

# Assessment of conventional French railway sub-structure: a case study

Trong Vinh Duong, Yu-Jun Cui, Anh Minh A.M. Tang, Nicolas Calon, Alain

Robinet

## ► To cite this version:

Trong Vinh Duong, Yu-Jun Cui, Anh Minh A.M. Tang, Nicolas Calon, Alain Robinet. Assessment of conventional French railway sub-structure: a case study. Bulletin of Engineering Geology and the Environment, 2015, 74 (1), pp.259-270. 10.1007/s10064-014-0575-y . hal-01271093

# HAL Id: hal-01271093 https://enpc.hal.science/hal-01271093v1

Submitted on 25 Apr 2018  $\,$ 

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers. L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

1	Assessment of conventional French railway sub-structure: a case study
2	Duong T.V. <sup>1</sup> , Cui Y.J. <sup>1</sup> , Tang A.M. <sup>1</sup> , Calon N. <sup>2</sup> , Robinet A. <sup>2</sup>
3	
4	<sup>1</sup> : Ecole des Ponts ParisTech, U.R. Navier/CERMES, 6 – 8 av. Blaise Pascal, Cité Descartes,
5	Champs – sur – Marne, 77455 Marne – la – Vallée cedex 2, France
6	<sup>2</sup> : French Railway Company (SNCF)
7	
8	
9	
10	Corresponding author:
11	Prof. Yu-Jun CUI
12	Ecole des Ponts ParisTech
13	6-8 av. Blaise Pascal, Cité Descartes, Champs-sur-Marne
14	F-77455 Marne – la – Vallée cedex - France
15	Telephone: +33 1 64 15 35 50
16	Fax: +33 1 64 15 35 62
17	E-mail: <u>yujun.cui@enpc.fr</u>

#### 19 Abstract

20 A statistical study was firstly undertaken on problems occurred in a period of more than one year and 21 related to the circulation of train in the whole conventional French railway network. Emphasis was put 22 on the degradation of track components. The analysis evidenced the particular importance of sub-grade 23 quality in the performance of the whole track. After this general analysis, a conventional railway line in 24 the West of France was investigated. In the sub-structure of this line, an interlayer was identified that has 25 been created mainly by interpenetration of ballast and sub-grade. In the analysis, the degradation rate of 26 this line was correlated with different parameters such as the nature of sub-grades involved along the 27 line and the thickness of different layers. The results showed that the degradation rate is correlated to the 28 thickness of various layers. Furthermore, it was observed that the interlayer plays an important role in 29 the performance of tracks as it represents a transition layer before any train-induced stress is applied to 30 the sub-grade.

*Keywords*: Conventional French railway network; interlayer; sub-grade; degradation rate; NL; layer
 thickness.

33

#### 35 Introduction

36 Ballasted tracks are composed of two parts: super-structure (rail, fastening system and sleeper) and sub-37 structure (ballast, sub-ballast and platform). To ensure the good performance of tracks and thus the 38 normal circulation of train, both super-structure and sub-structure need to be regularly examined and 39 maintained (Burrow et al. 2007). Normally, problems related to super-structure can be visually 40 determined and thus rapidly solved. It is however not the case for those related to sub-structure. Once 41 problems occur in the sub-structure, it is often difficult to explicitly identify and expensive to remediate. 42 Previous studies showed that the cost related to the maintenance of sub-structure often represents a huge 43 budget (Ebrahimi 2011; Indraratna et al. 2011). The experiences in France showed that after the track 44 remedial work to decrease rail deformations, the problems persist and the phenomenon as mud pumping (fine particles of sub grade are pumped up to ballast surface), defects of bearing capacity occurs locally. 45 These observations suggest that problems are highly related to the behavior of sub-structure. From a 46 47 practical point of view, if the main mechanisms of the occurring problems are not understood, the origin 48 of the problems may remain even though expensive remedial works have been undertaken (Brough et al. 49 2003; 2006). The variability of sub-grade soils along a line often represents the major difficulty or 50 challenge for both the fundamental investigation and the practical operations.

An appropriate thickness of sub-structure layers is important for adequately distributing the traininduced stress. If the track-bed layers do not have the required thickness, significant stress can be transmitted to the sub-grade soils and important deformations can take place, leading to the degradation of tracks. It is worth noting that the designed thickness of track-bed layers is not the same, depending on the types of lines (for high speed train or normal train), on countries and also on the construction times (Li and Selig 1998a; 1998b; Burrow et al. 2011). In France, the conventional railway lines were constructed long time ago in 1850s, and the design in that time did not follow the current standards (Trinh 2011) in terms of super-structure composition, sub-structure mechanical behavior and exploitation. This inevitably leads to stability-related problems for the tracks in case of increasing load, traffic and speed of train. In particular, for the conventional railway tracks, as the ballast was mainly installed directly onto the sub-grade during construction, a layer namely interlayer was created mainly by the interpenetration of ballast and sub-grade soils (Calon et al. 2010; Trinh 2011; Trinh et al. 2011; 2012; Cui et al. 2013; Duong et al. 2013a; Duong et al. 2013b). The thickness and the hydro-mechanical behavior of this interlayer can strongly affect the performance of the whole tracks.

65 This paper aims at assessing the performance of track sub-structure of the conventional French railway 66 network. Firstly, a statistical study on the French railway network was conducted. Problems related to 67 train circulation in the period from January 2010 to May 2011 were collected and analyzed. It was 68 concluded that it is important to conduct a global investigation followed by an in-depth sub-structure 69 one. In particular, the analysis showed that there is a good correlation between the train circulation 70 problems and the presence of fine particles in the sub-structure. After this global analysis, an in-depth 71 analysis was undertaken on one specific line with problems identified. The increase rate of the rail 72 geometrical degradation was studied. This increase rate was then correlated with other parameters such 73 as the natures of sub-grade involved along the line and the thicknesses of different layers.

