichiometric coefficients. Denoting  $\xi$  the reaction progress and  $m_d^0$  the total mass of fluid that can be released by the reaction (per unit volume of rock), the solid volume is expressed as

$$V_{\rm s} = \frac{m_{\rm d}^0}{\nu_{\rm f} M_{\rm f}} \left( M_0 (1-\xi)/\rho_0 + \sum_i (\nu_i M_i/\rho_i)\xi \right),\tag{A11}$$

where  $M_i$  is the molar mass of constituent *i*. The average solid mass is denoted  $m_s$ . The density of the solid is  $\rho_s = m_s/V_s$ ; hence we have:

$$\frac{1}{\rho_{\rm s}}\frac{\partial\rho_{\rm s}}{\partial m} = \frac{1}{m_{\rm s}}\frac{\partial m_{\rm s}}{\partial m} - \frac{1}{V_{\rm s}}\frac{\partial V_{\rm s}}{\partial m}.$$
(A12)

<sub>601</sub> The conservation of mass imposes that  $\partial m_{\rm s}/\partial m = -1$ , so that

$$\frac{1}{\rho_{\rm s}}\frac{\partial\rho_{\rm s}}{\partial m} = \frac{1}{V_{\rm s}}\left(-\frac{1}{\rho_{\rm s}} - \frac{\partial V_{\rm s}}{\partial m}\right).\tag{A13}$$

The last term in parenthesis of the previous equation corresponds to the solid volume change of the reaction, which we denote  $\Delta_{\rm r} V_{\rm s}$ . Keeping in mind that  $m = m_{\rm d}^0 \xi$ , we can

use relation A11 to express  $\Delta_{\rm r} V_{\rm s}$  as

$$\Delta_{\rm r} V_{\rm s} = -\frac{M_0}{\rho_0 \nu_{\rm f} M_{\rm f}} + \frac{\sum_i \nu_i M_i / \rho_i}{\nu_{\rm f} M_{\rm f}}.$$
 (A14)

Finally, we have to keep in mind that  $V_{\rm s} = 1 - n$  by definition, so that equation A13 becomes

$$\frac{1-n}{\rho_{\rm s}} = -\frac{1}{\rho_{\rm s}} - \Delta_{\rm r} V_{\rm s}.\tag{A15}$$

<sup>607</sup> The combination of the relation above with the mass balance equation A9, eventually <sup>608</sup> leads to the governing equation (1) for pore fluid pressure.

#### A2. Constitutive behaviour

We assume that the dehydrating rock is elasto-plastic. We introduce the yield function 609  $f(\sigma,\zeta)$ , where  $\sigma$  is the stress tensor and  $\zeta$  is an internal variable on which the yield 610 cap depends.  $\zeta$  can be identified to either the finite porosity of the material, or more 611 directly to the reaction progress in the case of a pure chemical control over the material's 612 strength. These two options will be discussed later on. We also assume, in accordance 613 with experimental observations, that the material undergoes strain hardening. For the 614 sake of simplicity, f and g were assumed linear in terms of  $\tau$ . In that case, the consistency 615 condition for plastic loading is therefore 616

$$\left(\frac{\partial f}{\partial \sigma}\right)^{\mathrm{T}} d\sigma + \frac{\partial f}{\partial \zeta} d\zeta - h d\lambda = 0, \tag{A16}$$

where h is the hardening modulus and  $d\lambda$  is a positive infinitesimal scalar (so-called plastic increment). If we now introduce the plastic potential  $g(\sigma)$ , the elasto-plastic stress increment is then given by

$$d\sigma = \mathbf{M}^{\mathrm{el}} d\epsilon - d\lambda \mathbf{M}^{\mathrm{el}} \frac{\partial g}{\partial \sigma},\tag{A17}$$