#### 74 Assessment of the French railway network

The problems related to train circulation (for instance, forced speed reduction in order to ensure the comfort of passengers) were recorded in the French railway network from January 2010 to May 2011. A total of 1705 cases was involved (Calon 2010). It was found that the causes can come from every track components (steel rail, fastening system, sleeper, ballast, sub-ballast and sub-grade). Four main groups can be defined as presented in Fig. 1, in relation to super-structure; sub-structure; speed reduction due to maintenance/engineering works and "no information" meaning that the cause has not been explicitly 81 found out, respectively. Among the 1705 cases, those related to the super-structure represent 16.13% 82 (275 cases), to the sub-structure represent 17.89% (304 cases), to the maintenance and engineering works represent 12.38% (211 cases), and 53.61% corresponds to "no information" (914 cases). The huge 83 84 number of "no information" cases is mainly due to the complexity of the track composition; it is often 85 difficult to determine the cause of a problem. On the other hand, this huge number confirms that railway structures is a complex issue that needs extensive investigations in order to reveal the real mechanisms 86 87 involved in the problems. It is also worth noting that the part of problems related to the sub-structure 88 (17.89%) is a little higher than that related to the super-structure (16.13%). This implies significant 89 maintenance works involving the sub-structure. Note that in the past, most attention has been paid to the 90 super-structure and the consideration given to the sub-structure is not enough to reveal all problems. 91 Among the sub-structure-related problems, there are 186 cases where clayey sub-grade was recorded and 92 very often mud pumping was observed. These zones are henceforth referred to as sensitive zones.

In order to enable the assessment of the French network in terms of economic indicators, the railway lines are classified in different groups according to the nature and the importance of traffic (SNCF 1989). The classification, called UIC groups, is based on the fictive traffic  $T_{f2}$  calculated as follows:

$$7_{f_2} = S \times T_{f_1} \tag{1}$$

97 where *S* is the coefficient of the line quality, and it is equal to 1 for the lines without passenger train or 98 local traffic, to 1.1 for the lines with passenger train at a speed lower than 120 km/h, to 1.2 for the lines 99 with passenger train at a speed from 120 km/h to 140 km/h, to 1.25 for the line with passenger train at a 100 speed higher than 140 km/h.  $T_{fl}$  is the fictive weight calculated by:

101 
$$T_{f1} = T_{\nu} + K_m T_m + K_t T_t$$
(2)

102 where  $T_v$  is the weight of passenger train (ton/day),  $T_m$  is the weight of freight train (ton/day),  $T_t$  is the 103 weight of locomotive (ton/day),  $K_m$  is a coefficient (1.15 in normal case, 1.3 in the case of 20 ton axle 104 load),  $K_t$  is a constant which is equal to 1.4.

105 According to the value of  $T_{f^2}$ , the corresponding UIC group can be defined. Table 1 presents all groups. 106 The order in this table follows the decreasing traffic and loading. In France, on the RFF (French 107 Department of Industry) network, there is no line within group 1. Based on the amplitude of fictive 108 traffic, the maintenance policy of SNCF (French Railway Company) was set up accordingly: 109 maintenance operations are undertaken more frequently for the first six groups and less for the other 110 groups.

As the total length of each group is not the same (Table 1), it appears necessary to normalize the number of problems with respect to the length. The number of recorded problems per 1000 km of each UIC group was then determined and the result is presented in Fig. 2. The first category corresponds to the whole data recorded involving the problems related to all track components; the second category corresponds to the sub-structure-related problems. The third category involves the sensitive zones. It is observed that in the first category, the number of problems is indeed larger for the groups 2 to 4. It is group 4 that gathered the most part of problems.

When only the data related to sub-structure was taken into account, the configuration became different and the greatest value was recorded for group 7 (passenger train). The same observation can be made with the data related to the sensitive zones. This finding suggests that the maintenance policy should be changed when referring to the sub-structure and more attention should be paid to the track bed within group 7.

Problems occurred over a year are presented in Fig. 3a for the sub-structure and in Fig. 3b specifically for the clayey sub-soils (sensitive zones). Very often, the mud pumping phenomenon occurs in the sensitive zones where the fine particles are pumped from sub-grade up to ballast surface and the whole ballast layer becomes fouled and loses all its performance (Selig and Waters 1994; Indraratna et al. 2011). A similar configuration can be observed in two figures. There is a peak around the months of February, March and April. In 2010, in the sensitive zones, the numbers of cases for these three months are 28, 48 and 13, respectively; while those of other months are all lower than 10. It is worth noting that the period from February to April corresponds to late winter and early summer. It was reported in the literature that ballast can be fouled after one winter due to freeze/thaw (Raymond 1999).

132 Fig. 4 presents the data recorded versus the type of tracks. Track 1 corresponds to the down line, Track 2 133 corresponds to the up line and "Other" corresponds to the single track line or secondary tracks. In the 134 case of sub-structure-related problems, the number for Track 1 (110 cases) is equal to that for Track 2 135 (109 cases), while in the case of sensitive zones-related problems, the number for Track 1 (71 cases) is 136 clearly larger than that for Track 2 (51 cases). Note that the drainage condition and the traffic for the 137 Track 1 and Track 2 are not always the same. As the quality of the drainage system is not always the 138 same, the water content can be different, hence different mechanical behaviors of soils can be expected 139 for the two tracks since water content is one of the key parameters governing the behavior of railway 140 sub-structure (Duong et al. 2013b).

141 Fig. 5 depicts the problems according to the territory. Note that the French railway network is divided 142 into three territories, each consisting of various infra-poles (sub-territory). It can be seen that Atlantique 143 is the territory where there is the largest number of problems. This observation is clearer if only the sub-144 structure is accounted for (Fig. 5b). When considering the configuration in terms of sensitive zones (Fig. 145 5c), the contrast is even higher: the percentage of problems in Atlantique is 60% against 22% in South-146 *East* and 18% in *North East Normandy*. Note that *Atlantique* is the territory with a long coast of Atlantic 147 Ocean and a large number of harbors with high frequency trading activities. However, Atlantique is also 148 the territory having the highest total line length, hence a possible higher problem number.

Fig. 6 shows the distribution of problems in different infra-poles. It can be observed that the problems occurred almost everywhere in France; however, most cases appeared in the infra-poles of "Ouest Parisien" (West Paris) and Poitou Charentes. Based on this observation, one line situated in the infrapole of Poitou Charentes was selected for further in-depth investigation.

#### 153 Assessment of a line in the infra-pole of Poitou Charentes

#### 154 Evaluated line

A segment from kilometer 72 to 140 was selected. Information about the thicknesses of different layers should be estimated based on the data from core samples and from Panda investigation (dynamic cone penetrometer test) coupled with geo-endoscope observation.

This railway line was constructed in 1860s. It is classified in group 6 of UIC (see Table 1). As mentioned before, like other conventional lines, this line was constructed with ballast directly overlying the sub-grade. After years of circulations and operation, an interlayer was created as a result of the interpenetration of ballast and fine particles of sub-grade soils (Trinh 2011; Cui et al. 2013; Duong et al. 2013b).

#### 163 Geological situation

Fig. 7 presents the geological map of the studied line with the kilometer point (PK) and the geological information. The sub-grade includes five soil types. The first one at the very first kilometer of the line (from PK 74 to 75) consists of the soil of Middle Jurassic, mainly including hard limestone and marl. The thickness of each layer can vary from 10 mm to 1 m and the total thickness can reach 20 m. At PK 75, the line passes through a zone varying from river alluvial silty clay to clay-limestone. The second (from 75 to 76) involves argillaceous limestone, marl, and to a lesser extent fine alluvial deposit and peat. The thickness of these layers varies from some centimeters to 1 m. The third consists mainly of 171 marl (from 76 to 79). The total thickness reaches 40 m. This marl layer can sometime be overlaid by a 172 thin layer of more or less clayey limestone. The fourth group (from 80 to 102) is the alternation of white 173 argillaceous limestone and marl. In several zones, the soil is very clayey with a very thin clay layer of 174 intercalation in marl or limestone. From PK 80 to PK 87, the limestone layers become very hard and 175 resistant. Around PK 87 and PK 89, the line passes through the zones of river alluvial silty clay and 176 sometimes with peat. These zones of peat are very compressible, representing low-quality sub-soils. The 177 fifth type involves marl, white chalky limestone and limestone sub-lithographic (Upper Jurassic). The 178 total thickness is about 30 m. From PK 125 to PK 138, the soil consists of limestone with sandwiched 179 marl. This complex geological situation must be correlated to the large number of problems recorded. 180 The importance of geological situation for the track performance was also reported by Li and Selig 181 (1994), Revees et al. (2005), Bednarik et al. (2010).

#### 182 Longitudinal Leveling - NL

183 For a smooth train circulation, rails/wheel contact must present a satisfactory leveling which is defined 184 referring to UIC group. In other words, leveling of rail is an indicator of track quality. Note that the 185 geometrical degradation of tracks that induces changes in leveling can be due to problems related to 186 super-structure, granular materials and platform (Guerin 1996). In the French railway network, the term 187 of longitudinal leveling NL (Nivellement Longitudinal in French) is used to assess the geometrical state 188 of tracks. A large value of NL implies a bad state of railway line. Beyond a certain threshold of NL, 189 maintenance is required in order to bring NL back to an admissible value (ballast tamping, stone blowing 190 and ballast renewal).

A train namely Mauzin train passes through a line for the measurement of rail deflection (Fig. 8a). *NL* is calculated from the difference between the local leveling of each rail and the average profile of the line. 193 The principle is to calculate the difference between the represent Euler and Lagrange of the wheel 194 ordinate (Rhayma 2010). From Fig. 8b, this difference *N* is calculated as follows:

195

$$N = z_{D} - (z_{D'} - h)$$
  
=  $z_{D} - \frac{1}{\alpha + \beta} (\beta z_{M} + \alpha z_{N}) + h$   
=  $z_{D} - \frac{1}{4(\alpha + \beta)} [\beta (z_{A} + z_{B} + z_{C} + z_{D}) + \alpha (z_{E} + z_{F} + z_{G} + z_{H})]$ 

196

*NL* is the standard deviation of the recorded measurements with a mean value  $\mu$  and for a distance of 200 m:

198 
$$NL = \sqrt{\frac{1}{M} \sum_{i=1}^{M} (N_i - \mu)}$$

Fig. 9 presents the variations of *NL* at kilometer 70.3 of Track 2 from 1997 to 2013. It can be observed that the value of *NL* decreased sharply after each maintenance (in the year of 2001, 2003, 2007 and 2012). However, after the maintenance, the value of *NL* continued to increase at almost the same rate: a linear increase trend of *NL* over time can be identified. It is worth noting that the slope represents the increase rate of *NL* or the degradation rate of tracks. The increase trend of *NL* persisted after a maintenance, suggesting that ballast replacement did not resolve the problem, and the track degradation was rather related to the sub-structure.

#### 206 Core sampler train

The core sampler train is a special train which has the function as indicated by its name (SNCF 2011). The train was equipped with drilling equipment. Sample tubes are driven dynamically into track-bed to obtain a continuous core sample composed of ballast and underlying formations (Fig. 10). A digital camera is used taking photographs of the sample. From the photograph (see Fig. 10), the thickness of each layer is analyzed and recorded. It can be seen that a typical track-bed consists of a clean ballast
layer underlying fouled ballast layer and/or interlayer and sub-grade soil. The sample tube allows core
sample to be taken till a depth of about 1.50 m. This depth is enough for identifying the different layers
in the conventional railway track-beds. This investigation method was also reported in Brough et al.
(2003; 2006).

#### 216 Panda cone penetrometer and endoscope

The first Panda penetrometer was presented in 1991 in France (Langton 1999) and it is nowadays widely used thanks to its light weight and easy usage. Panda works following the principle of a dynamic cone penetrometer that uses a manual hammer for driving a standard cone into the soil. The dynamic cone resistance  $(q_d)$  is then calculated and plotted versus the corresponding depth, giving the profile of  $q_d$ (Langton 1999; Quezada 2012). The cone penetrometer is a useful tool for track-bed investigation and for obtaining information about the in-situ characteristic of ballast and underlying sub-grade material.

Once the Panda test is performed, the rod is removed, leaving a small hole having the rod dimension. This allows an endoscope, a very small digital camera, to be introduced into this hole to take photographs of the materials involved. This provides a visual observation allowing the track-bed components to be distinguished.