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where  $\mathbf{M}^{\text{el}}$  is the elastic tensor and  $\epsilon$  is the total strain tensor. The combination of equations A16 and A17 allows the determination of the plastic increment  $d\lambda$  and yields the full incremental constitutive relation

$$d\sigma = \mathbf{M}^{\mathrm{ep}} d\epsilon + \Psi d\zeta, \tag{A18}$$

623 where

$$\mathbf{M}^{\rm ep} = \mathbf{M}^{\rm el} - \frac{\mathbf{M}^{\rm el} \frac{\partial g}{\partial \sigma} \left(\frac{\partial f}{\partial \sigma}\right)^{\rm T} \mathbf{M}^{\rm el}}{h + \left(\frac{\partial f}{\partial \sigma}\right)^{\rm T} \mathbf{M}^{\rm el} \frac{\partial g}{\partial \sigma}}$$
(A19)

624 and

$$\Psi = -\frac{\mathbf{M}^{\mathrm{el}} \frac{\partial f}{\partial \zeta} \frac{\partial g}{\partial \sigma}}{h + \left(\frac{\partial f}{\partial \sigma}\right)^{\mathrm{T}} \mathbf{M}^{\mathrm{el}} \frac{\partial g}{\partial \sigma}}.$$
(A20)

We further assume that the material is isotropic. The scalars p' and  $\tau$  are used here and they represent, respectively, the Terzaghi effective mean stress (i.e. the difference between the total mean stress and the pore pressure,  $p' = \text{tr}(\sigma)/3 + p_{\rm f}$ ) and the shearing stress intensity. The shearing stress intensity  $\tau$  is defined as the square root of the second invariant of the deviatoric part, s, of the stress tensor:  $\tau = \sqrt{\frac{1}{2}s_{ij}s_{ij}}$ . The Einstein summation convention is adopted and the indices i, j take values 1, 2, 3. In the  $(p', \tau)$ space, the stress vector  $\sigma$  is defined as:

$$\sigma = \binom{p'}{\tau}.\tag{A21}$$

Likewise, the strain vector can be described by the volumetric strain  $\epsilon$  and shear strain  $\gamma$ :

$$\epsilon = \begin{pmatrix} \epsilon \\ \gamma \end{pmatrix}. \tag{A22}$$

<sup>633</sup> The elastic tensor is written then:

$$\mathbf{M}^{\mathrm{el}} = \begin{pmatrix} K & 0\\ 0 & G \end{pmatrix},\tag{A23}$$

where K is the bulk modulus of the porous material, and G its shear modulus. For a general plastic behaviour, the derivatives of the yield function and plastic potential are expressed as follows:

$$\frac{\partial f}{\partial \sigma} = \begin{pmatrix} \mu \\ 1 \end{pmatrix}, \quad \frac{\partial g}{\partial \sigma} = \begin{pmatrix} \beta \\ 1 \end{pmatrix},$$
 (A24)

where  $\mu$  is the friction coefficient, and  $\beta$  the dilatancy factor. For the sake of simplicity, f and g were assumed linear in terms of  $\tau$ . The full expression for the incremental stressstrain relation in the  $(p', \tau)$  space becomes:

$$dp' = \frac{GK\left[(1+h/G)d\epsilon - \beta d\gamma\right]}{h+G+\beta\mu K} - \frac{\beta K}{h+G+\beta\mu K}\frac{\partial f}{\partial\zeta}d\zeta,$$
(A25)

$$d\tau = \frac{GK\left[-\mu d\epsilon + (h/K + \beta\mu)d\gamma\right]}{h + G + \beta\mu K} - \frac{G}{h + G + \beta\mu K}\frac{\partial f}{\partial\zeta}d\zeta.$$
 (A26)

In expressions A25 and A26, the factor  $\partial f/\partial \zeta$  corresponds to the dependency of the 640 yield cap on the internal variable (or material parameter)  $\zeta$ . As summarised by Wong 641 and Baud [2012], yield caps for porous rocks can be scaled by the critical pressure for 642 hydrostatic pore collapse, usually denoted  $P^*$ . It has also been observed [e.g. Zhang 643 et al., 1990] that  $P^*$  is scaled by the product of the grain size and the porosity of the rock, 644 to the power 3/2. This dependency of f on  $P^*$ , and of  $P^*$  on porosity implies that the yield 645 cap can be considered as a function of the porosity of the rock. Hence, a natural choice 646 for the parameter  $\zeta$  is the total nominal porosity (i.e., the current finite porosity resulting 647 from deformation and reaction, minus any variations produced by elastic deformations). 648 In such a framework, we can write 649

$$d\zeta = -m_d^0 \Delta_r V_s d\xi + d\epsilon - dp'/K. \tag{A27}$$

Using this expression for  $\zeta$  into Equations (A25) and (A26), we finally arrive at the incremental constitutive formulation of Equations (3) and (4) in the main text.