The Panda tests and endoscope observation were conducted along the studied line according to the SNCF standard (SNCF 2011). The obtained results allowed the thicknesses of different sub-structure layers to be estimated. Fig. 11 presents typical results from Panda test and Endoscope observation at PK 126.7 of Track 1. The correlation between the image of endoscope and the Panda results allowed the identification of a layer of fresh ballast of 0.25 m, a fouled ballast layer of 0.2 m, an interlayer of 0.3 m and the top of sub-grade at 0.95 m depth. As it is very difficult to distinguish fouled ballast layer and interlayer, the sum of thickness of these two layers is accounted for in the following analysis.

#### 234 **Result and interpretation**

Fig. 12 depicts the degradation rate (the increase of *NL* per year which was calculated from the slope as presented in Fig. 9) versus the kilometer point for Track 1 and Track 2. On the whole, there is an agreement between the data of two tracks; the peaks of Track 1 appeared almost at the same kilometer points as the peaks of Track 2.

239 Fig. 13 and Fig. 14 present the degradation rates according to the year of rail (Fig. a) and the year 240 of sleeper (Fig. b) for Track 1 and Track 2, respectively. From these figures, it can be seen that there is 241 no correlation between the year of super-structure (rail or sleeper) and the increase rate of NL, even 242 though theoretically in the calculation of NL, the super-structure can have an influence on the value of 243 *NL*. It is worth noting that these data correspond to the year of the current super-structure, and that in 244 past the types of rail and sleeper could be different. Thus, it is not possible to exclude the contribution of 245 the super-structure to the degradation of tracks identified. However, as shown in Fig. 9, after the 246 remedial work of tamping, the value of NL continued to increase. This suggests that the rail or sleeper 247 type did not clearly influence the evolution of NL identified. Furthermore, admitting that the traffic, train 248 load and speed and the type of sleeper in two tracks are not necessary identical, the coincidence between 249 the degradation rates of Track 1 and Track 2 (Fig. 12) confirms that the general cause of the increase of 250 NL came from the sub-structure. Based on this observation, the following analysis focuses on the sub-251 structure.

From Fig. 12, the zones with high degradation rates can be identified. They are around PK 73, 79, 88, from 99 to 103, from 106 to 114 and 122. Referring to the geological information, it can be concluded that these kilometer points correspond to the zones where sub-grades contains large fraction of fine particles. Around PK 73, the sub-grade is rather argillaceous limestone and marl. Around PK 79, the sub-grade is fine alluvial deposits and peat. From PK 88 to 89, it is the alluvial deposits overlying the 257 argillaceous limestone or marl or peat. From PK 98-103, the sub-grade involves argillaceous limestone, 258 marl and fine limestone. Around PK 113, the sub-grade contains sometimes colluviums. It is important 259 to note that these soils are sensitive to changes in water content and train loading. Soils like peat, alluvial 260 deposit and colluviums are very compressible and do not have the required mechanical properties for 261 sustaining the train-induced load. This can explain the high increase rate of *NL* in these zones. From PK 262 123 to the end of the line, the increase rate of NL became low along the line, indicating a good 263 performance of the tracks. This can be also explained by the sub-grade nature: hard limestone is 264 involved along this section. For the first part of the line (up to PK 103), the sub-grade soils are quite 265 variable. These changes in soil natures are also detrimental to the track performance.

From the analysis above, it can be seen that the sub-grade has a significant influence on the performance of railway structure. From a practical point of view, the zones with a sub-grade of low mechanical properties are not apt to become the foundation of railway sub-structure. If it is inevitable, improvement must be conducted in order to meet the requirements, such as a good drainage system or some soil improvements.

Fig. 15 presents the correlation between the degradation rates of Track 1 and Track 2. It is observed that the *NL* of Track 1 increased more quickly than that of Track 2, because more data fall below the equality line. This is in agreement with the finding in the first part of work where the number of sub-structure related problems were recorded (Fig. 4). It is normally admitted that the train heading to the center (up line) carrying more freights and passengers than the train leaving the center (down line). More studies are needed to clarify this observation.

During the assessment of the whole line, the zones where fine particles were found on the ballast layer surface were identified. The degradation rates of these zones are presented in Fig. 15 with the black symbols. It can be seen that most of the zones with the presence of fine particles correspond to the zones with high increase rate of *NL*. These fine particles came probably from the sub-grade when mud pumping occurred. This implies that the sub-grade containing large fraction of fine particles is detrimental to the performance of sub-structure. Indeed, for this kind of sub-grade soils, a decrease of mechanical performance can be expected when the moisture content rises. It appears thereby important to further investigate the effect of water content of sub-soil having large fine particles fraction and also the mud-pumping phenomenon.

286 Fig. 16 presents the correlation between the thickness of fouled ballast and interlayer (e) and the 287 increase rate of NL for special zones (Fig. a) and normal zones (Fig. b), for Track 1. The same 288 correlation is presented in Fig. 17 for Track 2. The special zones correspond to the zone of stations, the 289 zones with bridges or viaducts. The other zones are referred to normal zones. For the special zones, 290 Track 1 (Fig. 16a) presents a sharp decrease trend of degradation rate with the increase of *e*, while this 291 trend is not observed for Track 2 (Fig. 17a). For the normal zones, despite the data scatter that can be 292 explained by the presence of fouled ballast taken into account in the study, both Track 1 (Fig. 16a) and 293 Track 2 (Fig. 17a) present a decrease of degradation rate as the layers thickness increases. As mentioned 294 before, in the sub-structure of new lines for high speed train, there are a number of layers protecting 295 more or less the sub-grade. On the contrary, in the sub-structure of conventional lines with the absence 296 of an appropriate transition layer such as the sub-ballast layer, significant stress can be exerted to sub-297 grade soils, leading to significant deformation of tracks or degradation of tracks. The presence of 298 interlayer somehow plays the role of a transition layer to reduce the stress applied to sub-grade. This 299 explains the decrease trend of the degradation rate with the increase of *e*.

This trend is also observed in Fig. 18 where the layer thickness deduced from Panda penetrometer tests and endoscope observation is used. The trend is not clear for Track 1 but very clear for Track 2. This confirms the positive impact of interlayer in reducing the degradation of tracks with the increase of interlayer thickness. Note that in other countries, the presence of some layers such as capping layer or blanket layer that can protect sub-grade was also reported by Selig and Waters (1994);
Radampola et al. (2008); Burrow et al. (2011). In the study of Burrow et al. (2013) on the ole railway
embankment, it was found also that the settlement and the plastic strain decreased with the increase of
granular layer thickness.

#### 308 Conclusions

This paper presents a statistical study on the problems related to the train circulation in the French railway network, followed by an in-depth analysis of a conventional railway line in the West of France. The conducted analyses and observations allow the following conclusions to be drawn:

The maintenance of sub-structure is as important as that of super structure since the number of problems identified in the whole French railway network for sub-structure was slightly larger than that for super structure. In addition, because the problems related to sub-structure cannot be identified as quickly as those related to super structure, it is recommended to pay more attention to sub-structure part.

The sub-grade quality controls the degradation rate of tracks. The peak values of degradation rate correspond to the zones where sub-grade is not mechanically stable, i.e., soils with large fraction of fine particles. Moreover, frequent changes of sub-grade along a line are also detrimental to the performance of tracks.

Comparison between the two tracks of the studied line shows that Track 1 (up line) was
 degraded faster than Track 2 (down line). More studies are needed to clarify this observation.

There is a reasonable correlation between the degradation rate and the thickness of fouled
 ballast layer and interlayer, suggesting a positive role of interlayer in reducing the degradation of tracks.

#### 324 Acknowledgements

This study was carried out within the research project "Reuse and reinforcement of conventional railway sub-structure and existing foundations". The authors would like to address their deep thanks to Ecole des Ponts ParisTech (ENPC), French Railways Company (SNCF) and French Department of Industry for their supports.

#### 329 **References:**

- Bednarik M, Magulová B, Matys M, Marschalko M (2010) Landslide susceptibility assessment of the
  kralovany–liptovsky mikuláš railway case study. Physics and Chemistry of the Earth 35:162–171.
- Brough M, Ghataora G, Stirling A, Madelin K, Rogers C, Chapman D (2003) Investigation of railway
  track subgrade. Part 1: In-situ assessment. Proceedings of the Institution of Civil Engineers Transport 156(3):145–154.
- Brough M, Ghataora G, Stirling A, Madelin K, Rogers C, Chapman D (2006) Investigation of railway
  track subgrade. Part 2: Case study. Proceedings of the Institution of Civil Engineers Transport
  159(2):83–92.
- Burrow M, Bowness D, Ghataora G (2007) A comparison of railway track foundation design methods.
  Proceedings of the Institution of Mechanical Engineers, Part F: Journal of Rail and Rapid Transit
  221(1):1–12.
- Burrow M, Ghataora G, Evdorides H (2011) Railway foundation design principles. Journal of Civil
  Engineering and Architecture 5(3):224–232.
- Burrow M, Ghataora G, Gunn D (2013) An investigation of the suitability of the construction of an old
  railway embankment for a new freight route. International Journal of Geotechnical Engineering,
  7(3): 292-303.

- Calon N, (2010) Etude de recommandation en vue d'assurer de façon pérenne le RVL 220 sur Niort La
  Rochelle. Technical report, SNCF. (In French)
- Calon N, Trinh VN, Tang AM, Cui YJ, Dupla JC, Canou J, Lambert L, Robinet A, Schoen O (2010)
  Caractérisation hydromécanique des matériaux constitutifs de plateformes ferroviaires anciennes.
  Conférence JNGG2010, Grenoble, France, pp. 787–794. (In French)
- Cui YJ, Duong TV, Tang AM, Dupla JC, Calon N, Robinet A (2013) Investigation of the hydro mechanical behavior of fouled ballast. Journal of Zhejiang University- Science 144(4):244–255.
- Duong TV, Trinh VN, Cui YJ, Tang AM, Nicolas C (2013)a Development of a large-scale infiltration
   column for studying the hydraulic conductivity of unsaturated fouled ballast. Geotechnical Testing
   Journal 36(1):54–63.
- Duong TV, Tang AM, Cui YJ, Trinh VN, Dupla J, Calon N, Canou J, Robinet A (2013)b Effects of fines
  and water contents on the mechanical behavior of interlayer soil in conventional railway substructure. Soil and Foundations 53(6):868-878.
- Ebrahimi A (2011) Behavior or fouled ballast. Railway Track and Structures 107(8):25–31.
- Guerin N (1996) Approche expérimentale et numériques du comportement du ballast des voies ferrées.
   PhD Dissertation, Ecole Nationale des Ponts et Chaussées. (In French)
- Langton DD (1999) The panda lightweight penetrometer for soil investigation and monitoring material
   compaction. Ground Engineering 1999, September.
- Indraratna B, Salim W, Rujikiatkamjorn C (2011) Advanced Rail Geotechnology Ballasted Track.
  CRC Press.

366	Li D, Selig ET (1994)	Resilient	modulus	for	fine-grained	subgrade	soils.	Journal	of	geotechnical
367	engineering 120(6):9	39–957.								

368	Li D, Selig ET (1998a)	Method fo	r railroad	track	foundation	design.	I:	Development.	Journal	of
369	Geotechnical and Ge	oenvironmen	tal Engine	ering 1	124(4):316-3	322.				

- Li D, Selig ET (1998b) Method for railroad track foundation design. II: Applications. Journal of
  Geotechnical and Geoenvironmental Engineering 124(4):323–329.
- Quezada JC (2012) Mécanismes de tassement du ballast et sa variabilité. PhD dissertation, Université
   Montpellier 2. (In French)
- Radampola SS, Gurung N, McSweeney T, Dhanasekar M (2008) Evaluation of the properties of railway
  capping layer soil. Computers and Geotechnics 35(5):719–728.
- 376 Raymond GP (1999) Railway rehabilitation geotextiles. Geotextiles and Geomembranes 17(4):213–230.
- Reeves HJ, Kessler H, Freeborough K, Lelliott M, Gunn DA, Nelder LM (2005) Subgrade geology
  beneath railways in Manchester. In: Railway Engineering 2005, London, UK, 2005.
- Rhayma N (2010) Contribution à l'évolution des méthodologies de caractérisation et d'amélioration des
   voies ferrées. PhD Dissertation, Université Blaise Pascal Clermont II. (In French)
- 381 Selig ET, Waters JM (1994). Track geotechnology and substructure management. Thomas Telford.
- 382 SNCF (1989) Armement, ballastage et entretien de la voie généralités classement des lignes en groupes
  383 au point de vue de la maintenance de la voie- ef2a1n°1. Technical report. (In French)
- 384 SNCF (2011) Référentiel Infrastructure Procédure IN4103. (In French).