#### A3. Uniaxial compaction

<sup>652</sup> The combination of relations 10 and 3 yields

$$\frac{\partial p}{\partial t} + \frac{\partial p_{\rm f}}{\partial t} = \frac{GK\left[(1 + (h - f'\beta)/G) + 2\beta/\sqrt{3}\right]}{h - f'\beta + G + \beta\mu K} \frac{\partial \epsilon}{\partial t} - \frac{f'\beta K}{h - f'\beta + G + \beta\mu K} \frac{\partial \xi}{\partial t}.$$
 (A28)

Assuming a constant  $\sigma_n$  over time, equation 9 yields

$$\frac{\partial p}{\partial t} = \frac{2}{\sqrt{3}} \frac{\partial \tau}{\partial t},\tag{A29}$$

<sup>654</sup> which we combine to equation A28, making use of relation 4, to obtain

$$\frac{\partial p_{\rm f}}{\partial t} = M \frac{\partial \epsilon}{\partial t} - f' X \frac{\partial \xi}{\partial t},\tag{A30}$$

655 where

$$M = \frac{GK}{h - f'\beta + G + \beta\mu K} \left[ \left( 1 + \frac{2}{\sqrt{3}}\mu \right) \left( 1 + \frac{2}{\sqrt{3}}\beta \right) + (h - f'\beta) \left( \frac{1}{G} + \frac{4}{3K} \right) \right], \quad (A31)$$

656 and

$$X = -m_{\rm d}^0 \Delta_{\rm r} V_{\rm s} \frac{\beta K - 2G/\sqrt{3}}{h - f'\beta + G + \beta\mu K}.$$
(A32)

<sup>657</sup> Now we can use equation 1 to express the volumetric strain rate:

$$\frac{\partial \epsilon}{\partial t} = \frac{k}{\eta} \frac{\partial^2 p_{\rm f}}{\partial y^2} + \left( m_{\rm d}^0 (1/\rho_{\rm f} + \Delta_{\rm r} V_{\rm s}) \right) \frac{\partial \xi}{\partial t} - c_{\rm b} \frac{\partial p_{\rm f}}{\partial t}.$$
(A33)

We finally use the expression of the volumetric strain rate given by A33 into equation A30 to obtain

$$\frac{\partial p_{\rm f}}{\partial t} = \frac{Mk/\eta}{1+c_{\rm b}M}\frac{\partial^2 p_{\rm f}}{\partial y^2} + \frac{Mm_{\rm d}^0(1/\rho_{\rm f} + \Delta_{\rm r}V_{\rm s}) - f'X}{1+c_{\rm b}M}\frac{\partial\xi}{\partial t}.$$
(A34)

<sup>660</sup> Using the chemical kinetics established in Equation 7, we finally arrive at Equation (11) <sup>661</sup> of the main text.

#### Appendix B: Volume change for antigorite dehydration

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#### <sup>662</sup> The density of a phase as a function of temperature is given by

$$\rho(T) = \rho^{\circ} e^{-\Phi},\tag{B1}$$

where  $\rho^{\circ}$  is the density under standard conditions (at  $T = T_0 = 25^{\circ}$ C), and

$$\Phi = \ln(V_{\rm m}(T)/V_{\rm m}^{\circ}) = \alpha^{\circ} \left(T - T_0 - 20(\sqrt{T} - \sqrt{T_0})\right).$$
(B2)