385	Trinh VN (2011) Comportement hydromécanique des matériaux constitutifs de plateformes ferroviaires
386	anciennes. PhD Dissertation, Ecole Nationales des Ponts et Chaussées - Université Paris - Est. (In
387	French)

- 388 Trinh VN, Tang AM, Cui YJ, Dupla JC, Canou J, Calon N, Lambert L, Robinet A, Schoen O (2012)
- 389 Mechanical characterization of the fouled ballast in conventional railway track substructure by large-
- 390 scale triaxial tests. Soils and Foundations 52(3):511-523.
- 391 Trinh VN, Tang AM, Cui YJ, Canou J, Dupla JC, Calon N, Lambert L, Robinet A, Schoen O (2011)
- 392 Caractérisation des matériaux constitutifs de plate-forme ferroviaire ancienne. Revue Française de
- 393 Géotechnique (134-135):65–74. (In French)

396	List	of	Tables
-----	------	----	--------

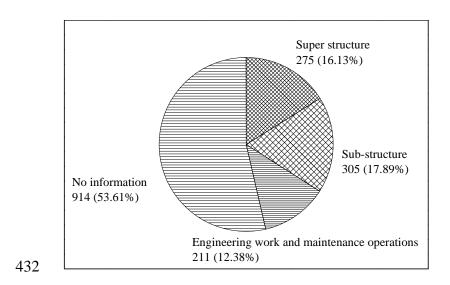
- 397
- 398
- 399 Table 1: Classification of group UIC
- 400

### 401 List of Figures

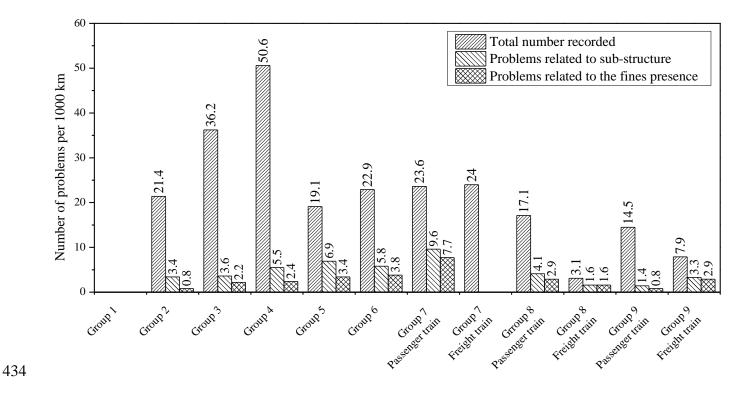
- 402
- 403
- 404 Fig. 1: Cause of the problems for the deceleration of train
- 405 Fig. 2: Number of problems versus group UIC
- 406 Fig. 3: Problems occurring over one year. a) Problems related to the substructure and b) problems related407 to the sensitive zones
- 408 Fig. 4: Problems recorded versus type of track
- 409 Fig. 5: Classification of problems according to the territory. a) All problems; b) Problems related to sub-
- 410 structure and c) sensitive zones
- 411 Fig. 6: Classification of problems according to the Infrapole. a) Problems related to sub-structure and b)
- 412 problems related to sensitive zone
- 413 Fig. 7: Geological map of the studied line
- 414 Fig. 8: Parameters used to calculate NL
- 415 Fig. 9: History of NL variations for a given kilometer, with maintenance operation
- 416 Fig. 10: Core sample from typical conventional railway sub-structure
- 417 Fig. 11: Typical result from Panda and Endoscope
- 418 Fig. 12: Degradation rate along the studied line
- 419 Fig. 13: Degradation rate of Track 1 according to (a) year of rail and (b) year of sleeper
- 420 Fig. 14: Degradation rate of Track 2 according to (a) year of rail and (b) year of sleeper
- 421 Fig. 15: Correlation between degradation rate of Track 1 and Track 2
- 422 Fig. 16: Correlation of the degradation rate to the thickness of interlayer and fouled ballast for Track 1-
- 423 Data from coring train. a) special zones and b) normal zone
- 424 Fig. 17: Correlation of the degradation rate to the thickness of interlayer and fouled ballast for Track 2-
- 425 Data from coring train. a) special zones and b) normal zone
- 426 Fig. 18: Correlation of the degradation rate to the thickness of interlayer and fouled ballast Data from
- 427 Panda and Endoscope. a) Track 1 and b) Track 2
- 428

## 430 Table 1: Classification of group UIC

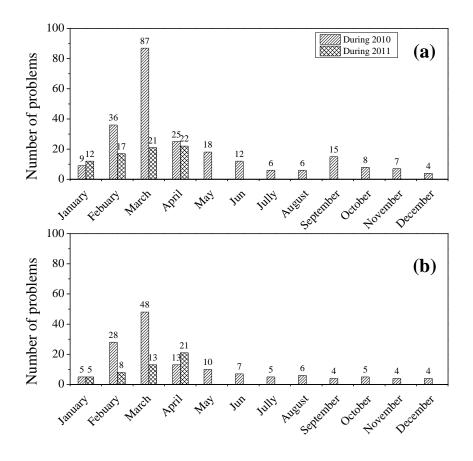
Group UIC	Characteristic $T_{f2}$ Value	Length (km)		
Group 1	$T_{f2} > 120000$	0		
Group 2	$120000 \ge T_{f2} > 85000$	2385		
Group 3	$85000 \ge T_{f2} > 50000$	8968		
Group 4	$50000 \ge T_{f2} > 28000$	12218		
Group 5	$28000 \ge T_{f2} > 14000$	6807		
Group 6	$14000 \ge T_{f2} > 7000$	7381		
Group 7 Passenger train and Freight train	$7000 \ge T_{f2} > 3500$	4149 (Pass. Train) and 292 (Frei. Train)		
Group 8 Passenger train and Freight train	$3500 \ge T_{f2} > 1500$	7607 (Pass. Train) and 1291 (Frei. Train)		
Group 9 Passenger train and Freight train	$1500 \ge T_{f2}$	6288 (Pass. Train) and 7942 (Frei. Train)		