<sup>664</sup> The density as a function of pressure is given by

$$\rho(P) = \rho^{\circ} (1 + 2\ell)^{3/2}, \tag{B3}$$

where  $\ell$  is the linear strain calculated from the bulk modulus K and its derivative with pressure  $K' = \partial K / \partial P$ :

$$P/K = 3\ell(1+2\ell)^{5/2} \left[ 1 - 2(3-3K'/4)\ell + \frac{\ell^2}{6} \left( 4(3-3K'/4)(4-3K') + 5(3K'-5) \right) \right].$$
(B4)

<sup>667</sup> The total change in density as a function of pressure and temperature is finally obtained <sup>668</sup> from

$$\rho(P,T) = [\rho(P)/\rho^{\circ}]\rho(T), \tag{B5}$$

<sup>669</sup> which implies that the molar volume is

$$V_{\rm m}(P,T) = M/\rho(P,T) = V_{\rm m}^{\circ}(1+2\ell)^{-3/2}e^{\Phi}.$$
 (B6)

#### Appendix C: Stability analysis

#### C1. Derivation of criterion

<sup>670</sup> The inequality (28) can be rewritten as

$$f' \left[ \Delta_{\rm r} V_{\rm s} (\beta K - 2G/\sqrt{3}) - \beta (K + 4G/3)(1/\rho_{\rm f} + \Delta_{\rm r} V_{\rm s}) \right] > -(K + 4G/3)(h - h_{\rm crit})(1/\rho_{\rm f} + \Delta_{\rm r} V_{\rm s}).$$
(C1)

Assuming that the material is nominally stable, i.e,  $h > h_{\rm crit}$ , and considering that the reaction has a total negative volume change  $(1/\rho_{\rm f} + \Delta_{\rm r} V_{\rm s} < 0)$ , the rhs of Inequality (C1) is a positive quantity. If the term in brackets on the lhs is negative, f' would have to be also negative in order to satisfy the inequality. This is a contradiction since the material is porosity-softening and f' > 0. So a first requirement for the instability to be possible is that the bracketed term is positive, which implies that

$$\beta \left[ \frac{1}{\rho_{\rm f}} + \frac{4G}{3K + 4G} \Delta_{\rm r} V_{\rm s} \right] < \frac{2}{\sqrt{3}} \frac{-3G}{3K + 4G} \Delta_{\rm r} V_{\rm s}. \tag{C2}$$

<sup>677</sup> Considering that the material is compactant ( $\beta < 0$ ) and that the solid volume change of <sup>678</sup> the reaction is negative ( $\Delta_r V_s < 0$ ), Inequality (C2) is satisfied when either

$$\frac{1}{\rho_{\rm f}} + \frac{4G}{3K + 4G} \Delta_{\rm r} V_{\rm s} > 0, \tag{C3}$$

679 OT

$$\frac{1}{\rho_{\rm f}} + \frac{4G}{3K + 4G} \Delta_{\rm r} V_{\rm s} < 0 \text{ and } \beta > \beta_{\rm crit}, \tag{C4}$$

where  $\beta_{\text{crit}}$  is defined in Equation (29) of the main text. In that case, the instability criterion in terms of f' is given by (see Equation (C1))

$$f' > \frac{-(K + 4G/3)(h - h_{\rm crit})(1/\rho_{\rm f} + \Delta_{\rm r}V_{\rm s})}{\Delta_{\rm r}V_{\rm s}(\beta K - 2G/\sqrt{3}) - \beta(K + 4G/3)(1/\rho_{\rm f} + \Delta_{\rm r}V_{\rm s})},\tag{C5}$$

<sup>682</sup> which is exactly the same as Equation (30) after some rearrangements.

#### C2. Linear analysis

Denoting  $p'_{\rm f}$  the small perturbation of  $p_{\rm f}$  above  $p_{\rm eq}$ , we rewrite the governing equation for pore pressure (11) as follows:

$$\frac{\partial p_{\rm f}'}{\partial t} = \frac{Mk/\eta}{1+c_{\rm b}M} \frac{\partial^2 p_{\rm f}'}{\partial y^2} + \frac{Mm_{\rm d}^0(1/\rho_{\rm f} + \Delta_{\rm r}V_{\rm s}) - f'X}{1+c_{\rm b}M} r_0 \left(\frac{p_{\rm f}'}{p_{\rm eq}}\right)^{n_{\rm r}}.$$
(C6)

<sup>605</sup> The qualitative behaviour of the system depends on the value of  $n_{\rm r}$ .