433 Fig. 1: Cause of the problems for the deceleration of train

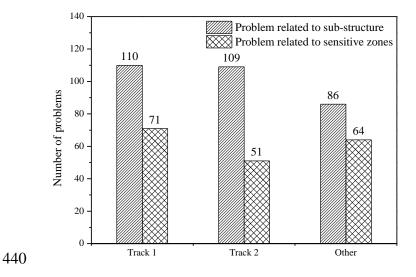


435 Fig. 2: Number of problems versus group UIC

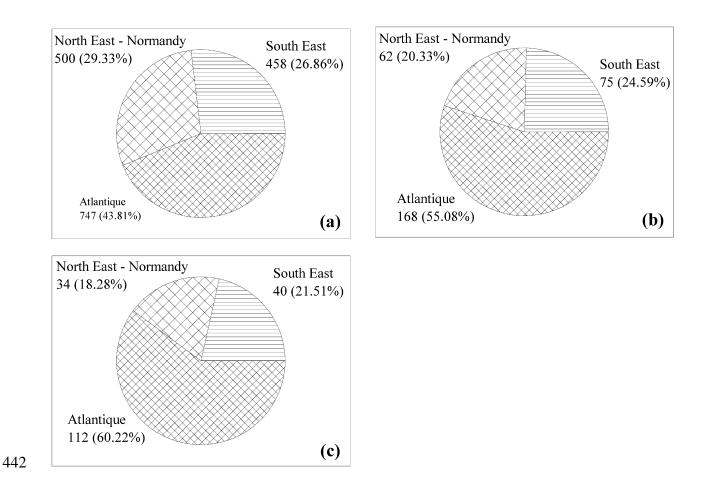


438 Fig. 3: Problems occurring over one year. a) Problems related to the substructure and b) problems related to the

439 sensitive zones

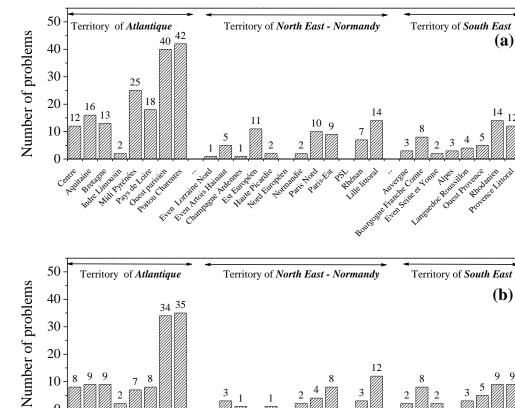


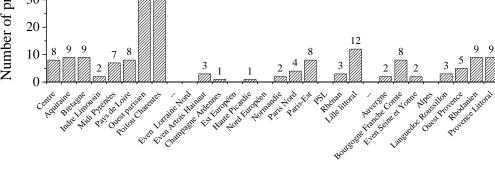
441 Fig. 4: Problems recorded versus type of track



443 Fig. 5: Classification of problems according to the territory. a) All problems; b) Problems related to sub-structure and

444 c) sensitive zones





447 Fig. 6: Classification of problems according to the Infrapole. a) Problems related to sub-structure and b) problems

**(a)** 

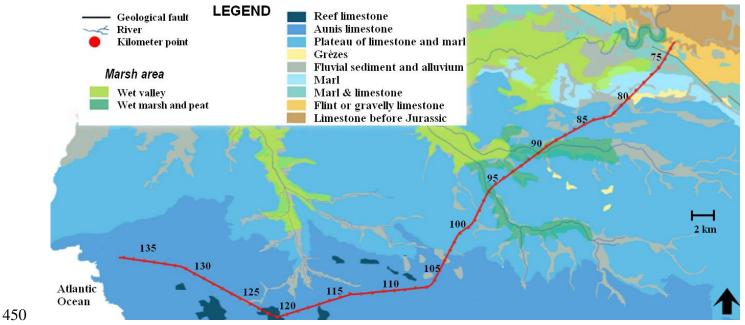
14

Provence Lift

**(b)** 

12

448 related to sensitive zone



451 Fig. 7: Geological map of the studied line



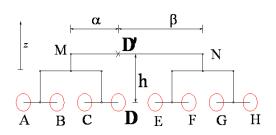
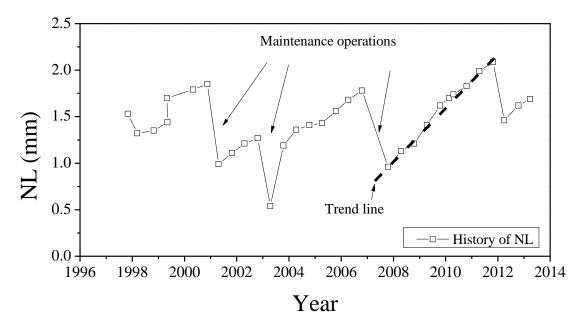


Fig. 8b: Parameters used to calculate NL

Fig 8a: Mauzin train

(http://lapassiondutrain.blogspot.f)