For  $n_{\rm r} = 1$  (i.e., the dehydration reaction kinetics is approximated to be first-order), the equation is linear and we can perform a linear stability analysis to explore how the system evolves. We assume no flux conditions at the boundaries of a dehydrating layer of thickness W (which simulates a serpentinite layer embedded in an impermeable host rock). Then we look for solutions of (C6) (with  $n_{\rm r} = 1$ ) in the form

$$p'_{\rm f} = A\cos(2\pi y/\lambda)\exp(St),\tag{C7}$$

where A is the amplitude of the perturbation, S is its growth factor, and  $\lambda$  is its wavelength. Our suggested solution must be consistent with the prescribed boundary conditions, hence we require that  $\lambda = W/k$ , (k = 1, 2, ...). The perturbation is unstable (S > 0) if (1)  $f' > f'_{crit}$  and (2) the wavelength is greater than a critical wavelength  $\lambda_{crit}$ :

$$\lambda > \lambda_{\rm crit} = 2\pi \sqrt{\frac{p_{\rm eq}k/\eta}{r_0[m_{\rm d}^0(1/\rho_{\rm f} + \Delta_{\rm r}V_{\rm s}) - f'X/M]}}.$$
(C8)

After some algebra, the critical wavelength can be rewritten as in (31) in the main text. 695 For  $n_{\rm r} > 1$ , the situation is mathematically more complicated. Indeed, one can imme-696 diately observe in equation (C6) that the reaction term does not appear in a first order 697 stability analysis (it is elevated to a power greater than 1). However, one could hope to 698 make useful analytical predictions without resorting to a full numerical treatment. Let us 699 assume that the perturbation has a characteristic amplitude A and a characteristic length 700 scale L, i.e.,  $p'_{\rm f}(y,t) = A(t)g(y/L(t))$  where g is a non dimensional function of the order 701 of 1. The governing equation for  $p'_{\rm f}$  is then 702

$$\frac{\partial p_{\rm f}'}{\partial t} = \frac{A}{L^2} \frac{Mk/\eta}{1+c_{\rm b}M} g''(y/L) + \frac{Mm_{\rm d}^0(1/\rho_{\rm f} + \Delta_{\rm r}V_{\rm s}) - f'X}{1+c_{\rm b}M} r_0 A^{n_{\rm r}} g^{n_{\rm r}}(y/L).$$
(C9)

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<sup>703</sup> The reaction term will be dominant, i.e., the system will be *unstable*, if

$$L \gg \sqrt{\frac{1}{A^{n_{\rm r}-1}} \frac{p_{\rm eq} k/\eta}{r_0 [m_{\rm d}^0(1/\rho_{\rm f} + \Delta_{\rm r} V_{\rm s}) - f' X/M]}} = \frac{A^{(1-n_{\rm r})/2}}{2\pi} \lambda_{\rm crit}.$$
 (C10)

The condition given in (C10) is very similar to the one obtained in (C8) for the linear 704 case, but here we see that the amplitude of the perturbation A appears in the definition 705 of the critical length scale. Hence, according to (C10), the system is unstable only if the 706 wavelength and the amplitude of the perturbations are large enough to overcome diffusion. 707 In any case, we note that the reaction will only dominate the system at early times; one can 708 show that diffusion cannot be neglected everywhere when the system evolves with time. 709 Here we are only interested in the behaviour at early times, because we have assumed 710 that the parameters of the equations are constant. For further evolution of the system, 711 the full nonlinearities should be included and it is not worth going too far mathematically 712 with our simplified system. 713

#### Appendix D: Numerical methods

The numerical solution of the fully coupled, nonlinear system is obtained by discretising the governing equation for pore pressure (11) in space using a centered finite difference stencil, and then solving for reaction progress, pore pressure, volumetric strain, total mean stress, porosity and shear stress as a coupled system of ordinary differential equations (ODEs). In practice, we normalise the governing equations by using the magnitude of the imposed total normal stress  $\sigma_n$  as the stress scale, the reaction rate  $1/r_0$  as the time scale, and the thickness of the antigorite layer (denoted L). We use a centered finite difference approximation of the second-order spatial derivatives of pore pressure, with a grid defined by points  $y_i = i\Delta y$ , and implement periodic boundary conditions at the edges y = 0 and

y/L = 1. The full system of ODEs is then:

$$\frac{\partial \xi_i}{\partial t} = s(1-\xi_i)|p_{\rm fi}/p_{\rm eq} - 1|^{n_{\rm r}},\tag{D1}$$

$$\frac{\partial p_{fi}}{\partial t} = \frac{M}{1 + c_{\rm b}M} \frac{1}{\eta \Delta y^2} \left( k_{i+1/2} \left( p_{fi+1} - p_{fi} \right) - k_{i-1/2} \left( p_{fi} - p_{fi-1} \right) \right) \\ + \frac{M m_{\rm d}^0 (1/\rho_{\rm f} + \Delta_{\rm r} V_{\rm s}) - f' X}{1 + c_{\rm b}M} \frac{\partial \xi_i}{\partial t}, \tag{D2}$$

$$\frac{\partial \epsilon_i}{\partial t} = \frac{1}{M} \left( \frac{\partial p_{\mathrm{f}i}}{\partial t} + f' X \frac{\partial \xi_i}{\partial t} \right),\tag{D3}$$

$$\frac{\partial p_i}{\partial t} = \frac{1}{\sqrt{3}/2 + \beta - f'/K} \left( (f'/K - \beta) \frac{\partial p_{\mathrm{f}i}}{\partial t} - f' \frac{\partial \epsilon_i}{\partial t} + f' m_{\mathrm{d}}^0 \Delta_{\mathrm{r}} V_{\mathrm{s}} \frac{\partial \xi_i}{\partial t} \right), \qquad (\mathrm{D4})$$

$$\frac{\partial \zeta_i}{\partial t} = -m_{\rm d}^0 \Delta_{\rm r} V_{\rm s} \frac{\partial \xi_i}{\partial t} + \frac{\partial \epsilon_i}{\partial t} - \frac{1}{K} \left( \frac{\partial p_i}{\partial t} + \frac{\partial p_{\rm fi}}{\partial t} \right),\tag{D5}$$

$$\frac{\partial \tau_i}{\partial t} = -\beta \left( \frac{\partial p_i}{\partial t} + \frac{\partial p_{fi}}{\partial t} \right) - f' \frac{\partial \xi_i}{\partial t},\tag{D6}$$

where subscripts i indicate variables at point  $x_i$ , and

$$k_{i\pm 1/2} = (k_i + k_{i\pm 1})/2.$$
 (D7)

In addition, a consistency check is performed by computing the total normal stress:

$$\frac{\partial \sigma_{\mathrm{n}i}}{\partial t} = \frac{\partial p_i}{\partial t} - \frac{2}{\sqrt{3}} \frac{\partial \tau_i}{\partial t},\tag{D8}$$

and verifying a posteriori that it remains constant throughout space and time. All the parameters that are stress dependent, namely,  $\beta$ ,  $\mu$  and f', are updated at every time and space step to account for the nonlinearities. The ODEs are solved by using Matlab's ode15s solver. The full Matlab code is available online at the following url: www.github.com/nbrantut/Compaction\_Dehydration.git.

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Figure 1. Schematic of the yield surface in the stress space  $(p', \tau)$ . The yield surface is capped at elevated pressures, which corresponds to the possibility of yield under purely isotropic stress conditions. With increasing porosity, the yield surface tends to shrink (reducing the stress range for a purely elastic behaviour), as represented by the dashed line.



Figure 2. Yield surfaces of intact, partially and fully dehydrated serpentinites from *Rutter et al.* [2009], and fits with a modified Cam-clay model (equation 14). In all fits, we choose a tensile strength b = 5 MPa.

Table 1.Thermodynamic properties of phases involved in the dehydration reactionsof antigorite.Data from Holland and Powell [1998].