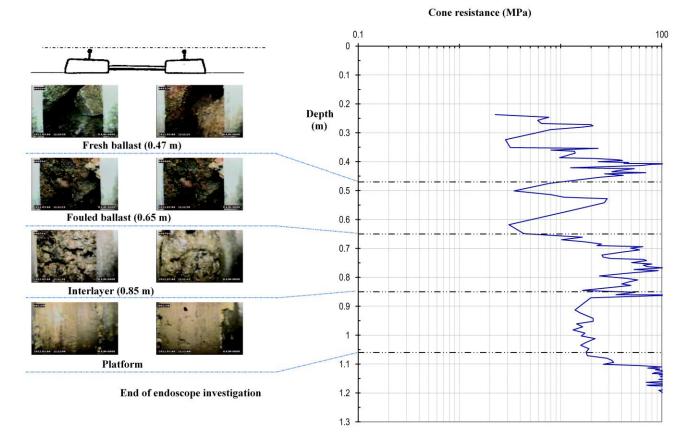


455 Fig. 9: History of *NL* variations for a given kilometer, with maintenance operation





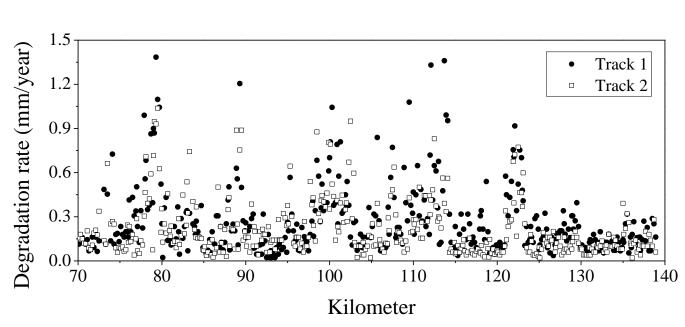
8 Fig. 10: Core sample from typical conventional railway sub-structure

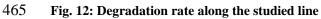


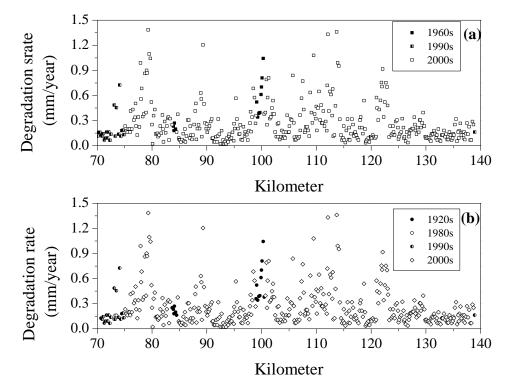


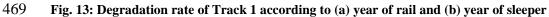


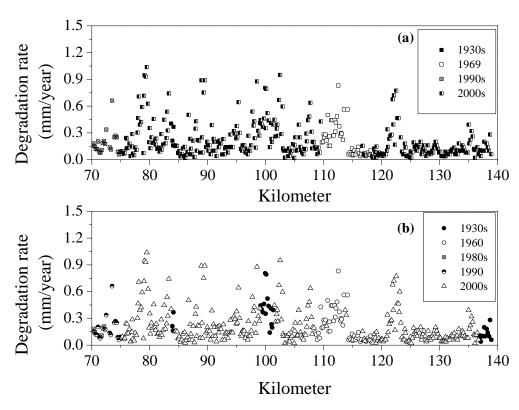




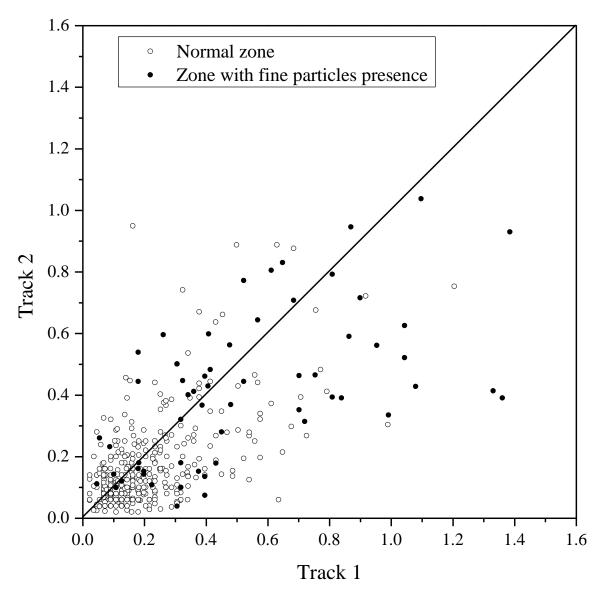




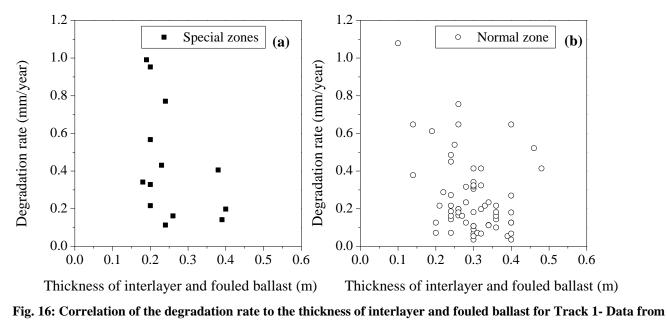




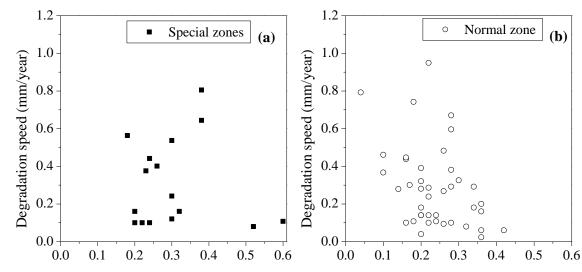
472 Fig. 14: Degradation rate of Track 2 according to (a) year of rail and (b) year of sleeper



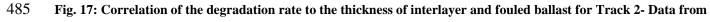
476 Fig. 15: Correlation between degradation rate of Track 1 and Track 2



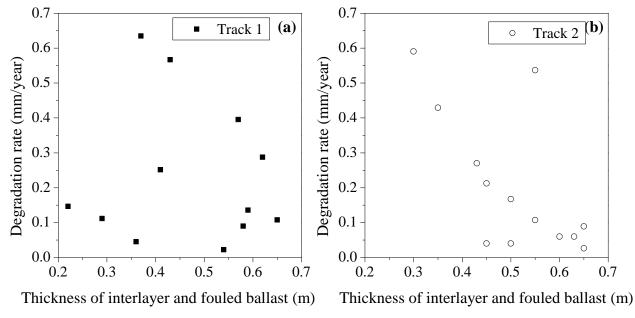
coring train. a) special zones and b) normal zone



Thickness of interlayer and fouled ballast (m) Thickness of interlayer and fouled ballast (m) 



coring train. a) special zones and b) normal zone



490 Fig. 18: Correlation of the degradation rate to the thickness of interlayer and fouled ballast - Data from Panda and

491 Endoscope. a) Track 1 and b) Track 2

492