	Molar weight	Molar volume	Thermal expansion	Bulk modulus	
	M	$V^{\circ}_{ m m}$	$lpha^{\circ}$	$K^{\circ}$	$\partial K / \partial P$
Phase	g/mol	$\mathrm{cm}^3/\mathrm{mol}$	$\times 10^{-5} \ \mathrm{K}^{-1}$	GPa	
Atg, antigorite	4536	1754.7	4.7	67.9	2.77
Talc	379.7	136.4	3.7	41.6	6.5
Fo, forsterite	140.7	43.7	6.1	127	5.37
Ens, enstatite	200.8	62.6	5.1	106	8.5
PhA, phase A	456.3	154.4	8.3	97.4	6.0



Figure 3. Net volume change  $1/\rho_{\rm f} + \Delta_{\rm r} V_{\rm s}$ , in m<sup>3</sup> per unit mass of water, as a function of pressure and temperature, for each reaction. Atg: antigorite; Fo: forsterite; Ens: enstatite; PhA: phase A.

 Table 2.
 Average fluid mass and volume change associated with the dehydration

 reactions of antigorite.

	Mass of releasable fluid	Volume change		
	$m_{ m d}^0$	1/ ho	$V_{\rm f} + \Delta_{\rm r} V$	7 S
Reaction	$({ m kg/m^3})$	$(\times 10^{-4}~\mathrm{m^3/kg})$		(g)
		average	min.	max.
$Atg \rightarrow 4 Talc + 18 Fo + 27 H_2O$	276	6.18	0.73	212.55
$Atg \rightarrow 14 \text{ Fo} + 10 \text{ Ens} + 31 \text{ H}_2\text{O}$	323	-1.02	-1.71	0.25
5 Atg $\rightarrow$ 14 PhA + 71 Ens + 113 H <sub>2</sub> O	240	-3.09	-3.22	-2.93

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Figure 4. Stability boundaries for a dehydrating material with a porosity-dependent yield function. The dilatancy factor  $\beta$  has been assumed equal to the friction coefficient  $\mu$  (i.e., we used the so-called associated plasticity assumption) in order to limit the number of free parameters. Black curves correspond to stability boundary for the pore pressure runaway (condition 30), and blue curves correspond to stability boundary for conventional (mechanical) compaction instability (condition 24).



Figure 5. Critical unstable wavelength  $\lambda_{\text{crit}}$ . Values are reported in metres. The parameter values used here are those relevant to the dehydration of antigorite into enstatite and forsterite. For simplicity we assumed h = 0 and associated plasticity ( $\beta = \mu$ ). The wavelength becomes infinite along the stability boundary, i.e., for f' approaching  $f'_{\text{crit}}$ , and approaches a constant (dashed lines) given by Equation (32) for  $f' \gg f'_{\text{crit}}$ .



Figure 6. Nonlinear evolution of the compaction instability, modelled numerically. (a) Volumetric strain profiles as a function of time; initial and final profiles are shown in thick black lines, and intermediate profiles are in light grey. (b) Time evolution of volumetric strain at the centre (y = 0) and at the edges  $(y = \pm L/2)$  of the modelled layer.



Figure 7. Volumetric strain  $\epsilon$  (black), reaction progress  $\xi$  (orange), porosity  $\zeta$  (green) and normalised pore pressure change  $p_{\rm f} - p_{\rm f0}/\sigma_{\rm n}$  (blue) as a function of normalised time  $t \times r_0$ . Solid lines show the evolution at the centre of the layer (y = 0), and dotted lines correspond to the evolution at the edge of the layer ( $y = \pm L/2$ ).



Figure 8. Stress path of material elements within the antigorite layer. The initial and final stress states are given by the filled black circles. The trajectory of the element in the centre of the layer is given by the solid black line, and that of the element at the edge is given by the dashed black line. The trajectories of all other elements are given as the thin grey lines. In this simulation, the total normal stress is  $\sigma_n = 3.71$  GPa, so that the initial effective mean stress is 0.61 GPa and the initial shear stress is 0.09 GPa.